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Norm inequalities related to the Heinz means

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Abstract

Let $(I, ||| \cdot |||)$ be a two-sided ideal of operators equipped with a unitarily invariant norm $||| \cdot |||$. We generalize the results of Kapil's, using a new contractive map in *I* to obtain a norm inequality. And we give a new inequality, which is a comparison between the Heinz means and other related inequalities; moreover, we will obtain some correlative conclusions.

Keywords: Norm inequalities; Contractive maps; Unitarily invariant norm

1 Introduction and preliminaries

In this paper, let B(H) denote the algebra of all bounded linear operators on a complex separable Hilbert space, $B(H)_+$ denote the cone of positive operators and K(H) denote the ideal of compact operators in B(H). And $(I, ||| \cdot |||)$ is a two-sided ideal of B(H) equipped with a unitarily invariant norm $||| \cdot |||$. We shall denote this by I instead of $(I, ||| \cdot |||)$ for convenience.

For any compact operator $A \in K(H)$, let $S_1(A), S_2(A), \ldots$ be the eigenvalues of $|A| = (A^*A)^{\frac{1}{2}}$ arranged in decreasing order. If $A \in M_n$, which is the algebra of all $n \times n$ matrices over **C**, we take $S_k(A) = 0$ for k > n. A unitarily invariant norm in K(H) is a map $||| \cdot ||| : K(H) \longrightarrow [0, \infty]$ given by $|||A||| = g(S(A)), A \in K(H)$, where *g* is a symmetric gauge function, cf. [6, 9]. The Schatten *p*-norms $||A||_p = (\sum_j S_j^p(A))^{\frac{1}{p}}$ for $p \ge 1$ are significant examples of the unitarily invariant norm, and $|| \cdot ||_2$ is a special unitarily invariant norm which is the Hilbert–Schmidt norm defined, for $A \in M_n$, as follows:

$$||A||_2^2 = \sum_{i,j} |a_{ij}|^2 = \operatorname{tr}(A^*A).$$

It is well known that the arithmetic-geometric mean inequality

$$\sqrt{ab} \le \frac{a+b}{2}$$

for positive numbers *a* and *b* has been generalized in various directions.

Bhatia et al. [2] have obtained the result that if *A*, *B*, and *X* are $n \times n$ matrices with *A* and *B* are positive definite, then

$$|||A^{\frac{1}{2}}XB^{\frac{1}{2}}||| \le \frac{1}{2}||AX + XB|||.$$

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The Heinz means

$$H_{\nu}(a,b) = \frac{a^{1-\nu}b^{\nu} + a^{\nu}b^{1-\nu}}{2}$$

is an interpolation between the arithmetic and geometric means for $a, b \ge 0$ and $v \in [0, 1]$. It is easy to see that H_v is symmetric and convex function for $v \in [0, 1]$ and attains its minimum at $v = \frac{1}{2}$; thus we have

$$\sqrt{ab} \leq H_{\nu}(a,b) \leq \frac{a+b}{2}.$$

The matrix version has been proved in [2]: if A, B and X are positive definite, then for every unitarily invariant norm the function

$$g(\nu) = \left\| \left| A^{\nu} X B^{1-\nu} + A^{1-\nu} X B^{\nu} \right| \right\|$$

is convex on [0, 1], and attains its minimum at $\nu = \frac{1}{2}$. Thus we have

$$2 \left\| \left| A^{\frac{1}{2}} X B^{\frac{1}{2}} \right| \right\| \le \left\| \left| A^{\nu} X B^{1-\nu} + A^{1-\nu} X B^{\nu} \right| \right\| \le \left\| AX + XB \right\|.$$

The Heron means is defined by

$$F_{\alpha}(a,b) = (1-\alpha)\sqrt{ab} + \alpha \frac{a+b}{2}$$

for $0 \le \alpha \le 1$, see [1]. This family is the linear interpolation between the geometric and the arithmetic mean. Clearly, $F_{\alpha} \le F_{\beta}$ whenever $\alpha \le \beta$.

Bhatia and Davis have proved that the inequality

$$\left\| (1-\alpha)A^{\frac{1}{2}}XB^{\frac{1}{2}} + \alpha\left(\frac{AX+XB}{2}\right) \right\| \leq \left\| (1-\beta)A^{\frac{1}{2}}XB^{\frac{1}{2}} + \beta\left(\frac{AX+XB}{2}\right) \right\|$$

is always true for $0 \le \alpha \le \beta \le 1$, $\beta \ge \frac{1}{2}$, and this restriction on β is necessary in [2]. The Heinz means and the Heron means satisfy the inequality

$$H_{\nu}(a,b) \leq F_{\alpha(\nu)}(a,b)$$

for $0 \le \nu \le 1$. And $\alpha(\nu) = 1 - 4(\nu - \nu^2)$, this is a convex function, its minimum value is $\alpha(\frac{1}{2}) = 0$, and its maximum value is $\alpha(0) = \alpha(1) = 1$.

In [10] the authors have presented the result that

$$\frac{1}{2} \left\| \left| A^{\nu} X B^{1-\nu} + A^{1-\nu} X B^{\nu} \right| \right\| \leq \left\| (1-\alpha) A^{\frac{1}{2}} X B^{\frac{1}{2}} + \alpha \left(\frac{AX + XB}{2} \right) \right\|$$

for $\frac{1}{4} \le \nu \le \frac{3}{4}$ and $\alpha \in [\frac{1}{2}, \infty]$ and they used the properties of contractive map on *I* to prove it.

A different version of the Heinz inequality,

$$|||A^{\alpha}XB^{1-\alpha} - A^{1-\alpha}XB^{\alpha}||| \le |2\alpha - 1||||AX - XB|||,$$

for $\alpha \in [0, 1]$ was proved by Bhatia and Davis [3] in 1995.

A further generalization, namely

$$\frac{2+t}{2} \| A^{\alpha} X B^{1-\alpha} + A^{1-\alpha} X B^{\alpha} \| \le \| A X + X B + t A^{\frac{1}{2}} X B^{\frac{1}{2}} \|,$$

was proved for $t \in [-2, 2]$, and $\alpha \in [\frac{1}{4}, \frac{3}{4}]$. For more details refer to [13] and [14].

Contractive maps on *I* play a key role to prove the inequalities, more details refer to [4, 5, 7, 8, 11]. This in turn proves the corresponding Schur multiplier maps to be contractive. We use the ideals to establish the fact that some special maps on *I* are contractive.

Our paper consists of three parts. In the second part, we will give a new norm inequality which is proved by the properties of contractive maps on *I*. In the third part, we will present a inequality related to the Heinz means and we notice that this inequality is a comparison between the Heinz means and the geometric mean. Our results are extensions of some previous conclusions about norm inequalities.

2 Norm inequalities with contractive maps

Let L_X , R_Y denote the left and right multiplication maps on B(H), respectively, that is, $L_X(T) = XT$ and $R_Y(T) = TY$ and we have

$$e^{L_X + R_Y}(T) = e^X T e^Y.$$
⁽¹⁾

Neeb [12] has proved that $(L_X \pm R_Y)^{-1} \sin(L_X \pm R_Y)$ is an expansive map on I by using the Weierstrass factorization theorem. We use X_1 and Y_1 to denote two selfadjoint operators in B(H) and $D = L_{X_1} - R_{Y_1}$. The following proposition is a result for a contractive map in *I*; for more details refer to [10].

Proposition 2.1 ([10, Proposition 2.4]) Let $0 \le r \le s$ or $s \le r \le 0$, then each of the following operator maps is a contraction on I.

- (1) $\frac{(1+t)\cosh(\frac{r}{2}D)}{t\cosh(sD)} \text{ for } |t| \le 1.$ (2) $\frac{t + \cosh(sD)}{t + \cosh(sD)} \text{ for } |t| \le 1.$

- (3) $\frac{\cosh(rD)}{\cosh(sD)}$. In particular $\frac{1}{r} \int \frac{\cosh(rD)}{\cosh(sD)} dr = \frac{\sinh(rD)}{rD\cosh(sD)}$ is a contraction. (4) $\frac{s\sinh(rD)}{r\sinh(sD)}$. The case r = 0 would become $\frac{sD}{\sinh(sD)}$.

Corollary 2.2 ([10, Corollary 2.5]) *The following maps are contractive on I.*

- (1) $\frac{(t+1)\cosh((2v-1)D)}{t+\cosh D} \text{ for } \frac{1}{4} \le v \le \frac{3}{4}, \text{ and } |t| \le 1.$ (2) $\frac{2\cosh(rD)}{\cosh(s_1D) + \cosh(s_2D)} \text{ for } 0 \le r \le \max\{\frac{s_1+s_2}{2}, \frac{s_1-s_2}{2}\} \text{ or } \min\{\frac{s_1+s_2}{2}, \frac{s_1-s_2}{2}\} \le r \le 0.$ (3) $\frac{(s_1+s_2)\sinh(rD)}{r(\sinh(s_1D) + \sinh(s_2D))} \text{ for } 0 \le r \le \frac{s_1+s_2}{2} \text{ or } \frac{s_1+s_2}{2} \le r \le 0.$

Corollary 2.3 Let $0 \le v \le 1$ and $|t| \le 1$. Then the map $\frac{(t+1)\cosh((2v-1)D)}{t+\cosh(2D)}$ is contractive on I.

Proof This map is a special case of (1) of Proposition 2.1 when |s| = 2. From the condition $0 \le \nu \le 1$, we can obtain $|2\nu - 1| \le 1$. Letting $\frac{r}{2} = 2\nu - 1$, we get the desired result.

With these above results about contractive maps in *I*, we obtain a new norm inequality which derives from the Heinz means and other related inequalities. Let R be an invertible operator in $B(H)_+$, then there exists a selfadjoint operator $S \in B(H)$ such that $R = e^S$. To avoid repetitions, we denote the two invertible operators A and B in $B(H)_+$ by e^{2X_1} and e^{2Y_1} , respectively, where X_1 and Y_1 in B(H) are selfadjoint. The corresponding operator map $L_{X_1} - R_{Y_1}$ is denoted by D.

Theorem 2.4 Let $v \in [0,1]$ and $\alpha \in [\frac{1}{2},\infty)$. Supposed that A and B are any two invertible operators in $B(H)_+$, $X \in I$, then

$$\frac{1}{2} \left\| \left| A^{\nu} X B^{1-\nu} + A^{1-\nu} X B^{\nu} \right| \right\| \le \left\| (1-\alpha) A^{\frac{1}{2}} X B^{\frac{1}{2}} + \alpha \frac{A^{\frac{3}{2}} X B^{-\frac{1}{2}} + A^{-\frac{1}{2}} X B^{\frac{3}{2}}}{2} \right\| \right\|.$$
(2)

Proof Put $A^{\frac{1}{2}}XB^{\frac{1}{2}} = T$ and use (1) we can notice that

$$\frac{A^{\nu}XB^{1-\nu} + A^{1-\nu}XB^{\nu}}{2} = \frac{A^{\frac{2\nu-1}{2}}A^{\frac{1}{2}}XB^{\frac{1}{2}}B^{\frac{1-2\nu}{2}} + A^{\frac{1-2\nu}{2}}A^{\frac{1}{2}}XB^{\frac{1}{2}}B^{\frac{2\nu-1}{2}}}{2}}{2} = \frac{A^{\frac{2\nu-1}{2}}TB^{\frac{1-2\nu}{2}} + A^{\frac{1-2\nu}{2}}TB^{\frac{2\nu-1}{2}}}{2}}{2} = \frac{e^{(2\nu-1)(L_{X_{1}}-R_{Y_{1}})}T + e^{-(2\nu-1)(L_{X_{1}}-R_{Y_{1}})}T}}{2}}{2} = \cosh((2\nu-1)D)T.$$
(3)

And we also have

$$(1 - \alpha)A^{\frac{1}{2}}XB^{\frac{1}{2}} + \alpha \frac{A^{\frac{3}{2}}XB^{-\frac{1}{2}} + A^{-\frac{1}{2}}XB^{\frac{3}{2}}}{2}$$

$$= (1 - \alpha)T + \alpha \frac{AA^{\frac{1}{2}}XB^{\frac{1}{2}}B^{-1} + A^{-1}A^{\frac{1}{2}}XB^{\frac{1}{2}}B}{2}$$

$$= (1 - \alpha)T + \alpha \frac{ATB^{-1} + A^{-1}TB}{2}$$

$$= (1 - \alpha)T + \alpha \frac{e^{2(L_{X_1} - R_{Y_1})}T + e^{-2(L_{X_1} - R_{Y_1})}T}{2}$$

$$= [(1 - \alpha) + \alpha \cosh(2D)]T.$$
(4)

Setting $\frac{1}{1+t} = \alpha$ in (4) and applying Corollary 2.3, we can obtain (2) from (3) and (4).

3 Norm inequality related to the Heinz means

According to the above results, we set

$$\begin{split} H_{\nu}(a,b) &= \frac{a^{\nu}b^{1-\nu}+a^{1-\nu}b^{\nu}}{2},\\ G_{\nu}(a,b) &= (1-\nu)a^{\frac{1}{2}}b^{\frac{1}{2}}+\nu\frac{a^{\frac{3}{2}}b^{-\frac{1}{2}}+a^{-\frac{1}{2}}b^{\frac{3}{2}}}{2}. \end{split}$$

Then we have the following result, which is a interpolation of H_{ν} and G_{ν} .

Theorem 3.1 *Let a*, *b* > 0 *and* $v \in [\frac{1}{2}, 1]$ *. Then*

$$H_{\nu}(a,b) \leq \left(\frac{H_{\frac{1}{2}}(a,b)}{H_{1}(a,b)}\right)^{1-\nu} G_{\nu}(a,b).$$
(5)

Proof Without loss of generality, we can suppose that a = 1. Then the inequality (5) can be simplified to

$$\frac{b^{\nu}+b^{1-\nu}}{2} \le \left(\frac{2\sqrt{b}}{1+b}\right)^{1-\nu} \left[(1-\nu)\sqrt{b}+\nu\frac{b^{-\frac{1}{2}}+b^{\frac{3}{2}}}{2}\right].$$

To prove this inequality, let

$$f(\nu) = \log(b^{\nu} + b^{1-\nu}) - (1-\nu)\log\frac{2\sqrt{b}}{1+b} - \log[2(1-\nu)\sqrt{b} + \nu(b^{-\frac{1}{2}} + b^{\frac{3}{2}})].$$

Calculations show that

$$f''(\nu) = \frac{4b^{\nu}b^{1-\nu}\log^2 b}{(b^{\nu}+b^{1-\nu})^2} + \frac{(b^{-\frac{1}{2}}+b^{\frac{3}{2}}-2b^{\frac{1}{2}})^2}{[(1-\nu)b^{\frac{1}{2}}+\nu(b^{-\frac{1}{2}}+b^{\frac{3}{2}})]^2}$$

We can notice that $f''(v) \ge 0$ for $\frac{1}{2} \le v \le 1$, thus f is convex on $[\frac{1}{2}, 1]$. Then we have $f(v) \le \max\{f(\frac{1}{2}), f(1)\}$. By calculation, we have

$$f\left(\frac{1}{2}\right) = \log\left(\frac{\sqrt{2}b^{\frac{1}{4}}(1+b)^{\frac{1}{2}}}{b^{\frac{1}{2}} + \frac{1}{2}b^{-\frac{1}{2}} + \frac{1}{2}b^{\frac{3}{2}}}\right) \le 0$$

because of $\frac{\sqrt{2}b^{\frac{1}{4}}(1+b)^{\frac{1}{2}}}{b^{\frac{1}{2}}+\frac{1}{2}b^{-\frac{1}{2}}+\frac{1}{2}b^{\frac{3}{2}}} \le 1$ (*b* > 0), which equals $\sqrt{2}b^{\frac{1}{4}}(1+b)^{\frac{1}{2}} \le b^{\frac{1}{2}} + \frac{1}{2}b^{-\frac{1}{2}} + \frac{1}{2}b^{\frac{3}{2}}$, that is, $8(1+b)b^{\frac{3}{2}} \le 6b^2 + b^4 + 4b^3 + 4b + 1$.

Setting $b = x^2$ (x > 0), thus we have $x^8 + 4x^6 - 8x^5 + 6x^4 - 8x^3 + 4x^2 + 1 \ge 0$, that is, $(x - 1)^2(x^6 + 2x^5 + 7x^4 + 4x^3 + 7x^2 + 2x + 1) \ge 0$, which is always true when x > 0, then we get the desired results.

In the same way,

$$f(1) = \log\left(\frac{1+b}{b^{-\frac{1}{2}}+b^{\frac{3}{2}}}\right) \le 0$$

because of $\frac{1+b}{b^{-\frac{1}{2}}+b^{\frac{3}{2}}} \leq 1$ (b > 0), which equals $1 + b \leq b^{-\frac{1}{2}} + b^{\frac{3}{2}}$, that is $(b^{\frac{1}{2}} - 1)(b^{\frac{3}{2}} - 1) \geq 0$, which is always true when b > 0. Hence the values of $f(\frac{1}{2})$ and f(1) are both less than 0, that is, $f(v) \leq 0$. Then we complete the proof.

Remark 3.2 The inequality which we obtained from Theorem 3.1 can also be written as

$$H_{\nu}(a,b) \leq \left(\frac{2\sqrt{ab}}{a+b}\right)^{1-\nu}G_{\nu},$$

and we notice that it is a new comparison between the Heinz means and the geometric means.

Corollary 3.3 Let $A, B \in M_n^+$, $X \in M_n$ and $\frac{1}{2} \le v \le 1$. If there are two positive numbers m, M such that $m \le A, B \le M$, then

$$\left\|\frac{A^{\nu}XB^{1-\nu}+A^{1-\nu}XB^{\nu}}{2}\right\|_{2} \leq \left(\frac{m+M}{2\sqrt{mM}}\right)^{\nu-1} \left\|(1-\nu)A^{\frac{1}{2}}XB^{\frac{1}{2}}+\nu\frac{A^{\frac{3}{2}}XB^{-\frac{1}{2}}+A^{-\frac{1}{2}}XB^{\frac{3}{2}}}{2}\right\|_{2}.$$

Proof Let $A = U \operatorname{diag}(\lambda_i) U^*$ and $B = V \operatorname{diag}(\mu_j) V^*$ be the spectral decompositions of A and B, respectively. Letting $U^*XV = Y$, we have

$$\frac{A^{\nu}XB^{1-\nu} + A^{1-\nu}XB^{\nu}}{2} = U \frac{\operatorname{diag}(\lambda_{i}^{\nu})Y\operatorname{diag}(\mu_{j}^{1-\nu}) + \operatorname{diag}(\lambda_{i}^{1-\nu})Y\operatorname{diag}(\mu_{j}^{\nu})}{2}V^{*} = U \frac{[\lambda_{i}^{\nu}\mu_{j}^{1-\nu} + \lambda_{i}^{1-\nu}\mu_{j}^{\nu}] \circ [y_{ij}]}{2}V^{*},$$
(6)

where \circ denotes the Schur product. Applying (5) we have

$$\left\|\frac{A^{\nu}XB^{1-\nu} + A^{1-\nu}XB^{\nu}}{2}\right\|_{2}^{2}$$

$$= \sum_{i,j} \left(\frac{\lambda_{i}^{\nu}\mu_{j}^{1-\nu} + \lambda_{i}^{1-\nu}\mu_{j}^{\nu}}{2}\right)^{2}|y_{ij}|^{2}$$

$$\leq \sum_{i,j} \left(\frac{\lambda_{i} + \mu_{j}}{2\sqrt{\lambda_{i}\lambda_{j}}}\right)^{2(\nu-1)} \left((1-\nu)\lambda_{i}^{\frac{1}{2}}\mu_{j}^{\frac{1}{2}} + \nu\frac{\lambda_{i}^{\frac{3}{2}}\mu_{j}^{-\frac{1}{2}} + \lambda_{i}^{-\frac{1}{2}}\mu_{j}^{\frac{3}{2}}}{2}\right)|y_{ij}|^{2}$$

$$\leq \left(\frac{m+M}{2\sqrt{mM}}\right)^{2(\nu-1)} \left\|(1-\nu)A^{\frac{1}{2}}XB^{\frac{1}{2}} + \nu\frac{A^{\frac{3}{2}}XB^{-\frac{1}{2}} + A^{-\frac{1}{2}}XB^{\frac{3}{2}}}{2}\right\|_{2}^{2}, \tag{7}$$

where we have used the fact that $m \le \lambda_i$, $\mu_j \le M$ to obtain the last inequality.

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Competing interests

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Authors' contributions

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