# RESEARCH





# Two explicit formulas for the generalized Motzkin numbers

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# Abstract

In the paper, by the Faà di Bruno formula, the authors establish two explicit formulas for the Motzkin numbers, the generalized Motzkin numbers, and the restricted hexagonal numbers.

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# 1 Introduction and main results

The Motzkin numbers  $M_n$  enumerate various combinatorial objects. In 1977, fourteen different manifestations of the Motzkin numbers  $M_n$  were given in [1]. In particular, the Motzkin numbers  $M_n$  give the numbers of paths from (0, 0) to (n, 0) which never dip below the *x*-axis y = 0 and are made up only of the steps (1, 0), (1, 1), and (1, -1).

The first seven Motzkin numbers  $M_n$  for  $0 \le n \le 6$  are 1, 1, 2, 4, 9, 21, 51. All the Motzkin numbers  $M_n$  can be generated by

$$M(x) = \frac{1 - x - \sqrt{1 - 2x - 3x^2}}{2x^2} = \frac{1}{1 - x + \sqrt{1 - 2x - 3x^2}} = \sum_{k=0}^{\infty} M_k x^k.$$
 (1.1)

They can be connected with the Catalan numbers

$$C_n = \frac{1}{n+1} \binom{2n}{n}$$

by

$$M_n = \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{2k} C_k \text{ and } C_{n+1} = \sum_{k=0}^n \binom{n}{k} M_k$$

where  $\lfloor x \rfloor$  denotes the floor function whose value is the largest integer less than or equal to *x*. For detailed information, please refer to [2] and the closely related references therein. For information on many results, applications, and generalizations of the Catalan numbers  $C_n$ , please refer to the monographs [3, 4], the papers [5–13], the survey article [14], and the closely related references therein.



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In [15], the (u, l, d)-Motzkin numbers  $m_n^{(u,l,d)}$  were introduced and it was shown in [15], Theorem 2.1, that  $m_n^{(u,l,d)} = m_n^{(1,l,ud)}$ ,

$$M_{u,l,d}(x) = \frac{1 - lx - \sqrt{(1 - lx)^2 - 4udx^2}}{2udx^2} = \sum_{n=0}^{\infty} m_n^{(u,l,d)} x^n,$$
(1.2)

and

$$m_n^{(u,l,d)} = l^n \sum_{j=0}^{n/2} \frac{1}{j+1} \binom{2j}{j} \binom{n}{2j} \left(\frac{ud}{l^2}\right)^j.$$
 (1.3)

Comparing (1.1) with (1.2) reveals that  $m_n^{(1,1,1)} = M_n$  and the (u, l, d)-Motzkin numbers  $m_n^{(u,l,d)}$  generalize the Motzkin numbers  $M_n$ .

In [16], the Motzkin numbers  $M_n$  were generalized in terms of the Catalan numbers  $C_n$  to

$$M_n(a,b) = a^n \sum_{k=0}^{\lfloor n/2 \rfloor} {n \choose 2k} \left(\frac{b}{a^2}\right)^k C_k$$

for  $a, b \in \mathbb{N}$  and the generating function

$$M_{a,b}(x) = \frac{1 - ax - \sqrt{(1 - ax)^2 - 4bx^2}}{2bx^2} = \sum_{k=0}^{\infty} M_k(a, b) x^k$$
(1.4)

was discovered. It was pointed out in [2] that

$$M_n(1,1) = M_n, \qquad M_n(2,1) = C_{n+1}, \text{ and } M_n(3,1) = H_n,$$
 (1.5)

where  $H_n$  denote the restricted hexagonal numbers and were described in [17].

For more information on many results, applications, and generalizations of the Motzkin numbers  $M_n$ , please refer to [1, 2, 16, 18, 19] and the closely related references therein.

From (1.2) and (1.3), it is easy to see that  $m_n^{(u,l,d)} = m_n^{(d,l,u)}$ . Comparing (1.2) with (1.4) reveals that  $M_k(a, b)$  and  $m_k^{(u,l,d)}$  are equivalent to each other and satisfy

$$M_k(a,b) = m_n^{(1,a,b)} = m_k^{(b,a,1)}$$
 and  $m_k^{(u,l,d)} = M_k(l,ud).$  (1.6)

Therefore, it suffices to consider the generalized Motzkin numbers  $M_k(a, b)$ , rather than the (u, l, d)-Motzkin numbers  $m_n^{(u,l,d)}$ , in this paper.

The main aim of this paper is to establish explicit formulas for the Motzkin numbers  $M_k$  and the generalized Motzkin numbers  $M_k(a, b)$ . As consequences, two explicit formulas for the restricted hexagonal numbers  $H_n$  are derived.

Our main results in this paper can be stated as the following theorems.

**Theorem 1** For  $k \ge 0$ , the Motzkin numbers  $M_k$  can be computed by

$$M_{k} = \frac{9}{8} \left(\frac{3}{2}\right)^{k} \sum_{\ell=0}^{k+2} \left(\frac{2}{3}\right)^{\ell} \frac{(2\ell-3)!!}{\ell!} \binom{\ell}{k-\ell+2},$$
(1.7)

where  $\binom{p}{q} = 0$  for  $q > p \ge 0$  and the double factorial of negative odd integers -(2n + 1) is defined by

$$\left[-(2n+1)\right]!! = \frac{(-1)^n}{(2n-1)!!} = (-1)^n \frac{2^n n!}{(2n)!}, \quad n = 0, 1, \dots$$

**Theorem 2** For  $k \ge 0$  and  $a, b \in \mathbb{N}$ , the generalized Motzkin numbers  $M_k(a, b)$  can be computed by

$$M_k(a,b) = \frac{1}{2b} \left(\frac{4b-a^2}{2a}\right)^{k+2} \sum_{\ell=0}^{k+2} \left(\frac{2a^2}{4b-a^2}\right)^{\ell} \frac{(2\ell-3)!!}{\ell!} \binom{\ell}{k-\ell+2}.$$
(1.8)

Consequently, the Catalan numbers  $C_k$  and the restricted hexagonal numbers  $H_k$  can be computed by

$$C_k = 2^k \frac{(2k-1)!!}{(k+1)!} \tag{1.9}$$

and

$$H_{k} = (-1)^{k} \frac{25}{72} \left(\frac{5}{6}\right)^{k} \sum_{\ell=0}^{k+2} (-1)^{\ell} \left(\frac{18}{5}\right)^{\ell} \frac{(2\ell-3)!!}{\ell!} \binom{\ell}{k-\ell+2},$$
(1.10)

respectively.

**Theorem 3** For  $n \ge 0$  and  $a, b \in \mathbb{N}$ , the generalized Motzkin numbers  $M_n(a, b)$  can be computed by

$$M_{n}(a,b) = \begin{cases} 1, & n = 0; \\ \frac{a^{2}}{2b} \left(\frac{4b-a^{2}}{2a}\right)^{n} \sum_{k=0}^{n} \left(\frac{2a^{2}}{4b-a^{2}}\right)^{k} \frac{(2k+1)!!}{(k+2)!} \binom{k+2}{n-k}, & n \in \mathbb{N}. \end{cases}$$
(1.11)

Consequently, equation (1.9) for the Catalan numbers  $C_n$  is valid, the Motzkin numbers  $M_n$  and the restricted hexagonal numbers  $H_k$  can be computed by

$$M_{n} = \begin{cases} 1, & n = 0, \\ \frac{1}{2} \left(\frac{3}{2}\right)^{n} \sum_{k=0}^{n} \left(\frac{2}{3}\right)^{k} \frac{(2k+1)!!}{(k+2)!} \binom{k+2}{n-k}, & n \in \mathbb{N}, \end{cases}$$
(1.12)

and

$$H_{n} = \begin{cases} 1, & n = 0, \\ (-1)^{n} \frac{9}{2} \left(\frac{5}{6}\right)^{n} \sum_{k=0}^{n} (-1)^{k} \left(\frac{18}{5}\right)^{k} \frac{(2k+1)!!}{(k+2)!} \binom{k+2}{n-k}, & n \in \mathbb{N}, \end{cases}$$
(1.13)

respectively.

#### 2 Proofs of main results

Now we are in a position to prove our main results.

*Proof of Theorem* 1 From (1.1), it follows that

$$\sqrt{1-2x-3x^2} = 1-x-2\sum_{k=0}^{\infty}M_kx^{k+2}.$$

This implies that

$$M_k = -\frac{1}{2} \frac{1}{(k+2)!} \lim_{x \to 0} \left( \sqrt{1 - 2x - 3x^2} \right)^{(k+2)}, \quad k \ge 0.$$
(2.1)

In combinatorial analysis, the Faà di Bruno formula plays an important role and can be described in terms of the Bell polynomials of the second kind

$$B_{n,k}(x_1, x_2, \dots, x_{n-k+1}) = \sum_{\substack{1 \le i \le n, \ell_i \in \{0\} \cup \mathbb{N} \\ \sum_{i=1}^{n} i \, \ell_i = n \\ \sum_{i=1}^{n} \ell_i = k}} \frac{n!}{\prod_{i=1}^{n-k+1} \ell_i!} \prod_{i=1}^{n-k+1} \left(\frac{x_i}{i!}\right)^{\ell_i}$$

for  $n \ge k \ge 0$ , see [20], p.134, Theorem A, by

$$\frac{\mathrm{d}^{n}}{\mathrm{d}t^{n}} \left[ f \circ h(t) \right] = \sum_{k=0}^{n} f^{(k)} \left( h(t) \right) \mathsf{B}_{n,k} \left( h'(t), h''(t), \dots, h^{(n-k+1)}(t) \right)$$
(2.2)

for  $n \ge 0$ ; see [20], p.139, Theorem C. The Bell polynomials of the second kind  $B_{n,k}(x_1, x_2, \dots, x_{n-k+1})$  satisfy the formula

$$B_{n,k}(abx_1, ab^2x_2, \dots, ab^{n-k+1}x_{n-k+1}) = a^k b^n B_{n,k}(x_1, x_2, \dots, x_{n-k+1})$$
(2.3)

for  $n \ge k \ge 0$ ; see [20], p.135. In [21], Theorem 4.1, [10], Eq. (2.8), and [22], Section 3, it was established that

$$B_{n,k}(x,1,0,\ldots,0) = \frac{(n-k)!}{2^{n-k}} \binom{n}{k} \binom{k}{n-k} x^{2k-n}, \quad n \ge k \ge 0.$$
(2.4)

Then, for  $k \ge 0$ , we have

$$\begin{split} \left(\sqrt{1-2x-3x^2}\right)^{(k+2)} &= \sum_{\ell=0}^{k+2} \left\langle \frac{1}{2} \right\rangle_{\ell} \left(1-2x-3x^2\right)^{1/2-\ell} B_{k+2,\ell} (-2-6x,-6,0,\ldots,0) \\ & \longrightarrow \sum_{\ell=0}^{k+2} \left\langle \frac{1}{2} \right\rangle_{\ell} B_{k+2,\ell} (-2,-6,0,\ldots,0) \\ &= \sum_{\ell=0}^{k+2} \left\langle \frac{1}{2} \right\rangle_{\ell} (-6)^{\ell} B_{k+2,\ell} \left(\frac{1}{3},1,0,\ldots,0\right) \\ &= \sum_{\ell=0}^{k+2} (-1)^{\ell} \left\langle \frac{1}{2} \right\rangle_{\ell} \frac{(k-\ell+2)!}{2^{k-2\ell+2}3^{\ell-k-2}} \binom{k+2}{\ell} \binom{\ell}{k-\ell+2} \end{split}$$

.

as  $x \to 0$ , where

$$\langle x \rangle_n = \begin{cases} x(x-1)\cdots(x-n+1), & n \ge 1, \\ 1, & n = 0, \end{cases}$$

denotes the falling factorial of  $x \in \mathbb{R}$ . Consequently, by (2.1), it follows that

$$M_{k} = -\frac{9}{8} \left(\frac{3}{2}\right)^{k} \frac{1}{(k+2)!} \sum_{\ell=0}^{k+2} (-1)^{\ell} \left(\frac{1}{2}\right)_{\ell} \left(\frac{4}{3}\right)^{\ell} (k-\ell+2)! \binom{k+2}{\ell} \binom{\ell}{k-\ell+2}$$

for  $k \ge 0$ , which can be rewritten as (1.7). The proof of Theorem 1 is complete.

Proof of Theorem 2 From (1.4), it is derived that

$$\sqrt{(1-ax)^2-4bx^2} = 1-ax-2b\sum_{k=0}^{\infty}M_k(a,b)x^{k+2}.$$

This implies that

$$M_k(a,b) = -\frac{1}{2b} \frac{1}{(k+2)!} \lim_{x \to 0} \left[ \sqrt{(1-ax)^2 - 4bx^2} \right]^{(k+2)}, \quad k \ge 0.$$
(2.5)

By virtue of (2.2), (2.3), and (2.4), it follows that

$$\begin{split} & \left[\sqrt{(1-ax)^2-4bx^2}\right]^{(k+2)} \\ &= \sum_{\ell=0}^{k+2} \left\langle \frac{1}{2} \right\rangle_{\ell} \left[ (1-ax)^2 - 4bx^2 \right]^{1/2-\ell} \\ & \times B_{k+2,\ell} \left( -2\left[a + (4b-a^2)x\right], 2\left(a^2 - 4b\right), 0, \dots, 0 \right) \\ & \to \sum_{\ell=0}^{k+2} \left\langle \frac{1}{2} \right\rangle_{\ell} B_{k+2,\ell} \left( -2a, 2\left(a^2 - 4b\right), 0, \dots, 0 \right) \\ &= \sum_{\ell=0}^{k+2} \left\langle \frac{1}{2} \right\rangle_{\ell} \left[ 2\left(a^2 - 4b\right) \right]^{\ell} B_{k+2,\ell} \left( \frac{a}{4b-a^2}, 1, 0, \dots, 0 \right) \\ &= \sum_{\ell=0}^{k+2} \left\langle \frac{1}{2} \right\rangle_{\ell} \left[ 2\left(a^2 - 4b\right) \right]^{\ell} \frac{(k-\ell+2)!}{2^{k-\ell+2}} \binom{k+2}{\ell} \binom{\ell}{k-\ell+2} \left( \frac{a}{4b-a^2} \right)^{2\ell-k-2} \end{split}$$

as  $x \to 0$ . Substituting this into (2.5) and simplifying yield

$$M_{k}(a,b) = -\frac{1}{2b} \sum_{\ell=0}^{k+2} \left\langle \frac{1}{2} \right\rangle_{\ell} \left[ 2\left(a^{2} - 4b\right) \right]^{\ell} \frac{1}{2^{k-\ell+2}} \frac{1}{\ell!} \binom{\ell}{k-\ell+2} \left( \frac{a}{4b-a^{2}} \right)^{2\ell-k-2}$$

for  $k \ge 0$ , which can be further rearranged as (1.8).

Letting (a, b) = (2, 1) and (a, b) = (3, 1), respectively, in (1.8) and considering the last two relations in (1.5) lead to (1.9) and (1.10) immediately. The proof of Theorem 2 is complete.

*Proof of Theorem* 3 For  $|x[(a^2 - 4b)x - 2a]| < 1$ , the generating function  $M_{a,b}(x)$  in (1.4) can be expanded into

$$\begin{split} M_{a,b}(x) &= \frac{1}{2bx^2} \Big[ 1 - ax - \sqrt{1 - 2ax + (a^2 - 4b)x^2} \Big] \\ &= \frac{1}{2bx^2} \left\{ 1 - ax - \sum_{k=0}^{\infty} \left\langle \frac{1}{2} \right\rangle_k \frac{x^k [(a^2 - 4b)x - 2a]^k}{k!} \right\} \\ &= \frac{1}{2bx^2} \left\{ -\frac{a^2 - 4b}{2}x^2 - \sum_{k=2}^{\infty} \left\langle \frac{1}{2} \right\rangle_k \frac{x^k [(a^2 - 4b)x - 2a]^k}{k!} \right\} \\ &= -\frac{1}{2b} \left\{ \frac{a^2 - 4b}{2} + \sum_{k=2}^{\infty} \left\langle \frac{1}{2} \right\rangle_k \frac{x^{k-2} [(a^2 - 4b)x - 2a]^k}{k!} \right\}. \end{split}$$

By (1.4) once again, it follows that

$$M_n(a,b) = \frac{1}{n!} \lim_{x \to 0} \left[ M_{a,b}(x) \right]^{(n)}$$
$$= -\frac{1}{2b} \frac{1}{n!} \lim_{x \to 0} \left\{ \frac{a^2 - 4b}{2} + \sum_{k=2}^{\infty} \left\langle \frac{1}{2} \right\rangle_k \frac{x^{k-2} [(a^2 - 4b)x - 2a]^k}{k!} \right\}^{(n)},$$

which means that

$$\begin{split} M_0(a,b) &= -\frac{1}{2b} \lim_{x \to 0} \left\{ \frac{a^2 - 4b}{2} + \sum_{k=2}^{\infty} \left\langle \frac{1}{2} \right\rangle_k \frac{x^{k-2} [(a^2 - 4b)x - 2a]^k}{k!} \right\} \\ &= -\frac{1}{2b} \left[ \frac{a^2 - 4b}{2} + \left\langle \frac{1}{2} \right\rangle_2 \frac{4a^2}{2!} \right] \\ &= 1 \end{split}$$

and

$$\begin{split} M_n(a,b) &= -\frac{1}{2b} \frac{1}{n!} \lim_{x \to 0} \sum_{k=2}^{\infty} \left\langle \frac{1}{2} \right\rangle_k \frac{\{x^{k-2}[(a^2 - 4b)x - 2a]^k\}^{(n)}}{k!} \\ &= -\frac{1}{2b} \frac{1}{n!} \lim_{x \to 0} \sum_{k=0}^{\infty} \left\langle \frac{1}{2} \right\rangle_{k+2} \frac{\{x^k[(a^2 - 4b)x - 2a]^{k+2}\}^{(n)}}{(k+2)!} \\ &= -\frac{1}{2b} \frac{1}{n!} \lim_{x \to 0} \sum_{k=0}^{\infty} \frac{\langle 1/2 \rangle_{k+2}}{(k+2)!} \\ &\times \left\{ \sum_{\ell=0}^{k+2} \binom{k+2}{\ell} (a^2 - 4b)^{\ell} (-2a)^{k-\ell+2} x^{k+\ell} \right\}^{(n)} \\ &= -\frac{1}{2b} \frac{1}{n!} \lim_{x \to 0} \sum_{k=0}^{\infty} \frac{\langle 1/2 \rangle_{k+2}}{(k+2)!} \sum_{\ell=0}^{k+2} \binom{k+2}{\ell} (a^2 - 4b)^{\ell} (-2a)^{k-\ell+2} (x^{k+\ell})^{(n)} \\ &= \frac{1}{2b} \lim_{x \to 0} \sum_{k=0}^{\infty} \frac{(2k+1)!!}{(k+2)!} \sum_{\ell=n-k}^{k+2} \binom{k+2}{\ell} \binom{4b-a^2}{2}^{\ell} a^{k-\ell+2} \binom{k+\ell}{n} x^{k+\ell-n} \end{split}$$

$$= \frac{1}{2b} \sum_{k=0}^{n} \frac{(2k+1)!!}{(k+2)!} {\binom{k+2}{n-k}} \left(\frac{4b-a^2}{2}\right)^{n-k} a^{2k-n+2}$$
$$= \frac{a^2}{2b} \left(\frac{4b-a^2}{2a}\right)^n \sum_{k=0}^{n} \frac{(2k+1)!!}{(k+2)!} {\binom{k+2}{n-k}} \left(\frac{2a^2}{4b-a^2}\right)^k$$

for  $n \in \mathbb{N}$ . In conclusion, equation (1.11) follows.

Taking (a, b) = (2, 1), (a, b) = (1, 1), and (a, b) = (3, 1), respectively, in (1.11) and considering the three relations in (1.5) lead to (1.9), (1.12), and (1.13) readily. The proof of Theorem 3 is complete.

#### 3 Remarks

Finally, we list several remarks.

**Remark 1** The explicit formula (1.8) is a generalization of (1.7).

**Remark 2** Equation (1.9) and many other alternative formulas for the Catalan numbers  $C_k$  can also be found in [3–6, 8, 9, 12–14] and the closely related references therein.

Remark 3 By the second relation in (1.6), equation (1.3) can be reformulated as

$$M_n(a,b) = a^n \sum_{j=0}^{n/2} \frac{1}{j+1} \binom{2j}{j} \binom{n}{2j} \binom{b}{a^2}^j,$$
(3.1)

which is different from the two equations (1.8) and (1.11).

**Remark 4** Making use of any one among equations (1.8), (1.11), and (3.1), we can present the first nine generalized Motzkin numbers  $M_n(a, b)$  for  $0 \le n \le 8$  and  $a, b \in \mathbb{N}$  as follows:

1, *a*, 
$$a^2 + b$$
,  $a(a^2 + 3b)$ ,  $a^4 + 6a^2b + 2b^2$ ,  $a(a^4 + 10a^2b + 10b^2)$ ,  
 $a^6 + 15a^4b + 30a^2b^2 + 5b^3$ ,  $a(a^6 + 21a^4b + 70a^2b^2 + 35b^3)$ ,  
 $a^8 + 28a^6b + 140a^4b^2 + 140a^2b^3 + 14b^4$ .

In particular, the first nine restricted hexagonal numbers  $H_n$  for  $0 \le n \le 8$  are

1, 3, 10, 36, 137, 543, 2,219, 9,285, 39,587.

### 4 Conclusions

By the Faà di Bruno formula and some properties of the Bell polynomials of the second kind, we establish two explicit formulas for the Motzkin numbers, the generalized Motzkin numbers, and the restricted hexagonal numbers.

#### **Competing interests**

None of the authors has any competing interests in the manuscript.

#### Authors' contributions

All authors contributed to this paper equally. All authors read and approved the final manuscript.

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