# RESEARCH



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# Proof of a conjecture of Z-W Sun on ratio monotonicity

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## Abstract

In this paper, we study the log-behavior of a new sequence  $\{S_n\}_{n=0}^{\infty}$ , which was defined by Z-W Sun. We find that the sequence is log-convex by using the interlacing method. Additionally, we consider ratio log-behavior of  $\{S_n\}_{n=0}^{\infty}$  and find the sequences  $\{S_{n+1}/S_n\}_{n=0}^{\infty}$  and  $\{\sqrt[n]{S_n}\}_{n=1}^{\infty}$  are log-concave. Our results give an affirmative answer to a conjecture of Z-W Sun on the ratio monotonicity of this new sequence.

MSC: Primary 05A20; 05A10; 11B65; 11B37

**Keywords:** log-convexity; log-concavity; ratio monotonicity; interlacing method; ratio log-concavity

# 1 Introduction

Throughout the paper, we denote by  $\mathbb{N}$  the set of nonnegative integers. The main objective of this paper aims to confirm a conjecture on ratio monotonicity of a new kind of sequence  $\{S_n\}_{n=0}^{\infty}$  via studying its log-behavior properties. The sequence  $\{S_n\}_{n=0}^{\infty}$  was introduced by Sun in [1, 2] and defined as follows:

$$S_n = \sum_{k=0}^n \binom{n}{k}^2 \binom{2k}{k} (2k+1), \quad n \in \mathbb{N}.$$
 (1.1)

Sun studied congruence and divisibility properties of this kind of numbers in [1, 2] and posed the following conjecture.

**Conjecture 1.1** ([1], Conjecture 5.2(ii), [2], Conjecture 4.4) The sequence  $\{\frac{S_{n+1}}{S_n}\}_{n=3}^{\infty}$  is strictly increasing to the limit 9, and the sequence  $\{\frac{n+1/S_{n+1}}{n/S_n}\}_{n=1}^{\infty}$  is strictly decreasing to the limit 1.

To begin with, let us review some related concepts. Let  $\{z_n\}_{n=0}^{\infty}$  be a sequence of positive real numbers. We say a sequence  $\{z_n\}_{n=0}^{\infty}$  is (strictly) ratio monotonic if its ratio sequence  $\{\frac{z_{n+1}}{z_n}\}_{n=0}^{\infty}$  is (strictly) monotonically increasing or (strictly) decreasing as *n* increases. A sequence  $\{z_n\}_{n=0}^{\infty}$  is said to be *log-convex* (*resp. log-concave*) if, for all  $n \ge 1$ ,

$$z_{n-1}z_{n+1} \ge z_n^2$$
 (resp.  $z_{n-1}z_{n+1} \le z_n^2$ ). (1.2)

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Meanwhile, the sequence  $\{z_n\}_{n=0}^{\infty}$  is called strictly log-convex (resp. log-concave) if the inequality in (1.2) is strict for  $n \ge n_0$  for some  $n_0 \in \mathbb{N}$ . Indeed, ratio monotonicity is equivalent to log-behavior. According to the definitions, it is easy to see that a ratio monotonically increasing (resp. decreasing) sequence is itself a log-convex (resp. log-concave) sequence and *vice versa*.

So far, many criteria for log-behavior of a sequence have been developed; see [3–10] and the references therein for details. Also, there have been some important progress on ratio monotonicity since many conjectures on ratio monotonicity were posed by Sun [11]. For example, the reader may refer to [12–14]. Recently, Chen *et al.* [15] introduced a notion called ratio log-behavior in order to study the log-behavior of sequences of the form  $\{\sqrt[n]{z_n}\}_{n=1}^{\infty}$ . By ratio log-concavity (resp. log-convexity) of a sequence  $\{z_n\}_{n=0}^{\infty}$ , we mean that the sequence  $\{\frac{z_{n+1}}{z_n}\}_{n=0}^{\infty}$  is log-concave (resp. log-convex). They found that the ratio logconcavity (resp. log-convexity) of a positive sequence  $\{z_n\}_{n=k_0}^{\infty}$  for some positive integer  $k_0$  can imply the sequence  $\{\sqrt[n]{z_n}\}_{n=k_0}^{\infty}$  is strictly log-concave (resp. log-convex) if it satisfies certain initial conditions; see [15], Theorem 3.1 and Theorem 3.6. To make this paper self-contained, we will recall their criteria in Section 3.

The main results of the present paper can be stated as follows.

**Theorem 1.2** The sequence  $\{S_n\}_{n=0}^{\infty}$  is strictly log-convex, that is,

$$S_n^2 < S_{n+1}S_{n-1}$$
 for  $n \ge 1$ . (1.3)

**Theorem 1.3** The sequence  $\{S_n\}_{n=0}^{\infty}$  is ratio log-concave, that is,

$$\left(\frac{S_{n+1}}{S_n}\right)^2 \ge \frac{S_n}{S_{n-1}} \cdot \frac{S_{n+2}}{S_{n+1}} \quad for \ n \ge 0.$$

**Theorem 1.4** The sequence  $\{\sqrt[n]{S_n}\}_{n=1}^{\infty}$  is strictly log-concave.

On the basis of Theorem 1.2 and Theorem 1.4, we can conclude the following result.

#### Theorem 1.5 Conjecture 1.1 is true.

The remainder of the paper is organized as follows. We give some preliminaries work in Section 2, including a three-term recurrence for  $S_n$ , a lower and upper bound for  $\frac{S_n}{S_{n-1}}$ . In Section 3, we give proofs of our main theorems.

#### 2 Preliminaries

## 2.1 A three-term recurrence

The Zeilberger algorithm [16] yields the following four-term recurrence for  $S_n$ :

$$9(n+1)^2 S_n - (19n^2 + 74n + 87) S_{n+1} + (n+3)(11n+29) S_{n+2} - (n+3)^2 S_{n+3} = 0.$$

This recurrence cannot easily be tackled as almost all criteria for log-behavior are concerned with three-term recurrences. So it is indispensable for us to find a three-term recurrence. The following lemma was first obtained awkwardly by solving a linear system of equations. Afterwards, we found it can be deduced from a three-term recurrence for  $4nS_n$ , which was established by a technique way due to Guo and Liu [17].

**Lemma 2.1** Let  $S_n$  be defined in (1.1). Then it satisfies a three-term recurrence:

$$(n+1)^{2}(4n-1)(4n+3)S_{n+1} - (4n-1)(4n+7)(10n^{2}+10n+3)S_{n} + 9n^{2}(4n+3)(4n+7)S_{n-1} = 0 \quad for \ n \ge 1.$$
(2.1)

*Proof* Let  $u_n = 4nS_n$ . Guo and Liu [17], Eq. (2.4), found a three-term recurrence for  $u_n$ , *i.e.*,

$$n(n+1)(n+2)(4n+3)(4n+7)u_{n+2} - n(4n+3)(4n+11)(10n^2+30n+23)u_{n+1} + 9(n+1)^3(4n+11)(4n+7)u_n = 0.$$
(2.2)

Substituting  $4nS_n$  for  $u_n$  in (2.2) and then simplifying, the recurrence (2.1) follows easily.

# **2.2 Bounds for** $\frac{S_n}{S_{n-1}}$

In [4], Chen and Xia provided a heuristic approach to find bounds for  $\frac{z_n}{z_{n-1}}$ , where  $z_n$  satisfies a three-term recurrence. The following bounds can be acquired by using their method.

Lemma 2.2 Let

$$h(n)=9-\frac{9}{2n^2}.$$

Then we have

$$h(n-1) < \frac{S_n}{S_{n-1}} < h(n) \quad \text{for } n \ge 2.$$
 (2.3)

*Proof* We proceed our proof by induction on *n*. For the sake of simplicity, let

$$s_n = \frac{S_n}{S_{n-1}}.$$

To begin with,

$$h(1) = \frac{9}{2} < s_2 = \frac{55}{7} < h(2) = \frac{63}{8},$$

so inequality (2.3) holds for n = 2.

Suppose that  $h(n - 1) < s_n < h(n)$ , we proceed to show that  $h(n) < s_{n+1} < h(n + 1)$ . On the one hand, by Lemma 2.1, we have

$$\begin{split} s_{n+1} - h(n+1) &= \frac{(4n+7)(10n^2+10n+3)}{(n+1)^2(4n+3)} - \frac{9n^2(4n+7)}{(n+1)^2(4n-1)s_n} - h(n+1) \\ &< \frac{4n+7}{(n+1)^2} \left( \frac{10n^2+10n+3}{4n+3} - \frac{9n^2}{(4n-1)h(n)} \right) - h(n+1) \end{split}$$

$$= \frac{4n+7}{(n+1)^2} \left( \frac{72n^5 + 54n^4 - 36n^3 - 36n^2 - 2n + 3}{(4n-1)(4n+3)(2n^2 - 1)} \right) - h(n+1)$$
  
=  $\frac{-88n^2 - 40n + 15}{2(n+1)^2(4n-1)(4n+3)(2n^2 - 1)}$   
< 0 for  $n \ge 1$ ,

which obviously implies  $s_{n+1} < h(n+1)$ .

On the other hand, consider that, for  $n \ge 1$ ,

$$\begin{split} s_{n+1} - h(n) &= \frac{(4n+7)(10n^2+10n+3)}{(n+1)^2(4n+3)} - \frac{9n^2(4n+7)}{(n+1)^2(4n-1)s_n} - h(n) \\ &> \frac{4n+7}{(n+1)^2} \left( \frac{10n^2+10n+3}{4n+3} - \frac{9n^2}{(4n-1)h(n-1)} \right) - h(n) \\ &= \frac{(4n+7)(72n^5-90n^4-72n^3+10n^2+14n-3)}{(n+1)^2(4n-1)(4n+3)(2n^2-4n+1)} - h(n) \\ &= \frac{512n^5-792n^4-728n^3+147n^2+126n-27}{2n^2(n+1)^2(4n-1)(4n+3)(2n^2-4n+1)} \\ &> 0. \end{split}$$

Evidently, this gives us  $s_{n+1} > h(n)$ .

According to an inductive argument, it follows that, for all  $n \ge 2$ , we have

$$h(n-1) < s_n < h(n).$$

As a corollary, we have the following.

**Corollary 2.3** Let  $S_n$  be defined by (1.1). Then we have

$$\lim_{n \to \infty} \frac{S_{n+1}}{S_n} = 9.$$

## **3** Proofs of theorems

Before giving proofs of our theorems, we need to recall some known results. The following proposition first appeared in [6] and is formally called the interlacing method by Došlić and Veljan [5].

**Proposition 3.1** ([5]) Suppose that  $\{z_n\}_{n=0}^{\infty}$  is a sequence of positive numbers. Then, for some positive integer N, the sequence  $\{z_n\}_{n=N}^{\infty}$  is log-convex (resp. log-concave) if there exists an increasing (resp. a decreasing) sequence  $\{h(n)\}_{n=0}^{\infty}$  such that

$$h(n-1) \le q_n \le h(n) \qquad (resp. \ h(n-1) \ge q_n \ge h(n)) \tag{3.1}$$

holds for  $n \ge N + 1$ , where  $q_n = \frac{z_{n+1}}{z_n}$ . Moreover, the sequence  $\{z_n\}_{n=N}^{\infty}$  is strictly log-convex (resp. strictly log-concave) if and only if the above inequalities (3.1) are strict.

To prove Theorem 1.3 and Theorem 1.4, the following criteria due to Chen *et al.* [15] are also indispensable.

**Theorem 3.2** ([15], Theorem 3.1) Let  $\{z_n\}_{n=0}^{\infty}$  be the sequence defined by the following recurrence:

$$z_n = u(n)z_{n-1} + v(n)z_{n-2}.$$

Assume that v(n) < 0 for  $n \ge 2$ . If there exist a nonnegative integer N and a function h(n) such that, for all  $n \ge N + 2$ ,

(i) 
$$\frac{3u(n)}{4} \le \frac{z_n}{z_{n-1}} \le h(n);$$
  
(ii)  $h(n)^4 - u(n)h(n)^3 - u(n+1)v(n)h(n) - v(n)v(n+1) < 0,$   
then  $\{z_n\}_{n=N}^{\infty}$  is ratio log-concave.

**Theorem 3.3** ([15], Theorem 3.6) Assume that k is a positive integer. If a sequence  $\{z_n\}_{n=k}^{\infty}$  is ratio log-concave and

$$\frac{\sqrt[k+1]{z_{k+1}}}{\sqrt[k]{z_k}} > \frac{\sqrt[k+2]{z_{k+2}}}{\sqrt[k+1]{z_{k+1}}},$$

then the sequence  $\{\sqrt[n]{z_n}\}_{n=k}^{\infty}$  is strictly log-concave.

We are now in a position to prove our main theorems.

*Proof of Theorem* 1.2 Since h(n) is strictly monotonically increasing, it follows that  $\{S_n\}_{n=1}^{\infty}$  is strictly log-convex by Lemma 2.2 and Proposition 3.1.

As a corollary, we have the following.

**Corollary 3.4** The sequence  $\{\frac{S_{n+1}}{S_n}\}_{n=1}^{\infty}$  is strictly monotonically increasing.

Since  $\{S_n\}_{n=0}^{\infty}$  is a positive sequence, we can define the sequence  $\{\sqrt[n]{S_n}\}_{n=1}^{\infty}$ . Then we have the following result.

**Corollary 3.5** The sequence  $\{\sqrt[n]{S_n}\}_{n=1}^{\infty}$  is strictly increasing. Moreover,

$$\lim_{n \to \infty} \sqrt[n]{S_n} = 9. \tag{3.2}$$

Proof By Corollary 3.4, it follows that

$$\frac{S_{n+1}}{S_n} > \frac{S_n}{S_{n-1}} \quad \text{for } n \ge 1.$$

Consider that  $S_0 = 1$ , so

$$S_n = S_0 \cdot \frac{S_1}{S_0} \cdot \frac{S_2}{S_1} \cdots \frac{S_n}{S_{n-1}} < \left(\frac{S_{n+1}}{S_n}\right)^n$$
,

which implies

$$S_n^{n+1} < S_{n+1}^n$$

This is equivalent to

$$(S_n^{n+1})^{\frac{1}{n(n+1)}} < (S_{n+1}^n)^{\frac{1}{n(n+1)}}$$
,

that is,

$$\sqrt[n]{S_n} < \sqrt[n+1]{S_{n+1}}.$$

Additionally, consider that, for a real sequence  $\{z_n\}_{n=1}^{\infty}$  of positive real numbers, it was shown that

$$\lim_{n \to \infty} \inf \frac{z_{n+1}}{z_n} \le \lim_{n \to \infty} \inf \sqrt[n]{z_n}$$
(3.3)

and

$$\lim_{n \to \infty} \sup \sqrt[n]{z_n} \le \lim_{n \to \infty} \sup \frac{z_{n+1}}{z_n},\tag{3.4}$$

see Rudin [18]. The inequalities in (3.3) and (3.4) imply that

$$\lim_{n \to \infty} \sqrt[n]{z_n} = \lim_{n \to \infty} \frac{z_n}{z_{n-1}}$$

if  $\lim_{n\to\infty} \frac{z_n}{z_{n-1}}$  exists. By Corollary 2.3, we arrive at (3.2). This completes the proof.

Proof of Theorem 1.3 By Lemma 2.1, the recurrence (2.1) implies that

$$S_n = \frac{(4n+3)(10n^2 - 10n + 3)}{n^2(4n-1)} S_{n-1} - \frac{9(n-1)^2(4n+3)}{n^2(4n-5)} S_{n-1}.$$

To keep the notation in Theorem 3.2, here we still let

$$u(n) = \frac{(4n+3)(10n^2 - 10n + 3)}{n^2(4n-1)}, \qquad v(n) = \frac{9(n-1)^2(4n+3)}{n^2(4n-5)}.$$

Consider that

$$\frac{3u(n)}{4} - h(n-1) = -\frac{3(8n^5 - 18n^4 + 6n^3 - 41n^2 + 36n - 9)}{4n^2(n-1)^2(4n-1)}$$
  
< 0 for  $n \ge 3$ ,

which shows that

$$\frac{3u(n)}{4} < h(n-1) \quad \text{for } n \ge 3.$$
(3.5)

Additionally, for  $n \ge 1$ ,

$$h(n)^4 - u(n)h(n)^3 - u(n+1)v(n)h(n) - v(n)v(n+1)$$

$$= \frac{-A(n)}{16n^8(n+1)^2(4n-5)(4n-1)}$$
  
< 0, (3.6)

where

$$A(n) = 331,776n^8 - 393,984n^7 - 693,360n^6 + 524,232n^5 + 581,256n^4 - 242,028n^3 - 223,803n^2 + 39,366n + 32,805.$$

Combining the inequalities (3.5) and (3.6), we arrive at our statement in Theorem 1.3 by Theorem 3.2.  $\hfill \Box$ 

**Remark 3.6** Notice that the first author of the present paper and Zhao [19] also found some criteria for ratio log-behavior, which can also be used to prove ratio log-concavity of  $\{S_n\}_{n=0}^{\infty}$ .

*Proof of Theorem* 1.4 By Theorem 3.3, it suffices to find a positive integer *k* such that

$$\frac{\frac{k+1}{\sqrt{S_{k+1}}}}{\frac{k}{\sqrt{S_k}}} > \frac{\frac{k+2}{\sqrt{S_{k+2}}}}{\frac{k+1}{\sqrt{S_{k+1}}}}.$$

Let k = 1, we have

$$\frac{\sqrt{S_2}}{S_1} = \frac{\sqrt{55}}{7} > \frac{\sqrt{S_3}}{S_2} = \frac{\sqrt[3]{93}}{\sqrt[6]{5}\sqrt{11}}$$

since

$$\left(\frac{\sqrt{55}}{7}\right)^6 - \left(\frac{\sqrt[3]{93}}{\sqrt[6]{5}\sqrt{11}}\right)^6 = \frac{89,679,424}{782,954,095} > 0.$$

Therefore, by Theorem 1.3 and Theorem 3.3, it follows that  $\{\sqrt[n]{S_n}\}_{n=1}^{\infty}$  is strictly log-concave.

**Corollary 3.7** The sequence  $\left\{\frac{n+\sqrt{S_{n+1}}}{\sqrt[n]{S_n}}\right\}_{n=1}^{\infty}$  is strictly monotonically decreasing.

**Corollary 3.8** For  $S_n$ , we have

$$\lim_{n \to \infty} \frac{\sqrt[n+1]{S_{n+1}}}{\sqrt[n]{S_n}} = 1.$$

*Proof* With the aid of Lemma 2.2, we have

$$7\prod_{i=2}^{n}h(i-1) < S_n < 7\prod_{i=2}^{n}h(i).$$

Therefore, we can deduce that

$$\log\left(\frac{\frac{n+1}{\sqrt{S_{n+1}}}}{\sqrt{S_n}}\right) = \frac{\log S_{n+1}}{n+1} - \frac{\log S_n}{n}$$

$$<\frac{\log{(7\prod_{i=2}^{n+1}h(i))}}{n+1}-\frac{\log{(7\prod_{i=2}^{n}h(i-1))}}{n}$$

and

$$\log\left(\frac{\binom{n+1}{N}S_{n+1}}{\sqrt[n]{S_n}}\right) = \frac{\log S_{n+1}}{n+1} - \frac{\log S_n}{n}$$
  
> 
$$\frac{\log\left(7\prod_{i=2}^{n+1}h(i-1)\right)}{n+1} - \frac{\log\left(7\prod_{i=2}^{n}h(i)\right)}{n}.$$

Resorting to Mathematica 10.0, we find that

$$\lim_{n \to \infty} \left( \frac{\log \left(7 \prod_{i=2}^{n+1} h(i)\right)}{n+1} - \frac{\log \left(7 \prod_{i=2}^{n} h(i-1)\right)}{n} \right) = 0,$$
$$\lim_{n \to \infty} \left( \frac{\log \left(7 \prod_{i=2}^{n+1} h(i-1)\right)}{n+1} - \frac{\log \left(7 \prod_{i=2}^{n} h(i)\right)}{n} \right) = 0.$$

Thus we can arrive at

$$\lim_{n\to\infty}\log\left(\frac{\sqrt[n+1]{S_{n+1}}}{\sqrt[n]{S_n}}\right) = 0,$$

which implies

$$\lim_{n\to\infty}\frac{\sqrt[n+1]{S_{n+1}}}{\sqrt[n]{S_n}}=1.$$

This finishes the proof.

*Proof of Theorem* 1.5 The first part of Conjecture 1.1 follows from Theorem 1.2, Corollary 2.3 and Corollary 3.4. The second part follows from Theorem 1.4, Corollary 3.7, and Corollary 3.8. This completes the proof of Theorem 1.5.

#### **Competing interests**

The authors declare that they have no competing interests.

#### Authors' contributions

All authors contributed equally to the writing of this paper. All authors read and approved the final manuscript.

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