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# A rank formula for the self-commutators of rational Toeplitz tuples

In Sung Hwang<sup>1</sup>, An Hyun Kim<sup>2\*</sup> and Sumin Kim<sup>1</sup>

\*Correspondence: ahkim@changwon.ac.kr 2Department of Mathematics, Changwon National University, Changwon, 641-773, Korea Full list of author information is available at the end of the article

#### **Abstract**

In this paper we derive a rank formula for the self-commutators of tuples of Toeplitz operators with matrix-valued rational symbols.

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#### 1 Introduction

Let  $\mathcal{H}$  and  $\mathcal{K}$  be complex Hilbert spaces, let  $\mathcal{B}(\mathcal{H},\mathcal{K})$  be the set of bounded linear operators from  $\mathcal{H}$  to  $\mathcal{K}$ , and write  $\mathcal{B}(\mathcal{H}) := \mathcal{B}(\mathcal{H},\mathcal{H})$ . For  $A,B \in \mathcal{B}(\mathcal{H})$ , we let [A,B] := AB - BA. An operator  $T \in \mathcal{B}(\mathcal{H})$  is said to be normal if  $[T^*,T] = 0$ , hyponormal if  $[T^*,T] \geq 0$ . For an operator  $T \in \mathcal{B}(\mathcal{H})$ , we write ker T and ran T for the kernel and the range of T, respectively. For a subset  $\mathcal{M}$  of a Hilbert space  $\mathcal{H}$ , cl  $\mathcal{M}$  and  $\mathcal{M}^{\perp}$  denote the closure and the orthogonal complement of  $\mathcal{M}$ , respectively. Also, let  $\mathbb{T} \equiv \partial \mathbb{D}$  be the unit circle (where  $\mathbb{D}$  denotes the open unit disk in the complex plane  $\mathbb{C}$ ). Recall that  $L^{\infty} \equiv L^{\infty}(\mathbb{T})$  is the set of bounded measurable functions on  $\mathbb{T}$ , that the Hilbert space  $L^2 \equiv L^2(\mathbb{T})$  has a canonical orthonormal basis given by the trigonometric functions  $e_n(z) = z^n$ , for all  $n \in \mathbb{Z}$ , and that the Hardy space  $H^2 \equiv H^2(\mathbb{T})$  is the closed linear span of  $\{e_n : n \geq 0\}$ . An element  $f \in L^2$  is said to be analytic if  $f \in H^2$ . Let  $H^{\infty} := L^{\infty} \cap H^2$ , *i.e.*,  $H^{\infty}$  is the set of bounded analytic functions on  $\mathbb{D}$ .

We review the notion of functions of bounded type and a few essential facts about Hankel and Toeplitz operators and for that we will use [1–4].

For  $\varphi \in L^{\infty}$ , we write

$$\varphi_+ \equiv P\varphi \in H^2$$
 and  $\varphi_- \equiv \overline{P^\perp \varphi} \in zH^2$ ,

where P and  $P^{\perp}$  denote the orthogonal projection from  $L^2$  onto  $H^2$  and  $(H^2)^{\perp}$ , respectively. Thus we may write  $\varphi = \overline{\varphi_-} + \varphi_+$ . We recall that a function  $\varphi \in L^{\infty}$  is said to be of *bounded type* (or in the Nevanlinna class  $\mathcal{N}$ ) if there are functions  $\psi_1, \psi_2 \in H^{\infty}$  such that

$$\varphi(z) = \frac{\psi_1(z)}{\psi_2(z)}$$
 for almost all  $z \in \mathbb{T}$ .



We recall [5], Lemma 3, that if  $\varphi \in L^{\infty}$  then

$$\varphi$$
 is of bounded type  $\iff$   $\ker H_{\varphi} \neq \{0\}.$  (1.1)

Assume now that both  $\varphi$  and  $\overline{\varphi}$  are of bounded type. Then from the Beurling's theorem,  $\ker H_{\overline{\varphi_-}} = \theta_0 H^2$  and  $\ker H_{\overline{\varphi_+}} = \theta_+ H^2$  for some inner functions  $\theta_0, \theta_+$ . We thus have  $b := \overline{\varphi_-} \theta_0 \in H^2$ , and hence we can write

$$\varphi_{-} = \theta_{0} \overline{b}$$
 and similarly  $\varphi_{+} = \theta_{+} \overline{a}$  for some  $a \in H^{2}$ . (1.2)

By Kronecker's lemma [3], p.183, if  $f \in H^{\infty}$  then  $\overline{f}$  is a rational function if and only if rank  $H_{\overline{f}} < \infty$ , which implies that

$$\overline{f}$$
 is rational  $\iff$   $f = \theta \overline{b}$  with a finite Blaschke product  $\theta$ . (1.3)

Let  $M_{n\times r}$  denote the set of all  $n\times r$  complex matrices and write  $M_n:=M_{n\times n}$ . For  $\mathcal{X}$  a Hilbert space, let  $L^2_{\mathcal{X}}\equiv L^2_{\mathcal{X}}(\mathbb{T})$  be the Hilbert space of  $\mathcal{X}$ -valued norm square-integrable measurable functions on  $\mathbb{T}$  and let  $L^\infty_{\mathcal{X}}\equiv L^\infty_{\mathcal{X}}(\mathbb{T})$  be the set of  $\mathcal{X}$ -valued bounded measurable functions on  $\mathbb{T}$ . We also let  $H^2_{\mathcal{X}}\equiv H^2_{\mathcal{X}}(\mathbb{T})$  be the corresponding Hardy space and  $H^\infty_{\mathcal{X}}\equiv H^\infty_{\mathcal{X}}(\mathbb{T})=L^\infty_{\mathcal{X}}\cap H^2_{\mathcal{X}}$ . We observe that  $L^2_{\mathbb{C}^n}=L^2\otimes\mathbb{C}^n$  and  $H^2_{\mathbb{C}^n}=H^2\otimes\mathbb{C}^n$ .

For a matrix-valued function  $\Phi \equiv (\varphi_{ij}) \in L^{\infty}_{M_n}$ , we say that  $\Phi$  is of bounded type if each entry  $\varphi_{ij}$  is of bounded type, and we say that  $\Phi$  is *rational* if each entry  $\varphi_{ij}$  is a rational function.

Let  $\Phi \equiv (\varphi_{ij}) \in L^{\infty}_{M_n}$  be such that  $\Phi^*$  is of bounded type. Then each  $\overline{\varphi}_{ij}$  is of bounded type. Thus in view of (1.2), we may write  $\varphi_{ij} = \theta_{ij}\overline{b}_{ij}$ , where  $\theta_{ij}$  is inner and  $\theta_{ij}$  and  $b_{ij}$  are coprime, in other words, there does not exist a nonconstant inner divisor of  $\theta_{ij}$  and  $b_{ij}$ . Thus if  $\theta$  is the least common multiple of  $\{\theta_{ij}: i, j=1,2,\ldots,n\}$ , then we may write

$$\Phi = (\varphi_{ij}) = (\theta_{ij}\overline{b}_{ij}) = (\theta\overline{a}_{ij}) \equiv \theta A^* \quad \text{(where } A \equiv (a_{ji}) \in H^2_{M_n}\text{)}. \tag{1.4}$$

In particular,  $A(\alpha)$  is nonzero whenever  $\theta(\alpha) = 0$  and  $|\alpha| < 1$ .

For  $\Phi \equiv [\varphi_{ij}] \in L^{\infty}_{M_n}$ , we write

$$\Phi_+ := [P(\varphi_{ij})] \in H^2_{M_n}$$
 and  $\Phi_- := [P^{\perp}(\varphi_{ij})]^* \in H^2_{M_n}$ .

Thus we may write  $\Phi = \Phi_-^* + \Phi_+$ . However, it will often be convenient to allow the constant term in  $\Phi_-$ . Hence, if there is no confusion we may assume that  $\Phi_-$  shares the constant term with  $\Phi_+$ : in this case,  $\Phi(0) = \Phi_+(0) + \Phi_-(0)^*$ . If  $\Phi = \Phi_-^* + \Phi_+ \in L_{M_n}^{\infty}$  is such that  $\Phi$  and  $\Phi^*$  are of bounded type, then in view of (1.4), we may write

$$\Phi_{+} = \theta_1 A^* \quad \text{and} \quad \Phi_{-} = \theta_2 B^*, \tag{1.5}$$

where  $\theta_1$  and  $\theta_2$  are inner functions and  $A, B \in H^2_{M_n}$ . In particular, if  $\Phi \in L^\infty_{M_n}$  is rational then the  $\theta_i$  can be chosen as finite Blaschke products, as we observed in (1.3). For simplicity, we write  $H^2_0$  for  $zH^2_{M_n}$ .

We now introduce the notion of Hankel operators and Toeplitz operators with matrix-valued symbols. If  $\Phi$  is a matrix-valued function in  $L^{\infty}_{M_n}$ , then  $T_{\Phi}: H^2_{\mathbb{C}^n} \to H^2_{\mathbb{C}^n}$  denotes Toeplitz operator with symbol  $\Phi$  defined by

$$T_{\Phi}f := P_n(\Phi f) \quad \text{for } f \in H^2_{\mathbb{C}^n},$$

where  $P_n$  is the orthogonal projection of  $L^2_{\mathbb{C}^n}$  onto  $H^2_{\mathbb{C}^n}$ . A Hankel operator with symbol  $\Phi \in L^\infty_{M_n}$  is an operator  $H_\Phi : H^2_{\mathbb{C}^n} \to H^2_{\mathbb{C}^n}$  defined by

$$H_{\Phi}f := J_n P_n^{\perp}(\Phi f) \quad \text{for } f \in H_{\mathbb{C}^n}^2,$$

where  $P_n^{\perp}$  is the orthogonal projection of  $L_{\mathbb{C}^n}^2$  onto  $(H_{\mathbb{C}^n}^2)^{\perp}$  and  $J_n$  denotes the unitary operator from  $L_{\mathbb{C}^n}^2$  onto  $L_{\mathbb{C}^n}^2$  given by  $J_n(f)(z) := \overline{z}f(\overline{z})$  for  $f \in L_{\mathbb{C}^n}^2$ . For  $\Phi \in L_{M_n \times m}^{\infty}$ , write

$$\widetilde{\Phi}(z) := \Phi^*(\overline{z}).$$

A matrix-valued function  $\Theta \in H^{\infty}_{M_{n \times m}}$  is called *inner* if  $\Theta^* \Theta = I_m$  almost everywhere on  $\mathbb{T}$ , where  $I_m$  denotes the  $m \times m$  identity matrix. If there is no confusion we write simply I for  $I_m$ . The following basic relations can easily be derived:

$$T_{\Phi}^* = T_{\Phi^*}, \qquad H_{\Phi}^* = H_{\widetilde{\Phi}} \quad \left(\Phi \in L_{M_n}^{\infty}\right); \tag{1.6}$$

$$T_{\Phi\Psi} - T_{\Phi}T_{\Psi} = H_{\Phi^*}^* H_{\Psi} \quad (\Phi, \Psi \in L_{M_n}^{\infty});$$
 (1.7)

$$H_{\Phi}T_{\Psi} = H_{\Phi\Psi}, \qquad H_{\Psi\Phi} = T_{\widetilde{\Psi}}^* H_{\Phi} \quad \left(\Phi \in L_{M_n}^{\infty}, \Psi \in H_{M_n}^{\infty}\right). \tag{1.8}$$

In 2006, Gu *et al.* [6] have considered the hyponormality of Toeplitz operators with matrix-valued symbols and characterized it in terms of their symbols.

**Lemma 1.1** (Hyponormality of block Toeplitz operators [6]) For each  $\Phi \in L_{M_n}^{\infty}$ , let

$$\mathcal{E}(\Phi) \coloneqq \left\{ K \in H_{M_n}^{\infty} : \|K\|_{\infty} \le 1 \text{ and } \Phi - K\Phi^* \in H_{M_n}^{\infty} \right\}.$$

Then  $T_{\Phi}$  is hyponormal if and only if  $\Phi$  is normal and  $\mathcal{E}(\Phi)$  is nonempty.

For a matrix-valued function  $\Phi \in H^2_{M_{n \times r}}$ , we say that  $\Delta \in H^2_{M_{n \times m}}$  is a *left inner divisor* of  $\Phi$  if  $\Delta$  is an inner matrix function such that  $\Phi = \Delta A$  for some  $A \in H^2_{M_{m \times r}}$ . We also say that two matrix functions  $\Phi \in H^2_{M_{n \times r}}$  and  $\Psi \in H^2_{M_{n \times m}}$  are *left coprime* if the only common left inner divisor of both  $\Phi$  and  $\Psi$  is a unitary constant, and that  $\Phi \in H^2_{M_{n \times r}}$  and  $\Psi \in H^2_{M_{m \times r}}$  are *right coprime* if  $\widetilde{\Phi}$  and  $\widetilde{\Psi}$  are left coprime. Two matrix functions  $\Phi$  and  $\Psi$  in  $H^2_{M_n}$  are said to be *coprime* if they are both left and right coprime. We note that if  $\Phi \in H^2_{M_n}$  is such that det  $\Phi \neq 0$ , then any left inner divisor  $\Delta$  of  $\Phi$  is square, *i.e.*,  $\Delta \in H^2_{M_n}$  (cf. [7]). If  $\Phi \in H^2_{M_n}$  is such that det  $\Phi \neq 0$ , then we say that  $\Delta \in H^2_{M_n}$  is a *right inner divisor* of  $\Phi$  if  $\widetilde{\Delta}$  is a left inner divisor of  $\widetilde{\Phi}$ .

Let  $\{\Theta_i \in H_{M_n}^{\infty} : i \in J\}$  be a family of inner matrix functions. The greatest common left inner divisor  $\Theta_d$  and the least common left inner multiple  $\Theta_m$  of the family  $\{\Theta_i \in H_{M_n}^{\infty} : i \in J\}$ 

 $i \in J$ } are the inner functions defined by

$$\Theta_d H_{\mathbb{C}^p}^2 = \bigvee_{i \in J} \Theta_i H_{\mathbb{C}^n}^2 \quad \text{and} \quad \Theta_m H_{\mathbb{C}^q}^2 = \bigcap_{i \in J} \Theta_i H_{\mathbb{C}^n}^2.$$

Similarly, the greatest common right inner divisor  $\Theta'_d$  and the least common right inner multiple  $\Theta'_m$  of the family  $\{\Theta_i \in H^\infty_{M_n} : i \in J\}$  are the inner functions defined by

$$\widetilde{\Theta}_d' H_{\mathbb{C}^r}^2 = \bigvee_{i \in J} \widetilde{\Theta}_i H_{\mathbb{C}^n}^2 \quad \text{and} \quad \widetilde{\Theta}_m' H_{\mathbb{C}^s}^2 = \bigcap_{i \in J} \widetilde{\Theta}_i H_{\mathbb{C}^n}^2.$$

The Beurling-Lax-Halmos theorem guarantees that  $\Theta_d$  and  $\Theta_m$  exist and are unique up to a unitary constant right factor, and  $\Theta'_d$  and  $\Theta'_m$  are unique up to a unitary constant left factor. We write

$$\begin{split} \Theta_d &= \text{left-g.c.d.}\{\Theta_i: i \in J\}, & \Theta_m &= \text{left-l.c.m.}\{\Theta_i: i \in J\}, \\ \Theta_d' &= \text{right-g.c.d.}\{\Theta_i: i \in J\}, & \Theta_m' &= \text{right-l.c.m.}\{\Theta_i: i \in J\}. \end{split}$$

If n = 1, then left-g.c.d. $\{\cdot\}$  = right-g.c.d. $\{\cdot\}$  (simply denoted g.c.d. $\{\cdot\}$ ) and left-l.c.m. $\{\cdot\}$  = right-l.c.m. $\{\cdot\}$  (simply denoted l.c.m. $\{\cdot\}$ ). In general, it is not true that left-g.c.d. $\{\cdot\}$  = right-g.c.d. $\{\cdot\}$  and left-l.c.m. $\{\cdot\}$  = right-l.c.m. $\{\cdot\}$ .

If  $\theta$  is an inner function we write  $I_{\theta}$  for  $\theta I_n$  and  $\mathcal{Z}(\theta)$  for the set of all zeros of  $\theta$ .

**Lemma 1.2** *Let*  $\Theta_i := I_{\theta_i}$  *for an inner function*  $\theta_i$   $(i \in J)$ .

- (a) left- $g.c.d.\{\Theta_i: i \in J\} = right$ - $g.c.d.\{\Theta_i: i \in J\} = I_{\theta_d}$ , where  $\theta_d = g.c.d.\{\theta_i: i \in J\}$ .
- (b)  $left-l.c.m.\{\Theta_i: i \in J\} = right-l.c.m.\{\Theta_i: i \in J\} = I_{\theta_m}$ , where  $\theta_m = l.c.m.\{\theta_i: i \in J\}$ .

In view of Lemma 1.2, if  $\Theta_i = I_{\theta_i}$  for an inner function  $\theta_i$  ( $i \in J$ ), we can define the greatest common inner divisor  $\Theta_d$  and the least common inner multiple  $\Theta_m$  of the  $\Theta_i$  by

$$\Theta_d \equiv \text{g.c.d.} \{\Theta_i : i \in J\} := I_{\theta_d}, \quad \text{ where } \theta_d = \text{g.c.d.} \{\theta_i : i \in J\}$$

and

$$\Theta_m \equiv \text{l.c.m.} \{\Theta_i : i \in J\} := I_{\theta_m}, \quad \text{where } \theta_m = \text{l.c.m.} \{\theta_i : i \in J\}.$$

Both  $\Theta_d$  and  $\Theta_m$  are *diagonal-constant* inner functions, *i.e.*, diagonal inner functions, and constant along the diagonal.

By contrast with scalar-valued functions, in (1.4),  $I_{\theta}$  and A need not be (right) coprime. If  $\Omega = \text{left-g.c.d.}\{I_{\theta}, A\}$  in the representation (1.4), that is,

$$\Phi = \theta A^*$$
,

then  $I_{\theta} = \Omega\Omega_{\ell}$  and  $A = \Omega A_{\ell}$  for some inner matrix  $\Omega_{\ell}$  (where  $\Omega_{\ell} \in H^2_{M_n}$  because  $\det(I_{\theta}) \neq 0$ ) and some  $A_{\ell} \in H^2_{M_n}$ . Therefore if  $\Phi^* \in L^{\infty}_{M_n}$  is of bounded type then we can write

$$\Phi = A_{\ell}^* \Omega_{\ell}$$
, where  $A_{\ell}$  and  $\Omega_{\ell}$  are left coprime. (1.9)

In this case,  $A_{\ell}^*\Omega_{\ell}$  is called the *left coprime factorization* of  $\Phi$  and write, briefly,

$$\Phi = A_{\ell}^* \Omega_{\ell} \quad \text{(left coprime)}. \tag{1.10}$$

Similarly, we can write

$$\Phi = \Omega_r A_r^*, \text{ where } A_r \text{ and } \Omega_r \text{ are right coprime.}$$
(1.11)

In this case,  $\Omega_r A_r^*$  is called the *right coprime factorization* of  $\Phi$  and we write, succinctly,

$$\Phi = \Omega_r A_r^* \quad \text{(right coprime)}. \tag{1.12}$$

In this case, we define the *degree* of  $\Phi$  by

$$deg(\Phi) := \dim \mathcal{H}(\Omega_r),$$

where  $\mathcal{H}(\Theta) := H_{\mathbb{C}^n}^2 \ominus \Theta H_{\mathbb{C}^n}^2$  for an inner function  $\Theta$ . It was known (*cf.* [8], Lemma 3.3) that if  $\theta$  is a finite Blaschke product then  $I_{\theta}$  and  $A \in H_{M_n}^2$  are left coprime if and only if they are right coprime. In this viewpoint, in (1.10) and (1.12),  $\Omega_{\ell}$  or  $\Omega_r$  is  $I_{\theta}$  ( $\theta$  a finite Blaschke product) then we shall write

$$\Phi = \theta A^*$$
 (coprime).

On the other hand, we recall that an operator  $T \in \mathcal{B}(\mathcal{H})$  is said to be subnormal if T has a normal extension, *i.e.*,  $T = N|_{\mathcal{H}}$ , where N is a normal operator on some Hilbert space  $\mathcal{K} \supseteq \mathcal{H}$  such that  $\mathcal{H}$  is invariant for N. The Bram-Halmos criterion for subnormality [9, 10] states that an operator  $T \in \mathcal{B}(\mathcal{H})$  is subnormal if and only if  $\sum_{i,j} (T^i x_j, T^j x_i) \ge 0$  for all finite collections  $x_0, x_1, \ldots, x_k \in \mathcal{H}$ . It is easy to see that this is equivalent to the following positivity test:

$$\begin{pmatrix}
[T^*, T] & [T^{*2}, T] & \dots & [T^{*k}, T] \\
[T^*, T^2] & [T^{*2}, T^2] & \dots & [T^{*k}, T^2] \\
\vdots & \vdots & \ddots & \vdots \\
[T^*, T^k] & [T^{*2}, T^k] & \dots & [T^{*k}, T^k]
\end{pmatrix} \ge 0 \quad (\text{all } k \ge 1). \tag{1.13}$$

Condition (1.13) provides a measure of the gap between hyponormality and subnormality. In fact the positivity condition (1.13) for k = 1 is equivalent to the hyponormality of T, while subnormality requires the validity of (1.13) for all k. For  $k \geq 1$ , an operator T is said to be k-hyponormal if T satisfies the positivity condition (1.13) for a fixed k. Thus the Bram-Halmos criterion can be stated thus: T is subnormal if and only if T is k-hyponormal for all  $k \geq 1$ . The notion of k-hyponormality has been considered by many authors aiming at understanding the bridge between hyponormality and subnormality. In view of (1.13), between hyponormality and subnormality there exists a whole slew of increasingly stricter conditions, each expressible in terms of the joint hyponormality of the tuples  $(I, T, T^2, \ldots, T^k)$ . Given an n-tuple  $T = (T_1, \ldots, T_n)$  of operators on  $\mathcal{H}$ , we let

 $[T^*, T] \in \mathcal{B}(\mathcal{H} \oplus \cdots \oplus \mathcal{H})$  denote the *self-commutator* of T, defined by

$$\begin{bmatrix} \mathbf{T}^*, \mathbf{T} \end{bmatrix} := \begin{pmatrix} [T_1^*, T_1] & [T_2^*, T_1] & \dots & [T_n^*, T_1] \\ [T_1^*, T_2] & [T_2^*, T_2] & \dots & [T_n^*, T_2] \\ \vdots & \vdots & \ddots & \vdots \\ [T_1^*, T_n] & [T_2^*, T_n] & \dots & [T_n^*, T_n] \end{pmatrix}.$$

By analogy with the case n=1, we shall say [11, 12] that **T** is *jointly hyponormal* (or simply, *hyponormal*) if  $[\mathbf{T}^*, \mathbf{T}] \geq 0$ , *i.e.*,  $[\mathbf{T}^*, \mathbf{T}]$  is a positive-semidefinite operator on  $\mathcal{H} \oplus \cdots \oplus \mathcal{H}$ . Tuples  $\mathbf{T} \equiv (T_{\Phi_1}, \ldots, T_{\Phi_m})$  of block Toeplitz operators  $T_{\Phi_i}$  ( $i=1,\ldots,m$ ) will be called a (block) Toeplitz tuples. Moreover, if each Toeplitz operator  $T_{\Phi_i}$  has a symbol  $\Phi_i$  which is a matrix-valued rational function, then the tuple  $\mathbf{T} \equiv (T_{\Phi_1}, \ldots, T_{\Phi_m})$  is called a rational Toeplitz tuple. In this paper we will derive a rank formula for the self-commutator of a rational Topelitz tuple.

#### 2 The results and discussion

For an operator  $S \in \mathcal{B}(\mathcal{H})$ ,  $S^{\sharp} \in \mathcal{B}(\mathcal{H})$  is called the Moore-Penrose inverse of S if

$$SS^{\sharp}S = S$$
,  $S^{\sharp}SS^{\sharp} = S^{\sharp}$ ,  $(S^{\sharp}S)^* = S^{\sharp}S$ , and  $(SS^{\sharp})^* = SS^{\sharp}$ .

It is well known [13], Theorem 8.7.2, that if an operator *S* on a Hilbert space has a closed range then *S* has a Moore-Penrose inverse. Moreover, the Moore-Penrose inverse is unique whenever it exists. On the other hand, it is well known that if

$$S := \begin{bmatrix} A & B \\ B^* & C \end{bmatrix} \quad \text{on } \mathcal{H}_1 \oplus \mathcal{H}_2$$

(where the  $\mathcal{H}_i$  are Hilbert spaces,  $A \in \mathcal{B}(\mathcal{H}_1)$ ,  $C \in \mathcal{B}(\mathcal{H}_2)$ , and  $B \in \mathcal{B}(\mathcal{H}_2, \mathcal{H}_1)$ ), then

$$S \ge 0 \iff A \ge 0, C \ge 0$$
, and  $B = A^{\frac{1}{2}}DC^{\frac{1}{2}}$  for some contraction  $D$ ; (2.1)

moreover, in [14], Lemma 1.2, and [15], Lemma 2.1, it was shown that if  $A \ge 0$ ,  $C \ge 0$ , and ran A is closed then

$$S > 0 \iff B^*A^{\sharp}B < C \text{ and } \operatorname{ran}B \subseteq \operatorname{ran}A,$$
 (2.2)

or equivalently [12], Lemma 1.4,

$$\left| \langle Bg, f \rangle \right|^2 \le \langle Af, f \rangle \langle Cg, g \rangle \quad \text{for all } f \in \mathcal{H}_1, g \in \mathcal{H}_2$$
 (2.3)

and furthermore, if both A and C are of finite rank then

$$\operatorname{rank} S = \operatorname{rank} A + \operatorname{rank} (C - B^* A^{\sharp} B). \tag{2.4}$$

In fact, if  $A \ge 0$  and ran A is closed then we can write

$$A = \begin{bmatrix} A_0 & 0 \\ 0 & 0 \end{bmatrix} : \begin{bmatrix} \operatorname{ran} A \\ \ker A \end{bmatrix} \to \begin{bmatrix} \operatorname{ran} A \\ \ker A \end{bmatrix},$$

so that the Moore-Penrose inverse of *A* is given by

$$A^{\sharp} = \begin{bmatrix} (A_0)^{-1} & 0\\ 0 & 0 \end{bmatrix}. \tag{2.5}$$

**Proposition 2.1** If  $A \in \mathcal{B}(\mathcal{H})$  has a closed range then  $A(A^*A)^{\sharp}A^*$  is the orthogonal projection onto ran A.

*Proof* Suppose  $A \in \mathcal{B}(\mathcal{H})$  has a closed range. Then (2.5) can be written as

$$(P_{\operatorname{ran}A}AP_{\operatorname{ran}A})^{-1} = P_{\operatorname{ran}A}A^{\sharp}P_{\operatorname{ran}A}.$$
(2.6)

Since by assumption,  $A^*A$  has also a closed range, there exists the Moore-Penrose inverse  $(A^*A)^{\sharp}$ . Observe

$$(A(A^*A)^{\sharp}A^*)(A(A^*A)^{\sharp}A^*) = A(A^*A)^{\sharp}A^*$$

and

$$(A(A^*A)^{\sharp}A^*)^* = A(A^*A)^{\sharp}A^*,$$

which implies that  $A(A^*A)^{\sharp}A^*$  is an orthogonal projection. Put

$$K := \operatorname{ran} A^* A = \operatorname{ran} A^* = (\ker A)^{\perp}.$$

We then have

$$A(A^*A)^{\sharp}A^* = AP_K(A^*A)^{\sharp}P_KA^*$$
  
=  $A(P_K(A^*A)P_K)^{-1}A^*$  (by (2.5)),

which implies that  $ran(A(A^*A)^{\sharp}A^*) = ran A$ .

In the sequel we often encounter the following matrix:

$$S := \begin{bmatrix} A^*A & A^*B \\ B^*A & [B^*, B] \end{bmatrix},$$

where *A* has a closed range. If  $S \ge 0$  and if *A* and  $[B^*, B]$  are of finite rank then by (2.4), we have

$$\operatorname{rank} S = \operatorname{rank}(A^*A) + \operatorname{rank}([B^*, B] - B^*A(A^*A)^{\sharp}A^*B). \tag{2.7}$$

Thus, if we write  $P_K$  for the orthogonal projection onto  $K := \operatorname{ran} A$ , then by Proposition 2.1 we have

$$\operatorname{rank} S = \operatorname{rank}(A^*) + \operatorname{rank}([B^*, B] - B^* P_K B)$$

$$= \operatorname{rank}(A^*) + \operatorname{rank}(B^* P_{K^{\perp}} B - B B^*). \tag{2.8}$$

If  $\Phi$ ,  $\Psi \in L_{M_n}^{\infty}$ , then by (1.7),

$$[T_{\Phi}, T_{\Psi}] = H_{\Psi^*}^* H_{\Phi} - H_{\Phi^*}^* H_{\Psi} + T_{\Phi\Psi - \Psi\Phi}.$$

Since the normality of  $\Phi$  is a necessary condition for the hyponormality of  $T_{\Phi}$  (cf. [15]), the positivity of  $H_{\Phi^*}^* H_{\Phi^*} - H_{\Phi}^* H_{\Phi}$  is an essential condition for the hyponormality of  $T_{\Phi}$ . If  $\Phi \in L_{M_n}^{\infty}$ , the pseudo-self-commutator of  $T_{\Phi}$  is defined by

$$[T_{\Phi}^*, T_{\Phi}]_p := H_{\Phi^*}^* H_{\Phi^*} - H_{\Phi}^* H_{\Phi}.$$

Then  $T_{\Phi}$  is said to be *pseudo-hyponormal* if  $[T_{\Phi}^*, T_{\Phi}]_p \geq 0$ . We also see that if  $\Phi \in L_{M_n}^{\infty}$  then  $[T_{\Phi}^*, T_{\Phi}] = [T_{\Phi}^*, T_{\Phi}]_p + T_{\Phi^*\Phi - \Phi\Phi^*}$ .

**Proposition 2.2** Let  $\Phi \equiv \Phi_{-}^* + \Phi_{+} \in L_{M_n}^{\infty}$  be such that  $\Phi$  and  $\Phi^*$  are of bounded type. Thus in view of (1.4), we may write

$$\Phi_+ = \theta_1 A^*$$
 and  $\Phi_- = \theta_2 B^*$ ,

where  $\theta_1$  and  $\theta_2$  are inner functions and  $A, B \in H^2_{M_n}$ . If  $T_{\Phi}$  is hyponormal then  $\theta_2$  is an inner divisor of  $\theta_1$ , i.e.,  $\theta_1 = \theta_0 \theta_2$  for some inner function  $\theta_0$ .

In view of Proposition 2.2, when we study the hyponormality of block Toeplitz operators with *bounded type symbols*  $\Phi$  (*i.e.*,  $\Phi$  and  $\Phi^*$  are of bounded type) we may assume that the symbol  $\Phi \equiv \Phi^*_- + \Phi_+ \in L^\infty_{M_n}$  is of the form

$$\Phi_+ = \theta_0 \theta_1 A^*$$
 and  $\Phi_- = \theta_0 B^*$ ,

where  $\theta_0$  and  $\theta_1$  are inner functions and  $A, B \in H^2_{M_n}$ .

We first observe that if  $\mathbf{T} = (T_{\varphi}, T_{\psi})$  then the self-commutator of  $\mathbf{T}$  can be expressed as

$$\begin{bmatrix} \mathbf{T}^*, \mathbf{T} \end{bmatrix} = \begin{bmatrix} [T_{\varphi}^*, T_{\varphi}] & [T_{\psi}^*, T_{\varphi}] \\ [T_{\varphi}^*, T_{\psi}] & [T_{\psi}^*, T_{\psi}] \end{bmatrix} = \begin{bmatrix} H_{\overline{\varphi}_{+}}^* H_{\overline{\varphi}_{-}} - H_{\overline{\varphi}_{-}}^* H_{\overline{\varphi}_{-}} & H_{\overline{\psi}_{+}}^* H_{\overline{\psi}_{+}} - H_{\overline{\psi}_{-}}^* H_{\overline{\varphi}_{-}} \\ H_{\overline{\psi}_{+}}^* H_{\overline{\varphi}_{-}} - H_{\overline{\varphi}_{-}}^* H_{\overline{\psi}_{-}} & H_{\overline{\psi}_{+}}^* H_{\overline{\psi}_{+}} - H_{\overline{\psi}_{-}}^* H_{\overline{\psi}_{-}} \end{bmatrix}. \quad (2.9)$$

For a block Toeplitz pair  $T \equiv (T_{\Phi}, T_{\Psi})$ , the *pseudo-commutator* of T is defined by

$$\begin{split} \left[\mathbf{T}^*,\mathbf{T}\right]_p &:= \begin{bmatrix} [T_{\Phi}^*,T_{\Phi}]_p & [T_{\Psi}^*,T_{\Phi}]_p \\ [T_{\Phi}^*,T_{\Psi}]_p & [T_{\Psi}^*,T_{\Psi}]_p \end{bmatrix} \\ &= \begin{bmatrix} H_{\Phi_+^*}^*H_{\Phi_+^*} - H_{\Phi_-^*}^*H_{\Phi_-^*} & H_{\Phi_+^*}^*H_{\Psi_+^*} - H_{\Psi_-^*}^*H_{\Phi_-^*} \\ H_{\Psi_+^*}^*H_{\Phi_+^*} - H_{\Phi_-^*}^*H_{\Psi_-^*} & H_{\Psi_+^*}^*H_{\Psi_+^*} - H_{\Psi_-^*}^*H_{\Psi_-^*} \end{bmatrix}. \end{split}$$

Let  $\Phi_i \in L^{\infty}_{M_n}$   $(i=1,2,\ldots,m)$  be normal and mutually commuting and let  $\sigma$  be a permutation on  $\{1,2,\ldots,m\}$ . Then evidently,

$$\mathbf{T} := (T_{\Phi_1}, \dots, T_{\Phi_m})$$
 is hyponormal  $\iff \mathbf{T}_{\sigma} := (T_{\Phi_{\sigma(1)}}, \dots, T_{\Phi_{\sigma(m)}})$  is hyponormal. (2.10)

Moreover, we have

$$\operatorname{rank}[\mathbf{T}^*, \mathbf{T}] = \operatorname{rank}[\mathbf{T}_{\sigma}^*, \mathbf{T}_{\sigma}]. \tag{2.11}$$

For every  $m_0 \le m$ , let  $\mathbf{T}_{m_0} := (T_{\Phi_1}, \dots, T_{\Phi_{m_0}})$ . Since

$$\begin{bmatrix} \mathbf{T}^*, \mathbf{T} \end{bmatrix} = \begin{bmatrix} [\mathbf{T}_{\Phi_{m_0}}^*, \mathbf{T}_{\Phi_{m_0}}] & * \\ * & * \end{bmatrix},$$

we can see that if T is hyponormal then in view of (2.10), every sub-tuple of T is hyponormal.

We then have the following.

**Lemma 2.3** Let  $\Phi_i \in L^{\infty}_{M_n}$  be normal and mutually commuting. Let  $\mathbf{T} \equiv (T_{\Phi_1}, \dots, T_{\Phi_m})$  and  $\mathbf{S} \equiv (T_{\Lambda_1 \Phi_1}, \dots, T_{\Lambda_m \Phi_m})$ , where the  $\Lambda_i$  are mutually commuting and are invertible constant normal matrices commuting with  $\Phi_i$  and  $\Lambda_i$  for each  $i, j = 1, 2, \dots, m$ . Then

**T** is hyponormal  $\iff$  **S** is hyponormal.

Furthermore,  $rank[T^*, T] = rank[S^*, S]$ .

*Proof* In view of equation (2.10), it suffices to prove the lemma when  $\Lambda_i = I$  for all i = 2, ..., m. Put  $\mathcal{T} := [\mathbf{T}^*, \mathbf{T}]$  and  $\mathcal{S} := [\mathbf{S}^*, \mathbf{S}]$ . Since  $\Lambda_1$  is a constant normal matrix commuting with  $\Phi_i$ , it follows that, for all i > 1,

$$\begin{split} \mathcal{S}_{1j} &= H^*_{(\Lambda_1 \Phi_1)^*_+} H_{(\Phi_j)^*_+} - H^*_{(\Phi_j)^*_-} H_{(\Lambda_1 \Phi_1)^*_-} \\ &= H^*_{(\Phi_1)^*_+ \Lambda^*_1} H_{(\Phi_j)^*_+} - H^*_{(\Phi_j)^*_-} H_{\Lambda_1(\Phi_1)^*_-} \\ &= T_{\Lambda_1} H^*_{(\Phi_1)^*_+} H_{(\Phi_j)^*_+} - H^*_{(\Phi_j)^*_-} T_{\Lambda_1} H_{(\Phi_1)^*_-} \\ &= T_{\Lambda_1} H^*_{(\Phi_1)^*_+} H_{(\Phi_j)^*_+} - H^*_{(\Phi_j)^*_- \Lambda^*_1} H_{(\Phi_1)^*_-} \\ &= T_{\Lambda_1} \left( H^*_{(\Phi_1)^*_+} H_{(\Phi_j)^*_+} - H^*_{(\Phi_j)^*_-} H_{(\Phi_1)^*_-} \right) \\ &= T_{\Lambda_1} \mathcal{T}_{1j}. \end{split}$$

Observe that

$$\begin{split} \mathcal{S}_{11} &= H_{(\Lambda_{1}\Phi_{1})_{+}^{*}}^{*} H_{(\Lambda_{1}\Phi_{1})_{+}^{*}} - H_{(\Lambda_{1}\Phi_{1})_{-}^{*}}^{*} H_{(\Lambda_{1}\Phi_{1})_{-}^{*}} \\ &= H_{(\Phi_{1})_{+}^{*}\Lambda_{1}^{*}}^{*} H_{(\Phi_{1})_{+}^{*}\Lambda_{1}^{*}} - H_{(\Phi_{1})_{-}^{*}\Lambda_{1}^{*}}^{*} H_{(\Phi_{1})_{-}^{*}\Lambda_{1}^{*}} \\ &= T_{\Lambda_{1}} H_{(\Phi_{1})_{+}^{*}}^{*} H_{(\Phi_{1})_{+}^{*}} T_{\Lambda_{1}}^{*} - T_{\Lambda_{1}} H_{(\Phi_{1})_{-}^{*}}^{*} H_{(\Phi_{1})_{-}^{*}} H_{(\Phi_{1})_{-}^{*}}^{*} T_{\Lambda_{1}}^{*} \\ &= T_{\Lambda_{1}} \left( H_{(\Phi_{1})_{+}^{*}}^{*} H_{(\Phi_{1})_{+}^{*}} - H_{(\Phi_{1})_{-}^{*}}^{*} H_{(\Phi_{1})_{-}^{*}} \right) T_{\Lambda_{1}}^{*} \\ &= T_{\Lambda_{1}} \mathcal{T}_{11} T_{\Lambda_{1}}^{*}. \end{split}$$

Let Q be the block diagonal operator with the diagonal entries  $(T_{\Lambda_1}, I, ..., I)$ . Then Q is invertible and  $S = QTQ^*$ , which gives the result.

**Lemma 2.4** Let  $\mathbf{T} \equiv (T_{\Phi_1}, T_{\Phi_2}, \dots T_{\Phi_m})$ , where the  $\Phi_i \in L^{\infty}_{M_n}$   $(i = 1, \dots, m)$  are normal and mutually commuting. If  $\mathbf{S} := (T_{\Phi_1 - \Phi_{i_0}}, T_{\Phi_2}, \dots T_{\Phi_m})$  for some  $j_0$   $(2 \le j_0 \le m)$ , then

**T** is hyponormal  $\iff$  **S** is hyponormal.

Furthermore,  $rank[T^*, T] = rank[S^*, S]$ .

**Corollary 2.5** Let  $\Phi_i \in L^{\infty}_{M_n}$  (i = 1,...,m) be normal and mutually commuting. Let  $T \equiv (T_{\Phi_1},...T_{\Phi_m})$  and put

$$\mathbf{S} := (T_{\Phi_1 - \Lambda_1 \Phi_m}, T_{\Phi_2 - \Lambda_2 \Phi_m}, \dots, T_{\Phi_{m-1} - \Lambda_{m-1} \Phi_m}, T_{\Phi_m}),$$

where the  $\Lambda_i$  (i = 1, ..., m - 1) are mutually commuting and are invertible constant normal matrices commuting with  $\Phi_i$  for each j = 1, ..., m. Then

**T** is hyponormal  $\iff$  **S** is hyponormal.

Furthermore, rank $[T^*, T] = rank[S^*, S]$ .

Proof This follows from Lemmas 2.3 and 2.4.

We now have the following.

**Theorem 2.6** Let  $\Phi_i \in H^{\infty}_{M_n}$  (i = 1, 2, ..., m-1) be mutually commuting and normal rational functions of the form

$$\Phi_i = A_i^* \Theta_i$$
 (left coprime),

where the  $\Theta_i$  are inner matrix functions and  $\Phi_m \equiv (\Phi_m)_-^* + (\Phi_m)_+ \in L_{M_n}^{\infty}$ . If  $\mathbf{T} := (T_{\Phi_1}, \dots, T_{\Phi_m})$  is hyponormal then

$$\operatorname{rank}\left[\mathbf{T}^{*},\mathbf{T}\right] = \operatorname{deg}(\Theta) + \operatorname{rank}\left[T_{\Phi_{u}^{1,\Theta}}^{*},T_{\Phi_{m}^{1,\Theta}}\right]_{p},\tag{2.12}$$

where  $\Theta := right-l.c.m.\{\Theta_i : i=1,2,\ldots,m-1\}$  and  $\Phi_m^{1,\Theta} := (\Phi_m)_-^* + P_{H_0^2}((\Phi_m)_+\Theta^*).$ 

*Proof* Let  $\mathbf{H}_{\Phi^*} := (H_{\Phi_1^*}, \dots, H_{\Phi_{m-1}^*})$ . Since  $\Phi_i \equiv (\Phi_i)_+ \in H_{M_n}^{\infty} (i=1,2,\dots,m-1)$ ,  $\mathbf{T}$  is hyponormal if and only if

$$\begin{bmatrix} \mathbf{T}^*, \mathbf{T} \end{bmatrix} = \begin{bmatrix} \mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*} & \mathbf{H}_{\Phi^*}^* H_{\Phi_m^*} \\ H_{\Phi_m^*}^* \mathbf{H}_{\Phi^*} & [T_{\Phi_m}^*, T_{\Phi_m}] \end{bmatrix} \ge 0,$$

or equivalently, for each  $X\in \bigoplus_{j=1}^{m-1}H^2_{\mathbb{C}^n}$  and  $Y\in H^2_{\mathbb{C}^n}$ ,

$$\left| \left\langle \mathbf{H}_{\Phi^*} H_{\Phi_m^*}^* Y, X \right\rangle \right|^2 \le \left\langle \mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*} X, X \right\rangle \left\langle \left[ T_{\Phi_m}^*, T_{\Phi_m} \right] Y, Y \right\rangle. \tag{2.13}$$

Since  $\operatorname{clran} H_{\Phi_i^*} = \mathcal{H}(\widetilde{\Theta}_i)$  (i = 1, 2, ..., n - 1), it follows that

$$\operatorname{cl}\operatorname{ran}\mathbf{H}_{\Phi^*} = \bigvee_{i=1}^{m-1}\operatorname{cl}\operatorname{ran}H_{\Phi_i^*} = \bigvee_{i=1}^{m-1}\mathcal{H}(\widetilde{\Theta}_i) = \left(\bigcap_{i=1}^{m-1}\widetilde{\Theta}_iH_{\mathbb{C}^n}^2\right)^{\perp}$$
$$= \left(\widetilde{\Theta}H_{\mathbb{C}^n}^2\right)^{\perp} = \mathcal{H}(\widetilde{\Theta}) = \operatorname{cl}\operatorname{ran}H_{\Theta^*}, \tag{2.14}$$

where  $\mathcal{H}(\Delta) := H_{\mathbb{C}^n}^2 \ominus \Delta H_{\mathbb{C}^n}^2$ . If the  $\Phi_i$  are rational functions then, by (1.3) and (1.4), we can write

 $\Phi_i = \theta_i A_i^*$  ( $\theta_i$ , finite Blaschke product).

Since  $\Theta_i$  is a right inner divisor of  $I_{\theta_i}$ , we have  $\deg(\Theta_i) \leq \deg(I_{\theta_i}) = n \deg(\theta_i) < \infty$ . Thus since by (2.14),  $\operatorname{cl} \operatorname{ran} \mathbf{H}_{\Phi^*} = \mathcal{H}(\widetilde{\Theta})$  and

$$deg(\Theta) = rank H_{\Theta^*}^* = rank H_{\Theta^*} = deg(\widetilde{\Theta}) < \infty.$$

Therefore  $\mathbf{H}_{\Phi^*}$  is of finite rank and hence, so is  $\mathbf{H}_{\Phi^*}^*\mathbf{H}_{\Phi^*}$  and, moreover,

$$\operatorname{rank}(\mathbf{H}_{\Phi^*}^*\mathbf{H}_{\Phi^*}) = \operatorname{rank}(\mathbf{H}_{\Phi^*}^*) = \operatorname{rank}(\mathbf{H}_{\Phi^*}) = \operatorname{deg}(\Theta).$$

Thus by (2.7), we have

$$\begin{aligned} \operatorname{rank} \left[ \mathbf{T}^*, \mathbf{T} \right] &= \operatorname{rank} \begin{bmatrix} \mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*} & \mathbf{H}_{\Phi^*}^* H_{\Phi^*} \\ H_{\Phi_m^*}^* \mathbf{H}_{\Phi^*} & \left[ T_{\Phi_m}^*, T_{\Phi_m} \right] \end{bmatrix} \\ &= \operatorname{rank} \left( \mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*} \right) + \operatorname{rank} \left( \left[ T_{\Phi_m}^*, T_{\Phi_m} \right] - H_{\Phi_m^*}^* \mathbf{H}_{\Phi^*} \left( \mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*} \right)^{\sharp} \mathbf{H}_{\Phi^*}^* H_{\Phi_m^*} \right) \\ &= \operatorname{deg}(\Theta) + \operatorname{rank} \left( \left[ T_{\Phi_m}^*, T_{\Phi_m} \right] - H_{\Phi^*}^* \mathbf{H}_{\Phi^*} \left( \mathbf{H}_{\Phi^*}^* \mathbf{H}_{\Phi^*} \right)^{\sharp} \mathbf{H}_{\Phi^*}^* H_{\Phi_m^*} \right). \end{aligned}$$

On the other hand, by Proposition 2.1,  $\mathbf{H}_{\Phi^*}(\mathbf{H}_{\Phi^*}^*\mathbf{H}_{\Phi^*})^{\sharp}\mathbf{H}_{\Phi^*}^*$  is the projection  $P_{\mathcal{H}(\widetilde{\Theta})}$ . Therefore it follows from (1.7) and (1.8) that

$$\begin{split} & \left[ T_{\Phi_{m}}^{*}, T_{\Phi_{m}} \right] - H_{\Phi_{m}^{*}}^{*} \mathbf{H}_{\Phi^{*}} \left( \mathbf{H}_{\Phi^{*}}^{*} \mathbf{H}_{\Phi^{*}} \right)^{\sharp} \mathbf{H}_{\Phi^{*}}^{*} H_{\Phi_{m}^{*}} \\ & = \left[ T_{\Phi_{m}}^{*}, T_{\Phi_{m}} \right] - H_{\Phi_{m}^{*}}^{*} H_{\Theta^{*}} H_{\Theta^{*}}^{*} H_{\Phi_{m}^{*}} \\ & = H_{\Phi_{m+}^{*}}^{*} \left( I - H_{\Theta^{*}} H_{\Theta^{*}}^{*} \right) H_{\Phi_{m+}^{*}} - H_{\Phi_{m-}^{*}}^{*} H_{\Phi_{m-}^{*}} \\ & = \left( H_{\Phi_{m+}^{*}}^{*} T_{\widetilde{\Theta}} \right) \left( T_{\widetilde{\Theta}^{*}} H_{\Phi_{m+}^{*}} \right) - H_{\Phi_{m-}^{*}}^{*} H_{\Phi_{m-}^{*}} \\ & = H_{\Theta\Phi_{m+}^{*}}^{*} H_{\Theta\Phi_{m+}^{*}} - H_{\Phi_{m-}^{*}}^{*} H_{\Phi_{m-}^{*}} \\ & = \left[ T_{\Phi_{m}^{1,\Theta}}^{*}, T_{\Phi_{m}^{1,\Theta}}^{1,\Theta} \right]_{p}, \end{split}$$

which gives the result.

Very recently, the hyponormality of rational Toeplitz pairs was characterized in [16].

**Lemma 2.7** (Hyponormality of rational Toeplitz pairs) [16] Let  $\mathbf{T} \equiv (T_{\Phi}, T_{\Psi})$  be a Toeplitz pair with rational symbols  $\Phi, \Psi \in L_{M_{\pi}}^{\infty}$  of the form

$$\Phi_{+} = \theta_{0}\theta_{1}A^{*}, \qquad \Phi_{-} = \theta_{0}B^{*}, \qquad \Psi_{+} = \theta_{2}\theta_{3}C^{*}, \qquad \Psi_{-} = \theta_{2}D^{*} \quad (coprime).$$
 (2.15)

Assume that  $\theta_0$  and  $\theta_2$  are not coprime. Assume also that  $B(\gamma_0)$  and  $D(\gamma_0)$  are diagonal-constant for some  $\gamma_0 \in \mathcal{Z}(\theta_0)$ . Then the pair **T** is hyponormal if and only if

- (i)  $\Phi$  and  $\Psi$  are normal and  $\Phi\Psi = \Psi\Phi$ ;
- (ii)  $\Phi_{-} = \Lambda^* \Psi_{-}$  (with  $\Lambda := B(\gamma_0) D(\gamma_0)^{-1}$ );
- (iii)  $T_{\Psi^{1,\Omega}}$  is pseudo-hyponormal with  $\Omega := \theta_0 \theta_1 \theta_3 \overline{\theta} \Delta^*$ ,

where  $\theta := g.c.d.(\theta_1, \theta_3)$  and  $\Delta := left-g.c.d.(I_{\theta_0\theta}, \overline{\theta}(\theta_3A - \theta_1C\Lambda^*)).$ 

We now get a rank formula for the self-commutators of Toeplitz *m*-tuples.

**Corollary 2.8** For each i = 1, 2, ..., m, suppose that  $\Phi_i = (\Phi_i)_-^* + (\Phi_i)_+ \in L_{M_n}^{\infty}$  is a matrix-valued normal rational function of the form

$$(\Phi_i)_+ = \theta_i \delta_i A_i^*$$
 and  $(\Phi_i)_- = \theta_i B_i^*$  (coprime),

where the  $\theta_i$  and the  $\delta_i$  are finite Blaschke products and there exists  $j_0$   $(1 \le j_0 \le m)$  such that  $\theta_{j_0}$  and  $\theta_i$  are not coprime for each i = 1, 2, ..., m. Suppose  $\Phi_i \Phi_j = \Phi_j \Phi_i$  for all i, j = 1, ..., m. Assume that each  $B_i(\gamma_0)$  is diagonal-constant for some  $\gamma_0 \in \mathcal{Z}(\theta_i)$ . If  $\mathbf{T} \equiv (T_{\Phi_1}, T_{\Phi_2}, ..., T_{\Phi_m})$  is hyponormal then

$$\mathrm{rank}\big[\mathbf{T}^*,\mathbf{T}\big] = \mathrm{deg}(\Omega) + \mathrm{rank}\big[T^*_{\Phi_{j_0}^{1,\Omega}},T_{\Phi_{j_0}^{1,\Omega}}\big]_p,$$

where  $\Omega := right$ - $l.c.m.\{\theta_i \delta_i \delta_{j_0} \overline{\delta(i)} \Theta(i)^* : i = 1, 2, ..., m\}$ . Here  $\delta(i) := g.c.d.\{\delta_i, \delta_{j_0}\}$  and  $\Theta(i) := left$ - $g.c.d.\{\theta_i \delta(i), \overline{\delta(i)} (\delta_{j_0} A_i - \delta_i A_{j_0} \Lambda(i)^*)\}$  with  $\Lambda(i) := B_i(\gamma_0) B_{j_0}(\gamma_0)^{-1}$ .

*Proof* Suppose **T** is hyponormal. Since every sub-tuple of **T** is hyponormal, we can see that  $(T_{\Phi_i}, T_{\Phi_j})$  is hyponormal for all i, j = 1, 2, ..., m. In view of (2.10), we may assume that  $j_0 = m$ . Put

$$\mathbf{S} := (T_{\Phi_1 - \Lambda(1)\Phi_m}, T_{\Phi_2 - \Lambda(2)\Phi_m}, \dots, T_{\Phi_{m-1} - \Lambda(m-1)\Phi_m}, T_{\Phi_m}).$$

It follows from Corollary 2.5 that

**T** is hyponormal  $\iff$  **S** is hyponormal.

Since  $\delta(i) = \text{g.c.d.}\{\delta_i, \delta_m\}$ , we can write

$$\delta_i = \delta(i)\omega_i$$
 and  $\delta_m = \delta(i)\omega_m$ ,

where  $\omega_i$  is a finite Blaschke product for i = 1, 2, ..., m. Since  $\Theta(i) = \text{left-g.c.d.}\{\theta_i \delta(i), \overline{\delta(i)}(\delta_m A_i - \delta_1 A_m \Lambda(i)^*)\}$ , we get the following left coprime factorization:

$$\Phi_i - \Lambda(i) \Phi_m = \left[ \left( \overline{\omega_m} A_i^* - \overline{\omega_i} \Lambda(i) A_m^* \right) \Theta(i) \right] \theta_i \delta_i \delta_m \overline{\delta(i)} \Theta(i)^*.$$

Thus the result follows at once from Theorem 2.6.

We conclude with the following.

**Corollary 2.9** For each i = 1, 2, ..., m, suppose that  $\phi_i = \overline{(\phi_i)_-} + (\phi_i)_+ \in L^{\infty}$  is a rational function of the form

$$(\phi_i)_+ = \theta_i \overline{a_i}$$
 and  $(\phi_i)_- = \theta_i \overline{b_i}$  (coprime).

If there exists  $j_0$   $(1 \le j_0 \le m)$  such that  $\theta_{j_0}$  and  $\theta_i$  are not coprime for each i = 1, 2, ..., m and  $\mathbf{T} \equiv (T_{\phi_1}, T_{\phi_2}, ..., T_{\phi_m})$  is hyponormal then

$$\operatorname{rank} \left[ \mathbf{T}^*, \mathbf{T} \right] = \operatorname{rank} \left[ T_{\Phi_{j_0}}^*, T_{\Phi_{j_0}} \right].$$

*Proof* For each  $i=1,2,\ldots,m$ , let  $\lambda(i):=b_i(\gamma_0)b_{j_0}(\gamma_0)^{-1}$  for some  $\gamma_0\in\mathcal{Z}(\theta_i)$ . Write  $\theta(i)\equiv$  g.c.d. $\{\theta_i,(a_i-a_{j_0}\overline{\lambda(i)})\}$ . Since  $\mathbf{T}\equiv(T_{\phi_1},T_{\phi_2},\ldots,T_{\phi_n})$  is hyponormal,  $(T_{\phi_i},T_{\phi_{j_0}})$  is hyponormal for all  $i=1,2,\ldots,n$ . Thus it follows from Lemma 2.7 that  $T_{\phi_{j_0}^{1,\omega(i)}}$  is hyponormal with  $\omega(i):=\theta_i\overline{\theta(i)}$ . Observe that

$$\left(\phi_{j_0}^{1,\omega(i)}\right)_+ = \theta(i)\overline{c_i}$$
 and  $\left(\phi_{j_0}^{1,\omega(i)}\right)_- = \theta_i\overline{b_i}$  (coprime),

where  $c_i := P_{\mathcal{H}(\theta(i))}(a_i)$ . Since  $T_{\phi_{j_0}^{1,\omega(i)}}$  is hyponormal, it follows from Proposition 2.2 that  $\theta_i$  is an inner divisor of  $\theta(i)$  and hence  $\theta(i) = \theta_i$ . Thus the result follows from Corollary 2.8.

#### 3 Conclusions

The self-commutators of bounded linear operators play an important role in the study of hyponormal and subnormal operators. The main result of this paper is to derive a rank formula for the self-commutators of tuples of Toeplitz operators with matrix-valued rational symbols. This result will contribute to the study of Toeplitz operators and the bridge theory of operators.

#### **Competing interests**

The authors declare that they have no competing interests.

#### Authors' contributions

The authors contributed equally and significantly in writing this paper.

#### Author details

<sup>1</sup>Department of Mathematics, Sungkyunkwan University, Suwon, 440-746, Korea. <sup>2</sup>Department of Mathematics, Changwon National University, Changwon, 641-773, Korea.

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