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# A note on a class of Hardy-Rellich type inequalities

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## Abstract

In this note we provide simple and short proofs for a class of Hardy-Rellich type inequalities with the best constant, which extends some recent results.

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**Keywords:** Hardy inequality; Hardy-Rellich inequality; Caffarelli-Kohn-Nirenberg inequality

## 1 Introduction

It is well known that Hardy's inequality and its generalizations play important roles in many areas of mathematics. The classical Hardy inequality is given by, for  $N \geq 3$ ,

$$\int_{R^N} |\nabla u(x)|^2 dx \geq \left(\frac{N-2}{2}\right)^2 \int_{R^N} \frac{|u(x)|^2}{|x|^2} dx, \quad (1.1)$$

where  $u \in C_0^\infty(R^N)$ , the constant  $\left(\frac{N-2}{2}\right)^2$  is optimal and not attained.

Recently there has been a considerable interest in studying the Hardy-type and Rellich-type inequalities. See, for example, [1-7]. In [8] Caffarelli, Kohn and Nirenberg proved a rather general interpolation inequality with weights. That is the following so-called Caffarelli-Kohn-Nirenberg inequality. For any  $u \in C_0^\infty(R^N)$ , there exists  $C > 0$  such that

$$\| |x|^\gamma u \|_{L^r} \leq C \| |x|^\alpha |\nabla u| \|_{L^p}^a \cdot \| |x|^\beta u \|_{L^q}^{1-a}, \quad (1.2)$$

where

$$\frac{1}{r} + \frac{\gamma}{N} = a \left( \frac{1}{p} + \frac{\alpha-1}{N} \right) + (1-a) \left( \frac{1}{q} + \frac{\beta}{N} \right)$$

and

$$p, q \geq 1, \quad r \geq 0, \quad 0 \leq a \leq 1, \quad \frac{1}{p} + \frac{\alpha}{N} > 0, \quad \frac{1}{q} + \frac{\beta}{N} > 0, \\ \frac{1}{r} + \frac{\gamma}{N} > 0, \quad \gamma = a\sigma + (1-a)\beta.$$

In [9] Costa proved the following  $L^2$ -case version for a class of Caffarelli-Kohn-Nirenberg inequalities with a sharp constant by an elementary method. For all  $a, b \in R$

and  $u \in C_0^\infty(\mathbb{R}^N \setminus \{0\})$ ,

$$\hat{C} \int_{\mathbb{R}^N} \frac{|u|^2}{|x|^{a+b+1}} dx \leq \left( \int_{\mathbb{R}^N} \frac{|u|^2}{|x|^{2a}} dx \right)^{\frac{1}{2}} \left( \int_{\mathbb{R}^N} \frac{|\nabla u|^2}{|x|^{2b}} dx \right)^{\frac{1}{2}}, \tag{1.3}$$

where the constant  $\hat{C} = \hat{C}(a, b) := \frac{|N-(a+b+1)|}{2}$  is sharp.

On the other hand, the Rellich inequality is a generalization of the Hardy inequality to second-order derivatives, and the classical Rellich inequality in  $\mathbb{R}^N$  states that for  $N \geq 5$  and  $u \in C_0^\infty(\mathbb{R}^N \setminus \{0\})$ ,

$$\int_{\mathbb{R}^N} |\Delta u(x)|^2 dx \geq \left( \frac{N(N-4)}{4} \right)^2 \int_{\mathbb{R}^N} \frac{|u(x)|^2}{|x|^4} dx. \tag{1.4}$$

The constant  $\frac{N^2(N-4)^2}{16}$  is sharp and never achieved. In [10] Tetikas and Zographopoulos obtained a corresponding stronger versions of the Rellich inequality which reads

$$\left( \frac{N}{2} \right)^2 \int_{\mathbb{R}^N} \frac{|\nabla u|^2}{|x|^2} dx \leq \int_{\mathbb{R}^N} |\Delta u|^2 dx \tag{1.5}$$

for all  $u \in C_0^\infty$  and  $N \geq 3$ . In [11] Costa obtained a new class of Hardy-Rellich type inequalities which contain (1.5) as a special case. If  $a + b + 3 \leq N$ , then

$$\hat{C} \int_{\mathbb{R}^N} \frac{|\nabla u|^2}{|x|^{a+b+1}} dx \leq \left( \int_{\mathbb{R}^N} \frac{|\Delta u|^2}{|x|^{2b}} dx \right)^{\frac{1}{2}} \left( \int_{\mathbb{R}^N} \frac{|\nabla u|^2}{|x|^{2a}} dx \right)^{\frac{1}{2}}, \tag{1.6}$$

where the constant  $\hat{C} = \hat{C}(a, b) := \frac{|N+a+b-1|}{2}$  is sharp.

The goal of this paper is to extend the above (1.3) and (1.6) to the general  $L^p$  case for  $1 < p < \infty$  by a different and direct approach.

## 2 Main results

In this section, we will give the proof of the main theorems.

**Theorem 1** For all  $a, b \in \mathbb{R}$  and  $u \in C_0^\infty(\mathbb{R}^N \setminus \{0\})$ , one has

$$C \int_{\mathbb{R}^N} \frac{|u|^p}{|x|^{a+b+1}} dx \leq \left( \int_{\mathbb{R}^N} \frac{|\nabla u|^p}{|x|^{ap}} dx \right)^{\frac{1}{p}} \left( \int_{\mathbb{R}^N} \frac{|u|^p}{|x|^{\frac{b \cdot p}{p-1}}} dx \right)^{\frac{p-1}{p}}, \tag{2.1}$$

where  $1 < p < \infty$  and the constant  $C = \frac{|N-(a+b+1)|}{p}$  is sharp.

*Proof* Let  $u \in C_0^\infty(\mathbb{R}^N \setminus \{0\})$ ,  $a, b \in \mathbb{R}$  and  $\lambda = a + b + 1$ . By integration by parts and the Hölder inequality, one has

$$\begin{aligned} \int_{\mathbb{R}^N} \frac{|u|^p}{|x|^\lambda} dx &= \frac{1}{N-\lambda} \int_{\mathbb{R}^N} |u|^p \operatorname{div} \left( \frac{x}{|x|^\lambda} \right) dx \\ &= -\frac{1}{N-\lambda} \int_{\mathbb{R}^N} p u |u|^{p-2} \frac{x \cdot \nabla u}{|x|^\lambda} dx \\ &\leq \left| \frac{-p}{N-\lambda} \right| \int_{\mathbb{R}^N} \frac{|x \cdot \nabla u|}{|x|^\lambda} |u|^{p-1} dx \end{aligned}$$

$$\begin{aligned} &\leq \left| \frac{p}{N-\lambda} \right| \int_{R^N} \frac{|\nabla u| |u|^{p-1}}{|x|^{a+b}} dx \\ &\leq \left| \frac{p}{N-\lambda} \right| \left( \int_{R^N} \frac{|\nabla u|^p}{|x|^{ap}} dx \right)^{\frac{1}{p}} \left( \int_{R^N} \frac{|u|^p}{|x|^{\frac{b-p}{p-1}}} dx \right)^{\frac{p-1}{p}}. \end{aligned}$$

Then

$$\left| \frac{N-\lambda}{p} \right| \int_{R^N} \frac{|u|^p}{|x|^\lambda} dx \leq \left( \int_{R^N} \frac{|\nabla u|^p}{|x|^{ap}} dx \right)^{\frac{1}{p}} \left( \int_{R^N} \frac{|u|^p}{|x|^{\frac{b-p}{p-1}}} dx \right)^{\frac{p-1}{p}}. \tag{2.2}$$

It remains to show the sharpness of the constant. By the condition with equality in the Hölder inequality, we consider the following family of functions:

$$u_\varepsilon(x) = e^{-\frac{C_\varepsilon}{\beta} |x|^\beta}, \quad \text{when } \beta = a - \frac{b}{p-1} + 1 \neq 0$$

and

$$u_\varepsilon(x) = \frac{1}{|x|^{C_\varepsilon}}, \quad \text{when } \beta = a - \frac{b}{p-1} + 1 = 0,$$

where  $C_\varepsilon$  is a positive number sequence converging to  $\left| \frac{N-(a+b+1)}{p} \right|$  as  $\varepsilon \rightarrow 0$ . By direct computation and the limit process, we know the constant  $\frac{|N-(a+b+1)|}{p}$  is sharp.  $\square$

**Remark 1** When  $p = 2$ , the inequality (2.1) covers the inequality (2.4) in [9].

**Remark 2** When  $a = 0, b = p - 1$ , the inequality (2.1) is the classical  $L^p$  Hardy inequality:

$$\left( \frac{N-p}{p} \right)^p \int_{R^N} \frac{|u|^p}{|x|^p} dx \leq \int_{R^N} |\nabla u|^p dx. \tag{2.3}$$

When we take special values for  $a, b$ , the following corollary holds.

**Corollary 1** (i) When  $b = (a + 1)(p - 1)$ , the inequality (2.1) is just the weighted Hardy inequality:

$$\left| \frac{N-p(a+1)}{p} \right|^p \int_{R^N} \frac{|u|^p}{|x|^{(a+1)p}} dx \leq \int_{R^N} \frac{|\nabla u|^p}{|x|^{ap}} dx. \tag{2.4}$$

(ii) When  $a + b + 1 = ap$ , according to the inequality (2.1), we have

$$\left| \frac{N-ap}{p} \right| \int_{R^N} \frac{|u|^p}{|x|^{ap}} dx \leq \left( \int_{R^N} \frac{|\nabla u|^p}{|x|^{ap}} dx \right)^{\frac{1}{p}} \left( \int_{R^N} \frac{|u|^p}{|x|^{\frac{ap-p}{p-1}}} dx \right)^{\frac{p-1}{p}}. \tag{2.5}$$

(iii) When  $a = -p$  and  $a + b + 1 = 0$ , we obtain the inequality

$$\frac{N}{p} \int_{R^N} |u|^p dx \leq \left( \int_{R^N} |\nabla u|^p |x|^{p^2} dx \right)^{\frac{1}{p}} \left( \int_{R^N} \frac{|u|^p}{|x|^p} dx \right)^{\frac{p-1}{p}}. \tag{2.6}$$

By a similar method, we can prove the following  $L^p$  case Hardy-Rellich type inequality.

**Theorem 2** *Let  $1 < p < N$ ,  $\frac{p-N}{p-1} \leq a+b+1 \leq 0$ . Then, for any  $u \in C_0^\infty(\mathbb{R}^N \setminus \{0\})$ , the following holds:*

$$\hat{C} \int_{\mathbb{R}^N} \frac{|\nabla u|^p}{|x|^{a+b+1}} dx \leq \left( \int_{\mathbb{R}^N} \frac{|\Delta_p u|^p}{|x|^{ap}} dx \right)^{\frac{1}{p}} \left( \int_{\mathbb{R}^N} \frac{|\nabla u|^q}{|x|^{bq}} dx \right)^{\frac{1}{q}}, \tag{2.7}$$

where  $\frac{1}{p} + \frac{1}{q} = 1$ ,  $\hat{C} = \left( \frac{N-p+(p-1)(a+b+1)}{p} \right)$  and  $\Delta_p u = \operatorname{div}(|\nabla u|^{p-2} \nabla u)$  is the  $p$ -Laplacian operator.

*Proof* Set  $\lambda = a + b + 1$ , it is easy to see

$$\begin{aligned} \int_{\mathbb{R}^N} \frac{|\nabla u|^p}{|x|^\lambda} dx &= \frac{1}{N-\lambda} \int_{\mathbb{R}^N} |\nabla u|^p \operatorname{div} \left( \frac{x}{|x|^\lambda} \right) dx \\ &= -\frac{1}{N-\lambda} \int_{\mathbb{R}^N} \frac{p}{2} |\nabla u|^{p-2} \frac{x}{|x|^\lambda} \cdot \nabla (|\nabla u|^2) dx \\ &= \frac{p}{2(\lambda-N)} \int_{\mathbb{R}^N} |\nabla u|^{p-2} \frac{x \cdot \nabla (|\nabla u|^2)}{|x|^\lambda} dx. \end{aligned} \tag{2.8}$$

On the other hand,

$$\begin{aligned} \int_{\mathbb{R}^N} \Delta_p u \frac{x \cdot \nabla u}{|x|^\lambda} dx &= \int_{\mathbb{R}^N} \operatorname{div}(|\nabla u|^{p-2} \nabla u) \frac{x \cdot \nabla u}{|x|^\lambda} dx \\ &= - \int_{\mathbb{R}^N} |\nabla u|^{p-2} \nabla u \cdot \nabla \left( \frac{x \cdot \nabla u}{|x|^\lambda} \right) dx \\ &= - \int_{\mathbb{R}^N} |\nabla u|^{p-2} \left( \frac{|\nabla u|^2}{|x|^\lambda} + \frac{\frac{1}{2} x \cdot \nabla (|\nabla u|^2)}{|x|^\lambda} - \lambda \frac{(x \cdot \nabla u)^2}{|x|^{\lambda+2}} \right) dx, \end{aligned}$$

which means

$$\begin{aligned} &\int_{\mathbb{R}^N} |\nabla u|^{p-2} \cdot \frac{x \cdot \nabla (|\nabla u|^2)}{|x|^\lambda} dx \\ &= 2 \left( \lambda \int_{\mathbb{R}^N} |\nabla u|^{p-2} \frac{(x \cdot \nabla u)^2}{|x|^\lambda} dx - \int_{\mathbb{R}^N} \frac{|\nabla u|^p}{|x|^\lambda} dx - \int_{\mathbb{R}^N} \Delta_p u \frac{x \cdot \nabla u}{|x|^\lambda} dx \right). \end{aligned} \tag{2.9}$$

Then, we can deduce from (2.8) and (2.9)

$$\begin{aligned} &\int_{\mathbb{R}^N} \frac{|\nabla u|^p}{|x|^\lambda} dx \\ &= \frac{p}{\lambda-N} \left( \lambda \int_{\mathbb{R}^N} |\nabla u|^{p-2} \frac{(x \cdot \nabla u)^2}{|x|^\lambda} dx - \int_{\mathbb{R}^N} \frac{|\nabla u|^p}{|x|^\lambda} dx - \int_{\mathbb{R}^N} \Delta_p u \frac{x \cdot \nabla u}{|x|^\lambda} dx \right). \end{aligned} \tag{2.10}$$

That is,

$$\frac{N-p-\lambda}{p} \int_{\mathbb{R}^N} \frac{|\nabla u|^p}{|x|^\lambda} dx + \lambda \int_{\mathbb{R}^N} |\nabla u|^{p-2} \frac{(x \cdot \nabla u)^2}{|x|^{\lambda+2}} dx = \int_{\mathbb{R}^N} \Delta_p u \frac{x \cdot \nabla u}{|x|^\lambda} dx. \tag{2.11}$$

By the Hölder inequality,

$$\int_{R^N} \Delta_p u \frac{x \cdot \nabla u}{|x|^{\lambda+2}} dx \leq \left( \int_{R^N} \frac{|\Delta_p u|^q}{|x|^{a q}} \right)^{\frac{1}{q}} \left( \int_{R^N} \frac{|\nabla u|^p}{|x|^{b p}} \right)^{\frac{1}{p}}, \tag{2.12}$$

note that  $\frac{p-N}{p-1} \leq \lambda \leq 0$ . Thus

$$\frac{N-p+(p-1)\lambda}{p} \int_{R^N} \frac{|\nabla u|^p}{|x|^\lambda} dx \leq \left( \int_{R^N} \frac{|\Delta_p u|^p}{|x|^{a p}} \right)^{\frac{1}{p}} \left( \int_{R^N} \frac{|\nabla u|^q}{|x|^{b q}} \right)^{\frac{1}{q}}. \tag{2.13}$$

We mention that we do not know whether the constant  $(\frac{N-p+(p-1)(a+b+1)}{p})$  in (2.7) is optimal or not. □

**Corollary 2** *When  $a + b + 1 = 0$ , we have the following inequalities:*

(i) *when  $a = -1, b = 0$ , the inequality (2.7) is equivalent to the inequality*

$$\left( \frac{N-p}{p} \right)^p \int_{R^N} |\nabla u|^p dx \leq \int_{R^N} |\Delta_p u|^p |x|^p dx. \tag{2.14}$$

(ii) *When  $a = 1, b = -2$ , we obtain the inequality*

$$\left( \frac{N-p}{p} \right) \int_{R^N} |\nabla u|^p dx \leq \left( \int_{R^N} \frac{|\Delta_p u|^p}{|x|^p} dx \right)^{\frac{1}{p}} \left( \int_{R^N} |\nabla u|^q |x|^{2q} dx \right)^{\frac{1}{q}}. \tag{2.15}$$

(iii) *When  $a = 0, b = -1$ , we get*

$$\left( \frac{N-p}{p} \right) \int_{R^N} |\nabla u|^p dx \leq \left( \int_{R^N} |\Delta_p u|^p dx \right)^{\frac{1}{p}} \left( \int_{R^N} |\nabla u|^q |x|^q dx \right)^{\frac{1}{q}}. \tag{2.16}$$

**Competing interests**

The authors declare that they have no competing interests.

**Authors' contributions**

All authors jointly worked on the results and they read and approved the final manuscript.

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