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# Generalized Weyl's theorem for algebraically quasi-paranormal operators

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#### **Abstract**

Let T or  $T^*$  be an algebraically quasi-paranormal operator acting on a Hilbert space. We prove: (i) generalized Weyl's theorem holds for f(T) for every  $f \in H(\sigma(T))$ ; (ii) generalized a-Browder's theorem holds for f(S) for every  $S \prec T$  and  $f \in H(\sigma(S))$ ; (iii) the spectral mapping theorem holds for the B-Weyl spectrum of T. Moreover, we show that if T is an algebraically quasi-paranormal operator, then T + F satisfies generalized Weyl's theorem for every algebraic operator F which commutes with T. **Mathematics Subject Classification (2010):** Primary 47A10, 47A53; Secondary 47B20.

**Keywords:** algebraically quasi-paranormal operator, generalized Weyl's theorem, single valued extension property

#### 1. Introduction

Throughout this article, we assume that  $\mathcal{H}$  is an infinite dimensional separable Hilbert space. Let  $B(\mathcal{H})$  and  $B_0(\mathcal{H})$  denote, respectively, the algebra of bounded linear operators and the ideal of compact operators acting on  $\mathcal{H}$ . If  $T \in B(\mathcal{H})$  we shall write N(T) and R(T) for the null space and range of T. Also, let  $\alpha(T) := \dim N(T)$ ,  $\beta(T) := \dim N(T^*)$ , and let  $\sigma(T)$ ,  $\sigma_a(T)$ ,  $\sigma_p(T)$ ,  $\pi(T)$ , E(T) denote the spectrum, approximate point spectrum, point spectrum of T, the set of poles of the resolvent of T, the set of all eigenvalues of T which are isolated in  $\sigma(T)$ , respectively. An operator  $T \in B(\mathcal{H})$  is called *upper semi-Fredholm* if it has closed range and finite dimensional null space and is called *lower semi-Fredholm* if it has closed range and its range has finite co-dimension. If  $T \in B(\mathcal{H})$  is either upper or lower semi-Fredholm, then T is called *semi-Fredholm*, and *index of a semi-Fredholm operator*  $T \in B(\mathcal{H})$  is defined by

$$i(T) := \alpha(T) - \beta(T)$$
.

If both  $\alpha(T)$  and  $\beta(T)$  are finite, then T is called Fredholm.  $T \in B(\mathcal{H})$  is called Weyl if it is Fredholm of index zero. For  $T \in B(\mathcal{H})$  and a nonnegative integer n define  $T_n$  to be the restriction of T to  $R(T^n)$  viewed as a map from  $R(T^n)$  into  $R(T^n)$  (in particular  $T_0 = T$ ). If for some integer n the range  $R(T^n)$  is closed and  $T_n$  is upper (resp. lower) semi-Fredholm, then T is called P is called P is called P if it is upper or lower semi-P is called P if it is upper or lower semi-P is called P if it is upper or lower semi-P is called P if it is upper or lower semi-P is called P if it is upper or lower semi-P is called P if it is upper or lower semi-P is called P if it is upper or lower semi-P is called P if it is upper or lower semi-P is called P if it is upper or lower semi-P is follows from [1, Proposition 2.1] that P is semi-P if it is upper or lower semi-P is the semi-P is semi-P is semi-P is semi-P is semi-P if it is upper or lower semi-P is semi-P if it is upper or lower semi-P if it is upper or lower semi-P is semi-P if it is upper or lower semi-P if it is upper or lower semi-P is semi-P if it is upper or lower semi-P is semi-P if it is upper or lower semi-P is semi-P if it is upper or lower semi-P is semi-P if it is upper or lower semi-P is semi-P if it is upper or lower semi-P if it is upper or lower semi-P is semi-P if it is upper or lower semi-P if it is upper or lower semi-P is semi-P in the lower semi-P is the



 $\geq d$ . This enables us to define the *index of semi-B-Fredholm* T as the index of semi-Fredholm  $T_d$ . Let  $BF(\mathcal{H})$  be the class of all B-Fredholm operators. In [2], they studied this class of operators and they proved [2, Theorem 2.7] that an operator  $T \in B(\mathcal{H})$  is B-Fredholm if and only if  $T = T_1 \oplus T_2$ , where  $T_1$  is Fredholm and  $T_2$  is nilpotent. It appears that the concept of Drazin invertibility plays an important role for the class of B-Fredholm operators. Let  $\mathcal{A}$  be a unital algebra. We say that an element  $x \in \mathcal{A}$  is D-razin invertible of degree k if there exists an element  $a \in \mathcal{A}$  such that

$$x^k ax = x^k$$
,  $axa = a$ , and  $xa = ax$ .

Let  $a \in A$ . Then the *Drazin spectrum* is defined by

$$\sigma_D(a) := \{\lambda \in \mathbb{C} : a - \lambda \text{ is not Drazin invertible}\}.$$

For  $T \in B(\mathcal{H})$ , the smallest nonnegative integer p such that  $N(T^p) = N(Tp+1)$  is called the *ascent* of T and denoted by p(T). If no such integer exists, we set  $p(T) = \infty$ . The smallest nonnegative integer q such that  $R(T^q) = R(T^{q+1})$  is called the *descent* of T and denoted by q(T). If no such integer exists, we set  $q(T) = \infty$ . It is well known that T is Drazin invertible if and only if it has finite ascent and descent, which is also equivalent to the fact that

$$T = T_1 \oplus T_2$$
, where  $T_1$  is invertible and  $T_2$  is nilpotent.

An operator  $T \in B(\mathcal{H})$  is called *B-Weyl* if it is *B*-Fredholm of index 0. The *B-Fredholm* spectrum  $\sigma_{BF}(T)$  and *B-Weyl spectrum*  $\sigma_{BW}(T)$  of T are defined by

$$\sigma_{BF}(T) := \{ \lambda \in \mathbb{C} : T - \lambda \text{ is not } B - \text{Fredholm} \},$$

$$\sigma_{BW}(T) := \{ \lambda \in \mathbb{C} : T - \lambda \text{ is not } B - \text{Weyl} \}.$$

Now, we consider the following sets:

$$BF_+(\mathcal{H}) := \{T \in B(\mathcal{H}) : T \text{ is upper semi } -B \text{ - Ferdholm} \},$$
  
 $BF_+^-(\mathcal{H}) := \{T \in B(\mathcal{H}) : T \in BF_+(\mathcal{H}) \text{ and } i(T) \leq 0 \},$   
 $LD(\mathcal{H}) := \{T \in B(\mathcal{H}) : p(T) < \infty \text{ and } R(T^{p(T)+1}) \text{ is closed} \}.$ 

By definition,

$$\sigma_{Bea}(T) := \{ \lambda \in \mathbb{C} : T - \lambda \notin BF_+^-(\mathcal{H}) \},$$

is the upper semi-B-essential approximate point spectrum and

$$\sigma_{LD}(T) := \{ \lambda \in \mathbb{C} : T - \lambda \notin LD(\mathcal{H}) \}$$

is the left Drazin spectrum. It is well known that

$$\sigma_{Bea}(T) \subseteq \sigma_{LD}(T) = \sigma_{Bea}(T) \cup \operatorname{acc} \sigma_a(T) \subseteq \sigma_D(T),$$

where we write acc K for the accumulation points of  $K \subseteq \mathbb{C}$ . If we write iso  $K: = K \setminus acc K$  then we let

$$p_0^a(T) := \{ \lambda \in \sigma_\alpha(T) : T - \lambda \in LD(\mathcal{H}) \},$$
  
$$\pi_0^a(T) := \{ \lambda \in \text{ iso } \sigma_a(T) : \lambda \in \sigma_b(T) \}.$$

We say that an operator T has the *single valued extension property at*  $\lambda$  (abbreviated SVEP at  $\lambda$ ) if for every open set U containing  $\lambda$  the only analytic function  $f:U\to \mathcal{H}$  which satisfies the equation

$$(T - \lambda)f(\lambda) = 0$$

is the constant function  $f \equiv 0$  on U. T has SVEP if T has SVEP at every point  $\lambda \in \mathbb{C}$ . **Definition 1.1**. Let  $T \in B(\mathcal{H})$ .

- (1) Generalized Weyl's theorem holds for T (in symbols,  $T \in gW$ ) if  $\sigma(T) \setminus \sigma_{BW}(T) = E(T)$ .
- (2) Generalized Browder's theorem holds for T (in symbols,  $T \in g\mathcal{B}$ ) if  $\sigma(T) \setminus \sigma_{BW}(T) = \pi(T)$ .
- (3) Generalized a-Weyl's theorem holds for T (in symbols,  $T \in gaW$ ) if  $\sigma_a(T) \setminus \sigma_{Bea}(T) = \pi_0^a(T)$ .
- (4) Generalized a-Browder's theorem holds for T (in symbols,  $T \in ga\mathcal{B}$ ) if  $\sigma_a(T) \setminus \sigma_{Bea}(T) = p_0^a(T)$ .

It is known ([3]) that the following set inclusions hold:

$$ga$$
 – Weyl's theorem  $\Rightarrow ga$  – Browder's theorem  $\downarrow \downarrow$   $g$  – Weyl's theorem  $\Rightarrow g$  – Browder's theorem

Recently, Han and Na introduced a new operator class which contains the classes of paranormal operators and quasi-class *A* operators [4]. In [5], it was shown that generalized Weyl's theorem holds for algebraically paranormal operators. In this article, we extend this result to algebraically quasi-paranormal operators using the local spectral theory

### 2. Generalized Weyl's theorem for algebraically quasi-paranormal operators

**Definition 2.1**. (1) An operator  $T \in B(\mathcal{H})$  is said to be *class A* if

$$|T|^2 \le \left| T^2 \right|.$$

(2) T is called a *quasi-class* A operator if  $T^*|T|^2T < T^*|T^2|T.$ 

(3) An operator  $T \in B(\mathcal{H})$  is said to be *paranormal* if

$$||Tx||^2 \le ||T^2x|| ||x||$$
 for all  $x \in \mathcal{H}$ .

Recently, we introduced a new operator class which is a common generalization of paranormal operators and quasi-class A operators [4].

**Definition 2.2.** An operator  $T \in B(\mathcal{H})$  is called quasi-paranormal if

$$||T^2x||^2 \le ||T^3x|| ||Tx||$$
 for all  $x \in \mathcal{H}$ .

We say that  $T \in B(\mathcal{H})$  is an *algebraically quasi-paranormal* operator if there exists a non-constant complex polynomial h such that h(T) is quasi-paranormal.

In general, the following implications hold:

class  $A \Rightarrow$  quasi-class  $A \Rightarrow$  quasi-paranormal;

 $paranormal \Rightarrow quasi-paranormal \Rightarrow algebraically \ quasi-paranormal.$ 

In [4], it was observed that there are examples which are quasi-paranormal but not paranormal, as well as quasi-paranormal but not quasi-class A. We give a more simple example which is quasi-paranormal but not quasi-class A. To construct this example we recall the following lemma in [4].

**Lemma 2.3**. An operator  $T \in B(\mathcal{H})$  is quasi-paranormal if and only if

$$T^*(T^{2^*}T^2 - 2\lambda T^*T + \lambda^2)T \ge 0 \text{ for all } \lambda > 0.$$

**Example 2.4.**  $T = \begin{pmatrix} I & 0 \\ I & 0 \end{pmatrix} \in B(\ell_2 \oplus \ell_2)$ . Then it is quasi-paranormal but not quasi-

class

Α.

*Proof.* Since 
$$T^* = \begin{pmatrix} I & I \\ 0 & 0 \end{pmatrix}$$
,  $|T^2| = \sqrt{(T^*)^2 T^2} = \sqrt{\begin{pmatrix} I & I \\ 0 & 0 \end{pmatrix}^2 \begin{pmatrix} I & 0 \\ I & 0 \end{pmatrix}^2 = \begin{pmatrix} \sqrt{2}I & 0 \\ 0 & 0 \end{pmatrix}}$ 

Therefore 
$$T^* \mid T^2 \mid T = \begin{pmatrix} I & I \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \sqrt{2}I & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} I & 0 \\ I & 0 \end{pmatrix} = \begin{pmatrix} \sqrt{2}I & 0 \\ 0 & 0 \end{pmatrix}$$

On the other hand, since 
$$|T^2| = T^*T = \begin{pmatrix} I & I \\ 0 & 0 \end{pmatrix} \begin{pmatrix} I & 0 \\ I & 0 \end{pmatrix} = \begin{pmatrix} 2I & 0 \\ 0 & 0 \end{pmatrix}$$
,

$$T^* \mid T^2 \mid T = \begin{pmatrix} I & I \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 2I & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} I & 0 \\ I & 0 \end{pmatrix} = \begin{pmatrix} 2I & 0 \\ 0 & 0 \end{pmatrix}$$
. Hence  $T$  is not quasi-class  $A$ .

However, since

$$T^{2*}T^2-2\lambda T^*T+\lambda^2=\begin{pmatrix}(2-4\lambda+\lambda^2)I&0\\0&\lambda^2I\end{pmatrix},$$

we have

$$T^*(T^{2*}T^2 - 2\lambda T^*T + \lambda^2)T = \begin{pmatrix} 2(1-\lambda)^2 I & 0 \\ 0 & 0 \end{pmatrix} \ge 0$$

for all  $\lambda > 0$ . Therefore *T* is quasi-paranormal.  $\Box$ 

The following example provides an operator which is algebraically quasi-paranormal but not quasi-paranormal.

**Example 2.5** Let  $T = \begin{pmatrix} I & 0 \\ I & I \end{pmatrix} \in B(\ell_2 \oplus \ell_2)$ . Then it is algebraically quasi-paranormal but not quasi-paranormal.

*Proof.* Since 
$$T^* = \begin{pmatrix} I & I \\ 0 & I \end{pmatrix}$$
, we have

$$T^{2*}T^2-2\lambda T^*T+\lambda^2=\left(\begin{matrix}(\lambda^2-4\lambda+5)I&(-2\lambda+2)I\\(-2\lambda+2)I&(\lambda^2-2\lambda+1)I\end{matrix}\right).$$

Therefore

$$T^*(T^{2*}T^2 - 2\lambda T^*T + \lambda^2)T = \begin{pmatrix} (2\lambda^2 - 10\lambda + 10)I(\lambda^2 - 4\lambda + 3)I\\ (\lambda^2 - 4\lambda + 3)I(\lambda^2 - 2\lambda + 1)I \end{pmatrix}.$$

Since  $(2\lambda^2 - 10\lambda + 10)I$  is not a positive operator for  $\lambda = 2$ ,  $T^*(T^{2*}T^2 - 2\lambda T^*T + \lambda^2)T \not\geq 0$  for  $\lambda > 0$ . Therefore T is not quasi-paranormal. On the other hand, consider the complex polynomial  $h(z) = (z - 1)^2$ . Then h(T) = 0, and hence T is algebraically quasi-paranormal.

The following facts follow from the above definition and some well known facts about quasi-paranormal operators [4]:

- (i) If  $T \in B(\mathcal{H})$  is algebraically quasi-paranormal, then so is  $T-\lambda$  for each  $\lambda \in \mathbb{C}$ .
- (ii) If  $T \in B(\mathcal{H})$  is algebraically quasi-paranormal and  $\mathcal{M}$  is a closed T-invariant subspace

of  $\mathcal{H}$ , then  $T|\mathcal{M}$  is algebraically quasi-paranormal.

- (iii) If T is algebraically quasi-paranormal, then T has SVEP.
- (iv) Suppose T does not have dense range. Then we have:

$$T$$
 is quasi-paranormal  $\Leftrightarrow T = \begin{pmatrix} A & B \\ 0 & 0 \end{pmatrix}$  on  $\mathcal{H} = \overline{T(\mathcal{H})} \oplus N(T^*)$ ,

where  $A = T|\overline{T(\mathcal{H})}$  is paranormal.

An operator  $T \in B(\mathcal{H})$  is called *isoloid* if iso  $\sigma(T) \subseteq \sigma_p(T)$  and an operator  $T \in B(\mathcal{H})$  is called *polaroid* if iso  $\sigma(T) \subseteq \pi(T)$ .

In general, the following implications hold:

T polaroid  $\Rightarrow T$  isoloid.

However, each converse is not true. Consider the following example: let  $T \in B(\ell_2)$  be defined by

$$T(x_1, x_2, x_3, \ldots) = (\frac{1}{2}x_2, \frac{1}{3}x_3, \ldots).$$

Then T is a compact quasinilpotent operator with  $\alpha(T) = 1$ , and so T is isoloid. However, since  $q(T) = \infty$ , T is not polaroid.

An important subspace in local spectral theory is the *quasi-nilpotent part* of T defined by

$$H_0(T) := \left\{ x \in \mathcal{H} : \lim_{n \to \infty} \left\| T^n x \right\| \frac{1}{n} = 0 \right\}.$$

If  $T \in B(\mathcal{H})$ , then the *analytic core* K(T) is the set of all  $x \in \mathcal{H}$  such that there exists a constant c > 0 and a sequence of elements  $x_n \in \mathcal{H}$  such that  $x_0 = x$ ,  $Tx_n = x_{n-1}$ , and  $||x_n|| \le c^n ||x||$  for all  $n \in \mathbb{N}$ , see [6] for information on K(T).

Let  $\mathcal{P}(\mathcal{H})$  denotes the class of all operators for which there exists  $p := p(\lambda) \in \mathbb{N}$  for which

$$H_0(T-\lambda) = N(T-\lambda)^p$$
 for all  $\lambda \in \mathbb{C}$ ,

and  $\mathcal{P}_1(\mathcal{H})$  denotes the class of all operators for which there exists  $p:=p(\lambda)\in\mathbb{N}$  for which

$$H_0(T - \lambda) = N(T - \lambda)^p$$
 for all  $\lambda \in E(T)$ .

Evidently,  $\mathcal{P}(\mathcal{H}) \subseteq \mathcal{P}_1(\mathcal{H})$ . Now we give a characterization of  $\mathcal{P}_1(\mathcal{H})$ .

**Theorem 2.6**.  $T \in \mathcal{P}_1(\mathcal{H})$  if and only if  $\pi(T) = E(T)$ .

*Proof.* Suppose  $T \in \mathcal{P}_1$  ( $\mathcal{H}$ ) and let  $\lambda \in E(T)$ . Then there exists  $p \in \mathbb{N}$  such that  $H_0(T-\lambda) = N(T-\lambda)^p$ . Since  $\lambda$  is an isolated point of  $\sigma(T)$ , it follows from [6, Theorem 3.74] that

$$\mathcal{H} = H_0 (T - \lambda) \oplus K (T - \lambda) = N(T - \lambda)^p \oplus K (T - \lambda).$$

Therefore, we have

$$(T - \lambda)^{p} (\mathcal{H}) = (T - \lambda)^{p} (K (T - \lambda)) = K (T - \lambda),$$

and hence  $\mathcal{H} = N(T-\lambda)^p \oplus (T-\lambda)^p$  ( $\mathcal{H}$ ), which implies, by [6, Theorem 3.6], that  $p(T-\lambda) = q(T-\lambda) \le p$ . But  $\alpha(T-\lambda) > 0$ , hence  $\lambda \mid \pi(T)$ . Therefore  $E(T) \subseteq \pi(T)$ . Since the opposite inclusion holds for every operator T, we then conclude that  $\pi(T) = E(T)$ . Conversely, suppose  $\pi(T) = E(T)$ . Let  $\lambda \mid E(T)$ . Then  $p := p(T-\lambda) = q(T-\lambda) < \infty$ . By [6, Theorem 3.74],  $H_0(T-\lambda) = N(T-\lambda)^p$ . Therefore  $T \in \mathcal{P}_1(\mathcal{H})$ .  $\square$ 

From Theorem 2.6, we can give a simple example which belongs to  $\mathcal{P}_1(\mathcal{H})$  but not  $\mathcal{P}(\mathcal{H})$ . Let U be the unilateral shift on  $\ell_2$  and let  $T = U^*$ . Then T does not have SVEP at 0, and so  $H_0(T)$  is not closed. Therefore  $T \notin \mathcal{P}(\mathcal{H})$ . However, since  $\sigma(T) = \bar{\mathbb{D}}, \pi(T) = E(T) = \emptyset$ , where  $\mathbb{D}$  is an open unit disk in  $\mathbb{C}$ . Hence  $T \in \mathcal{P}_1(\mathcal{H})$  by Theorem 2.6.

Before we state our main theorem (Theorem 2.9) in this section, we need some preliminary results.

**Lemma 2.7**. Let  $T \in B(\mathcal{H})$  be a quasinilpotent algebraically quasi-paranormal operator. Then T is nilpotent.

*Proof.* We first assume that T is quasi-paranormal. We consider two cases:

Case I: Suppose T has dense range. Then clearly, it is paranormal. Therefore T is nilpotent by [7, Lemma 2.2].

Case II: Suppose T does not have dense range. Then we can represent T as the upper triangular matrix

$$T = \begin{pmatrix} A & B \\ 0 & 0 \end{pmatrix}$$
 on  $\mathcal{H} = \overline{T(\mathcal{H})} \oplus N(T^*)$ ,

where  $A := T | \overline{T(\mathcal{H})}$  is an paranormal operator. Since T is quasinilpotent,  $\sigma(T) = \{0\}$ . But  $\sigma(T) = \sigma(A) \cup \{0\}$ , hence  $\sigma(A) = \{0\}$ . Since A is paranormal, A = 0 and therefore T is nilpotent. Thus if T is a quasinilpotent quasi-paranormal operator, then it is nilpotent. Now, we suppose T is algebraically quasi-paranormal. Then there exists a nonconstant polynomial p such that p(T) is quasi-paranormal. If p(T) has dense range, then p(T) is paranormal. So T is algebraically paranormal, and hence T is nilpotent by [7, Lemma 2.2]. If p(T) does not have dense range, we can represent p(T) as the upper triangular matrix

$$p(T) = \begin{pmatrix} C D \\ 0 0 \end{pmatrix}$$
 on  $\mathcal{H} = \overline{p(T)(\mathcal{H})} \oplus N(p(T)^*)$ ,

where  $C := p(T) | \overline{p(T)(\mathcal{H})}$  is paranormal. Since T is quasinilpotent,  $\sigma(p(T)) = p(\sigma(T)) = \{p(0)\}$ . But  $\sigma(p(T)) = \sigma(C) \cup \{0\}$  by [8, Corollary 8], hence  $\sigma(C) \cup \{0\} = \{p(0)\}$ . So  $p(0) = \{0\}$ , and hence p(T) is quasinilpotent. Since p(T) is quasi-paranormal, by the previous argument p(T) is nilpotent. On the other hand, since p(0) = 0,  $p(z) = cz^m(z - \lambda_1)(z - \lambda_2) \dots (z - \lambda_n)$  for some natural number m. Therefore  $p(T) = cT^m(T - \lambda_1)(T - \lambda_2) \dots (T - \lambda_n)$ . Since p(T) is nilpotent and  $T - \lambda_i$  is invertible for every  $\lambda_i \neq 0$ , T is nilpotent. This completes the proof.  $\square$ 

**Theorem 2.8**. Let  $T \in B(\mathcal{H})$  be algebraically quasi-paranormal. Then  $T \in \mathcal{P}_1(\mathcal{H})$ .

*Proof.* Suppose T is algebraically quasi-paranormal. Then h(T) is a quasi-paranormal operator for some nonconstant complex polynomial h. Let  $\lambda \in E(T)$ . Then  $\lambda$  is an isolated point of  $\sigma(T)$  and  $\alpha(T-\lambda)>0$ . Using the spectral projection  $P:=\frac{1}{2\pi i}\int_{\partial D}(\mu-T)^{-1}d\mu$ , where D is a closed disk of center  $\lambda$  which contains no other points of  $\sigma(T)$ , we can represent T as the direct sum

$$T = \begin{pmatrix} T_1 & 0 \\ 0 & T_2 \end{pmatrix}, \text{ where } \sigma\left(T_1\right) = \{\lambda\} \text{ and } \sigma\left(T_2\right) = \sigma\left(T\right) \setminus \{\lambda\}.$$

Since  $T_1$  is algebraically quasi-paranormal, so is  $T_1$  -  $\lambda$ . But  $\sigma(T_1$  -  $\lambda) = \{0\}$ , it follows from Lemma 2.7 that  $T_1$  -  $\lambda$  is nilpotent. Therefore  $T_1$  -  $\lambda$  has finite ascent and descent. On the other hand, since  $T_2$  -  $\lambda$  is invertible, clearly it has finite ascent and descent. Therefore  $\lambda$  is a pole of the resolvent of T, and hence  $\lambda \in \pi(T)$ . Hence  $E(T) \subseteq \pi(T)$ . Since  $\pi(T) \subseteq E(T)$  holds for any operator T, we have  $\pi(T) = E(T)$ . It follows from Theorem 2.6 that  $T \in \mathcal{P}_1(\mathcal{H})$ .  $\square$ 

We now show that generalized Weyl's theorem holds for algebraically quasi-paranormal operators. In the following theorem, recall that  $H(\sigma(T))$  is the space of functions analytic in an open neighborhood of  $\sigma(T)$ .

**Theorem 2.9.** Suppose that T or  $T^*$  is an algebraically quasi-paranormal operator. Then  $f(T) \in gW$  for each  $f \in H(\sigma(T))$ .

*Proof.* Suppose T is algebraically quasi-paranormal. We first show that  $T \in gW$ . Suppose that  $\lambda \in \sigma(T) \setminus \sigma_{BW}(T)$ . Then  $T - \lambda$  is B-Weyl but not invertible. It follows from [9, Lemma 4.1] that we can represent  $T - \lambda$  as the direct sum

$$T - \lambda = \begin{pmatrix} T_1 & 0 \\ 0 & T_2 \end{pmatrix}$$
, where  $T_1$  is Weyl and  $T_2$  is nilpotent.

Since T is algebraically quasi-paranormal, it has SVEP. So  $T_1$  and  $T_2$  have both finite ascent. But  $T_1$  is Weyl, hence  $T_1$  has finite descent. Therefore T- $\lambda$  has finite ascent and descent, and so  $\lambda \in E(T)$ . Conversely, suppose that  $\lambda \in E(T)$ . Since T is algebraically quasi-paranormal, it follows from Theorem 2.8 that  $T \in \mathcal{P}_1(\mathcal{H})$ . Since  $\pi(T) = E(T)$  by Theorem 2.6,  $\lambda \in E(T)$ . Therefore  $T - \lambda$  has finite ascent and descent, and so we can represent  $T - \lambda$  as the direct sum

$$T - \lambda = \begin{pmatrix} T_1 & 0 \\ 0 & T_2 \end{pmatrix}$$
, where  $T_1$  is invertible and  $T_2$  is nilpotent.

Therefore  $T - \lambda$  is B-Weyl, and so  $\lambda \in \sigma(T) \setminus \sigma_{BW}(T)$ . Thus  $\sigma(T) \setminus \sigma_{BW}(T) = E(T)$ , and hence  $T \in gW$ .

Next, we claim that  $\sigma_{BW}(f(T)) = f(\sigma_{BW}(T))$  for each  $f \in H(\sigma(T))$ . Since  $T \in gW$ ,  $T \in g\mathcal{B}$ . It follows from [5, Theorem 2.1] that  $\sigma_{BW}(T) = \sigma_D(T)$ . Since T is algebraically quasi-paranormal, f(T) has SVEP for each  $f \in H(\sigma(T))$ . Hence  $f(T) \in g\mathcal{B}$  by [5, Theorem 2.9], and so  $\sigma_{BW}(f(T)) = \sigma_D(f(T))$ . Therefore we have

$$\sigma_{BW}(f(T)) = \sigma_D(f(T)) = f(\sigma_D(T)) = f(\sigma_{BW}(T)).$$

Since T is algebraically quasi-paranormal, it follows from the proof of Theorem 2.8 that it is isoloid. Hence for any  $f \in H(\sigma(T))$  we have

$$\sigma\left(f\left(T\right)\right)\backslash E\left(f\left(T\right)\right)=f\left(\sigma\left(T\right)\backslash E\left(T\right)\right).$$

Since  $T \in gW$ , we have

$$\sigma\left(f\left(T\right)\right)\backslash E\left(f\left(T\right)\right)=f\left(\sigma\left(T\right)\backslash E\left(T\right)\right)=f\left(\sigma_{BW}\left(T\right)\right)=\sigma_{BW}\left(f\left(T\right)\right),$$

which implies that  $f(T) \in gW$ .

Now suppose that  $T^*$  is algebraically quasi-paranormal. We first show that  $T \in gW$ . Let  $\lambda \in \sigma(T) \setminus \sigma_{BW}(T)$ . Observe that  $\sigma(T^*) = \overline{\sigma(T)}$  and  $\sigma_{BW}(T^*) = \overline{\sigma_{BW}(T)}$ . So  $\overline{\lambda} \in \sigma(T^*) \setminus \sigma_{BW}(T^*)$ , and so  $\overline{\lambda} \in E(T^*)$  because  $T^* \in gW$ . Since  $T^*$  is algebraically quasi-paranormal, it follows from Theorem 2.8 that  $\overline{\lambda} \in \pi(T^*)$ . Hence  $T - \lambda$  has finite ascent and descent, and so  $\lambda \in E(T)$ . Conversely, suppose  $\lambda \in E(T)$ . Then  $\lambda$  is an isolated point of  $\sigma(T)$  and  $\sigma(T^*) = \overline{\sigma(T)}$ . Since  $\sigma(T^*) = \overline{\sigma(T)}$ ,  $\overline{\lambda}$  is an isolated point of  $\sigma(T^*)$ . Since  $T^*$  is isoloid,  $\overline{\lambda} \in E(T^*)$ . But  $E(T^*) = \pi(T^*)$  by Theorem 2.8, hence we have  $T - \lambda$  has finite ascent and descent. Therefore we can represent  $T - \lambda$  as the direct sum

$$T - \lambda = \begin{pmatrix} T_1 & 0 \\ 0 & T_2 \end{pmatrix}$$
, where  $T_1$  is invertible and  $T_2$  is nilpotent.

Therefore  $T - \lambda$  is B-Weyl, and so  $\lambda \in \sigma(T) \setminus \sigma_{BW}(T)$ . Thus  $\sigma(T) \setminus \sigma_{BW}(T) = E(T)$ , and hence  $T \in gW$ . If  $T^*$  is algebraically quasi-paranormal then T is isoloid. It follows from the first part of the proof that  $f(T) \in gW$ . This completes the proof.  $\Box$ 

From the proof of Theorem 2.9 and [10, Theorem 3.4], we obtain the following useful consequence.

**Corollary 2.10**. Suppose T or  $T^*$  is algebraically quasi-paranormal. Then

$$\sigma_{BW}(f(T)) = f(\sigma_{BW}(T))$$
 for every  $f \in H(\sigma(T))$ .

An operator  $X \in B(\mathcal{H})$  is called a *quasiaffinity* if it has trivial kernel and dense range.  $S \in B(\mathcal{H})$  is said to be a *quasiaffine transform of*  $T \in B(\mathcal{H})$ (notation:  $S \prec T$ ) if there is a quasiaffinity  $X \in B(\mathcal{H})$  such that XS = TX. If both  $S \prec T$  and  $T \prec S$ , then we say that S and T are *quasisimilar*.

**Corollary 2.11.** Suppose T is algebraically quasi-paranormal and  $S \prec T$ . Then  $f(S) \in ga\mathcal{B}$  for each  $f \in H(\sigma(S))$ .

*Proof.* Suppose T is algebraically quasi-paranormal. Then T has SVEP. Since  $S \prec T$ , f(S) has SVEP by [7, Lemma 3.1]. It follows from [11, Theorem 3.3.6] that f(S) has SVEP. Therefore  $f(S) \in ga\mathcal{B}$  by [12, Corollary 2.5].  $\square$ 

#### 3. Generalized Weyl's theorem for perturbations of algebraically quasiparanormal operators

An operator T is said to be *algebraic* if there exists a nontrivial polynomial h such that h(T) = 0. From the spectral mapping theorem it easily follows that the spectrum of an algebraic operator is a finite set. It is known that generalized Weyl's theorem is not generally transmitted to perturbation of operators satisfying generalized Weyl's theorem. In [13], they proved that if T is paranormal and F is an algebraic operator commuting with T, then Weyl's theorem holds for T + F. We now extend this result to generalized Weyl's theorem for algebraically quasi-paranormal operators. We begin with the following lemma.

**Lemma 3.1.** Let  $T \in B(\mathcal{H})$ . Then the following statements are equivalent:

- (1)  $T \in gW$ ;
- (2) *T* has SVEP at every  $\lambda \in \mathbb{C} \setminus \sigma_{BW}(T)$  and  $\pi(T) = E(T)$ .

*Proof.* Observe that  $T \in g\mathcal{B}$  if and only if  $\sigma_{BW}(T) = \sigma_D(T)$ . So  $T \in g\mathcal{B}$  if and only if T has SVEP at every  $\lambda \in \mathbb{C} \backslash \sigma_{BW}(T)$ . Therefore we obtain the desired conclusion.  $\square$ 

From this lemma, we obtain the following corollary

**Corollary 3.2**. Let  $T \in B(\mathcal{H})$ . Suppose T has SVEP. Then

 $T \in gW$  if and only if  $T \in \mathcal{P}_1(\mathcal{H})$ .

*Proof.* Since T has SVEP,  $T \in g\mathcal{B}by$  Lemma 3.1. So  $\sigma(T) \setminus \sigma_{BW}(T) = \pi(T)$ . Therefore  $T \in g\mathcal{W}$  if and only if  $T \in \mathcal{P}_1(\mathcal{H})$  by Theorem 2.6.  $\square$ 

**Lemma 3.3.** Suppose  $T \in B(\mathcal{H})$  and N is nilpotent such that TN = NT. Then  $T \in \mathcal{P}_1(\mathcal{H})$  if and only if  $T + N \in \mathcal{P}_1(\mathcal{H})$ .

*Proof.* Suppose  $N^p = 0$  for some  $p \in \mathbb{N}$ . Observe that without any assumption on T we have

$$N(T) \subseteq N(T+N)^p \text{ and } N(T+N) \subseteq N(T^p).$$
 (3.3.1)

Suppose now that  $T \in \mathcal{P}_1(\mathcal{H})$ , or equivalently  $\pi(T) = E(T)$ . We show first E(T) = E(T+N). Let  $\lambda \in E(T)$ . Without loss of generality, we may assume that  $\lambda = 0$ . From  $\sigma(T+N) = \sigma(T)$ , we see that 0 is an isolated point of  $\sigma(T+N)$ . Since  $0 \in E(T)$ ,  $\alpha(T) > 0$  and hence by the first inclusion in (3.3.1) we have  $\alpha(T+N)^p > 0$ . Therefore  $\alpha(T+N) > 0$ , and hence  $0 \in E(T+N)$ . Thus the inclusion  $E(T) \subseteq E(T+N)$  is proved. To show the opposite inclusion, assume that  $0 \in E(T+N)$ . Then 0 is an isolated point of  $\sigma(T)$  because  $\sigma(T+N) = \sigma(T)$ . Since  $\alpha(T+N) > 0$ , the second inclusion in (3.3.1) entails that  $\alpha(T^p) > 0$ . Therefore  $\alpha(T) > 0$ , and hence  $0 \in E(T)$ . So the equality E(T) = E(T+N) is proved. Suppose  $T \in \mathcal{P}_1(\mathcal{H})$ . Then  $\pi(T) = E(T)$  by Theorem 2.6, and so  $\pi(T+N) = \pi(T) = E(T) = E(T+N)$ . Therefore  $T+N \in \mathcal{P}_1(\mathcal{H})$ . Conversely, if  $T+N \in \mathcal{P}_1(\mathcal{H})$  by symmetry we have  $\pi(T) = \pi(T+N) = E(T+N) = E(T+N) = E(T+N) = E(T)$ , so the proof is complete.

The following theorem is a generalization of [13, Theorem 2.5]. The proof of the following theorem is strongly inspired to that of it.

**Theorem 3.4.** Suppose T is algebraically quasi-paranormal. If F is algebraic with TF = FT, then  $T + F \in gW$ .

*Proof.* Since F is algebraic,  $\sigma(F)$  is finite. Let  $\sigma(F) = \{\mu_1, \mu_2, ..., \mu_n\}$ . Denote by  $P_i$  the spectral projection associated with F and the spectral set  $\{\mu_i\}$ . Let  $Y_i := R(P_i)$  and  $Z_i := N(P_i)$ . Then  $H = Y_i \oplus Z_i$  and the closed subspaces  $Y_i$  and  $Z_i$  are invariant under T and T. Moreover,  $\sigma(F|Y_i) = \{\mu_i\}$ . Define  $F_i := F|Y_i$  and  $T_i := T|Y_i$ . Then clearly, the restrictions  $T_i$  and  $F_i$  commute for every i = 1, 2,...,n and

$$\sigma\left(T+F\right)=\sigma\left(\left(T+F\right)\left|Y_{i}\right.\right)\cup\sigma\left(\left(T+F\right)\left|Z_{i}\right.\right).$$

Let h be a nontrivial complex polynomial such that h(F) = 0. Then  $h(F_i) = h(F|Y_i) = h(F)|Y_i = 0$ , and from  $\{0\} = \sigma(h(F_i)) = h(\sigma(F_i)) = h(\{\mu_i\})$ , we obtain that  $h(\mu_i) = 0$ . Write  $h(\mu) = (\mu - \mu_i)^m g(\mu)$  with  $g(\mu_i) = 0$ . Then  $0 = h(F_i) = (F - \mu_i)^m g(F_i)$ , where  $g(F_i)$  is invertible. Hence  $N_i := F_i - \mu_i$  are nilpotent for all i = 1, 2,...,n. Observe that

$$T_i + F_i = (T_i + \mu_i) + (F_i - \mu_i) = T_i + N_i + \mu_i. \tag{3.4.1}$$

Since  $T_i + \mu_i$  is algebraically quasi-paranormal for all i = 1, 2,...,n,  $T_i + \mu_i$  has SVEP. Moreover, since  $N_i$  is nilpotent with  $T_iN_i = N_iT_i$ , it follows from [6, Corollary 2.12] that  $T_i + N_i + \mu_i$  has SVEP, and hence  $T_i + F_i$  has SVEP. From [6, Theorem 2.9] we obtain that

$$T + F = \bigoplus_{i=1}^{n} (T_i + F_i)$$
 has SVEP.

Now, we show that  $T+F \in \mathcal{P}_1(\mathcal{H})$ . Since  $T_i + \mu_i$  is algebraically quasi-paranormal,  $T_i + \mu_i \in \mathcal{P}_1(Y_i)$  by Theorem 2.8. By Lemma 3.3 and (3.4.1),  $T_i + F_i \in \mathcal{P}_1(Y_i)$  for every i = 1, 2,...,n. Now assume that  $\lambda_0 \in E(T+F)$ . Fix  $i \in \mathbb{N}$  such that  $1 \le i \le n$ . Since the equality  $T_i + N_i - \lambda_0 + \mu_i = T_i + F_i - \lambda_0$  holds, we consider two cases:

Case I: Suppose that  $T_i - \lambda_0 + \mu_i$  is invertible. Since  $N_i$  is quasi-nilpotent commuting with  $T_i - \lambda_0 + \mu_i$ , it is clear that  $T_i + F_i - \lambda_0$  is also invertible. Hence  $H_0(T_i + F_i - \lambda_0) = N(T_i + F_i - \lambda_0) = \{0\}$ .

Case II: Suppose that  $T_i - \lambda_0 + \mu_i$  is not invertible. Then  $\lambda_0 - \mu_i \in \sigma(T_i)$ . We claim that  $\lambda_0 \in E(T_i + F_i)$ . Note that  $\lambda_0 \in \sigma(T_i + \mu_i) = \sigma(T_i + F_i)$ . Since  $\sigma(T_i + F_i) \in \sigma(T + F_i)$  and  $\lambda_0 \in \operatorname{iso} \sigma(T + F_i)$ ,  $\lambda_0 \in \operatorname{iso} \sigma(T_i + N_i + \mu_i)$ . Therefore  $\lambda_0 - \mu_i \in \operatorname{iso} \sigma(T_i + N_i) = \operatorname{iso} \sigma(T_i)$ . Since  $T_i - \lambda_0 + \mu_i$  is algebraically quasi-paranormal,  $\lambda_0 - \mu_i \in \pi(T_i)$ . Since  $\pi(T_i) = E(T_i)$  by Theorem 2.6 and  $T_i \in \mathcal{BW}$  by Theorem 2.9,  $\lambda_0 - \mu_i \in E(T_i) = \sigma(T_i) \setminus \sigma_{BW}(T_i)$ . But  $N_i$  is nilpotent with  $T_iN_i = N_iT_i$ , hence  $\sigma_D(T_i) = \sigma_D(T_i + N_i)$  and  $T_i + N_i \in \mathcal{BB}$ . Therefore we have  $\sigma_{BW}(T_i + N_i) = \sigma_D(T_i + N_i)$ . Hence

$$E\left(T_{i}\right)=\sigma\left(T_{i}\right)\backslash\sigma_{BW}\left(T_{i}\right)=\sigma\left(T_{i}+N_{i}\right)\backslash\sigma_{BW}\left(T_{i}+N_{i}\right).$$

Hence  $T_i + F_i - \lambda_0$  is B-Weyl. Assume to the contrary that  $T_i + F_i - \lambda_0$  is injective. Then  $\beta(T_i + F_i - \lambda_0) = \alpha(T_i + F_i - \lambda_0) = 0$ . Therefore  $T_i + F_i - \lambda_0$  is invertible, and so  $\lambda_0 \notin \sigma(T_i + F_i)$ . This is a contradiction. Hence  $\lambda_0 \in E(T_i + F_i)$ . Since  $T_i + F_i \in \mathcal{P}_1(Y_i)$  by Theorem 2.6, there exists a positive integer  $m_i$  such that  $H_0(T_i + F_i - \lambda_0) = N(T_i + F_i - \lambda_0)^{m_i}$ .

From Cases I and II we have

$$H_0 (T + F - \lambda_0) = \bigoplus_{i=1}^n H_0 (T_i + F_i - \lambda_0)$$
$$= \bigoplus_{i=1}^n N(T_i + F_i - \lambda_0)^{m_i}$$
$$= N(T + F - \lambda_0)^m.$$

where  $m := \max\{m_1, m_2, ..., m_n\}$ . Since the last equality holds for every  $\lambda_0 \in E(T + F)$ ,  $T + F \in \mathcal{P}_1(\mathcal{H})$ . Therefore  $T + F \in gWby$  Corollary 3.2.  $\square$ 

It is well known that if for an operator  $F \in B(\mathcal{H})$  there exists a natural number n for which F' is finite-dimensional, then F is algebraic.

**Corollary 3.5.** Suppose  $T \in B(\mathcal{H})$  is algebraically quasi-paranormal and F is an operator commuting with T such that  $F^n$  is a finite-dimensional operator for some  $n \in \mathbb{N}$ . Then  $T + F \in gW$ .

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#### Authors' contributions

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