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Markov approximation of arbitrary random field on homogeneous trees

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Abstract

In this article, we establish a class of small deviation theorems for functionals of random fields and the strong law of large numbers for the ordered couple of states for arbitrary random fields on homogenous trees. A known result is generalized in this article.

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1 Introduction

By a tree, T we mean an infinite, locally finite, connected graph with a distinguished vertex o called the root and without loops or cycles. We only consider trees without leaves. That is, the degree of each vertex (except o) is required to be at least two. Let σ , τ be vertices of a tree. Write $\tau \leq \sigma$ if τ is on the unique path connecting o to σ , and $|\sigma|$ for the number of edges on this path. For any two vertices, σ , τ , denote by $\sigma \wedge \tau$ the vertex farthest from o satisfying $\sigma \wedge \tau \leq \sigma$, $\sigma \wedge \tau \leq \tau$.

Some tree models have been introduced in the literature. We say a homogeneous tree, namely, a Bethe tree, on which each vertex has N+1 neighboring vertices. Another homogeneous tree is a rooted Cayley tree $T_{C,N}$ —the root has N neighbors, and the other vertices have N+1 neighbors.

The set of all vertices with distance n from the root o is called the nth generation (or nth level) of T, which is denoted by L_n , $L_0 = \{o\}$. We denote by $T_{(m)}^{(n)}$ the subtree of a tree T containing the vertices from level m to level n, especially $T^{(n)}$ the subtree of a tree T containing the vertices from level 0 to level n. Let t be a vertex of T, predecessor of the vertex t is another vertex which is the nearest from t on the unique path from root o to t. We denote the predecessor of t by t0, the predecessor of t1, and t2, where t3 is a stochastic process indexed by a set t4, and denoted by t6, the number of vertices of t6, t7 is the realization of t7.

Let (Ω, \mathcal{F}) be a measurable space, and $\{X_t, t \in T\}$ be a collection of random variables defined on (Ω, \mathcal{F}) and take value in states space S.

Definition 1 (*Tree-indexed Markov chains*) Let T be a tree, S be a finite space, and $\{X_{\sigma}, \sigma \in T\}$ be a collection of S-valued random variables defined on the probability space (Ω, \mathcal{F}, P) . Let



$$p = \{p(x), x \in S\} \tag{1}$$

be a distribution on S, and

$$(P(y|x)), \quad x, y \in S, \quad t \in T \tag{2}$$

be stochastic matrices on S^2 . If for each vertex $t \in T$,

$$P(X_t = y \mid X_{1_t} = x \quad and \quad X_s \quad for \quad t \land s \le 1_t)$$

$$= P(X_t = y \mid X_{1_t} = x) = P(y \mid x), \quad x, y \in S$$
(3)

and

$$P(x_0 = x) = p(x), x \in S, \tag{4}$$

then $\{X_t, t \in T\}$ will be called S-value Markov chains indexed by tree with the initial distribution (1) and transition matrix (2), or called tree-indexed Markov chains.

The above definition is the extension of the definitions of Tree-indexed homogeneous Markov chains [1].

We say a distribution p > 0 if p(x) > 0 for all $x \in S$; a stochastic matrix $(P(y|x))_{x,y \in S}$ is positive if P(y|x) > 0 for all $x,y \in S$. Denote the distribution of $\{X_t, t \in T\}$ under the probability measure **P** by $P(x^{T^{(n)}}) = P(X^{T^{(n)}} = x^{T^{(n)}})$. It is easy to see that if $\{X_t, t \in T\}$ is a *S*-valued Markov chains indexed by a tree defined as above, then

$$\mathbf{P}(x^{T^{(n)}}) = \mathbf{P}(X^{T^{(n)}} = x^{T^{(n)}}) = p(x_0) \prod_{t \in T^n_{(1)}} P(x_1 \mid x_{1_t}).$$
 (5)

Definition 2 [2] Let $\{X_{\sigma}, \sigma \in T\}$ be a collection of *S*-valued random variables defined on $(\Omega, \mathcal{F}), p > 0$, $(P(y|x))_{x,y \in S}$ be a positive stochastic matrix, μ , **P** be two probability measure on (Ω, \mathcal{F}) , and $\{X_{\sigma}, \sigma \in T\}$ be Markov chains indexed by tree *T* under probability measure **P**. Assume that $\mu(x^{T^{(n)}})$ is always strictly positive. Let

$$\varphi_n(\omega) = \frac{\mu(X^{T^{(n)}})}{\mathbf{P}(X^{T^{(n)}})} \tag{6}$$

$$\varphi(\omega) = \limsup_{n \to \infty} \frac{1}{|T^{(n)}|} \ln \varphi_n(\omega), \tag{7}$$

then $\phi(\omega)$ will be called the asymptotic logarithmic likelihood ratio.

Remark 1 If $\mu = \mathbf{P}$, $\phi(\omega) \equiv 0$ holds. Lemma 1 will show that in general case $\phi(\omega) \geq 0$ μ - a.e.; hence, $\phi(\omega)$ can be regarded as a measure of the Markov approximation of the arbitrary random field on T.

The tree models have recently drawn increasing interest from specialists in physics, probability, and information theory. Benjamini and Peres [1] have given the notion of the tree-indexed homogeneous Markov chains and studied the recurrence and ray-recurrence for them. Berger and Ye [3] have studied the existence of entropy rate for some stationary random fields on a homogeneous tree. Ye and Berger [4] have studied the asymptotic equipar-tition property (AEP) in the sense of convergence in probability for a PPG-invariant(A PPG is the group of graph automorphisms of T that preserve

the parities of all vertices. A random field is said to be PPG-invariant if the probability of any finite cylinder set remains invariant under any automorphism of PPG) and ergodic random field on a homogeneous tree. Recently, Yang [5] have studied some strong limit theorems for countable homogeneous Markov chains indexed by a homogeneous tree and the strong law of large numbers and the AEP for finite homogeneous Markov chains indexed by a homogeneous tree. Yang and Ye [6] have studied strong theorems for countable nonhomogeneous Markov chains indexed by a homogeneous tree and the strong law of large numbers and the AEP for finite nonhomogeneous Markov chains indexed by a homogeneous tree. Small deviation theorems are a class of strong limit theorems expressed by inequalities. They are the extensions of strong limit theorems expressed by equalities. It is a new research topic introduced by Professor Liu Wen [7]. Later, Liu and Yang [8] studied the small deviation theorems for the averages of the bivariate functions of $\{X_n, n \geq 0\}$. Liu and Wang [2] studied the small deviation between the arbitrary random fields and the Markov chain fields on Cayley tree. Peng et al [9] established the small deviation theorems for functional of the random fields on homogeneous trees; some of the theorems partly generalized the result of [2].

In this article, the main result can completely generalize the result in [2](Corollary 3). We obtain the small deviation theorems for the random fields and the frequencies of state-ordered couples for random fields on homogeneous trees. Both the result and the method are apparently different from [9]. We arrange the rest of the article as follows:

First, we introduce the asymptotic logarithmic likelihood ratio as a measure of Markov approximation of the arbitrary random field on a homogeneous tree. Second, by constructing a non-negative supermartingale, we obtain a class of small deviation theorems for functionals of random fields on a homogeneous tree. Finally, we establish the small deviation theorems for the frequencies of occurrence of states and ordered couple of states for random fields on a homogeneous tree.

2 Some Lemmas

Lemma 1 [2] Let μ_1 , μ_2 be two probability measures on (Ω, \mathcal{F}) , and $D \in \mathcal{F}$, $\{\tau_n, n \ge 1\}$ be a sequence of positive random variables such that

$$\liminf_{n\to\infty} \frac{\tau_n}{\left|T^{(n)}\right|} > 0, \mu_1 - a.s. \text{ on } D.$$
(8)

Then,

$$\limsup_{n \to \infty} \frac{1}{\tau_n} \ln \frac{\mu_2(X^{T^{(n)}})}{\mu_1(X^{T^{(n)}})} \le 0, \mu_1 - a.s. \text{ on } D.$$
(9)

Remark 2 Let $\mu_1 = \mu$, $\mu_2 = \mathbf{P}$, by (9) there exists $A \in \mathcal{F}$, $\mu(A) = 1$ such that

$$\limsup_{n\to\infty} \frac{1}{\left|T^{(n)}\right|} \ln \frac{\mathbf{P}(X^{T^{(n)}})}{\mu(X^{T^{(n)}})} \leq 0 \quad \omega \in A,$$

and hence we have $\phi(\omega) \ge 0$, $\omega \in A$.

Let $k, l \in S$, $S_n(k, \omega)$ (denoted by $S_n(k)$) be the number of k in the set of random variables $X^{T^{(n)}} = \{X_t : t \in T^{(n)}\}$, and $S_n(k, l, \omega)$ (denoted by $S_n(k, l)$) be the number of couple (k, l) in the set of random couples: $\{(X_{1_t}, X_t), t \in T\}$, *i.e.*,

$$S_n(k) = \sum_{t \in T^{(n)}} \delta_k(X_t) \tag{10}$$

$$S_n(k,l) = \sum_{t \in T_{(1)}^{(n)}} \delta_k(X_{1_t}) \delta_l(X_t), \tag{11}$$

where $\delta_k(.)(k \in S)$ is *Kronecker* δ -function:

$$\delta_k(x) = \begin{cases} 1, & \text{if } x = k, \\ 0, & \text{if } x \neq k. \end{cases}$$

Lemma 2 [2] Let μ be a probability measure on (Ω, \mathcal{F}) , and $\phi(\omega)$ be denoted by (7), $0 \le c < \ln(1 - a_k)^{-1}$ be a constant, and

$$D(c) = \{\omega : \varphi(\omega) \le c\} \tag{12}$$

$$M_k = \max\{\left[\ln \frac{1 - a_k}{1 - \lambda} + c\right] / \ln \frac{\lambda(1 - a_k)}{b_k(1 - \lambda)}, 0 < \lambda \le 1 + (a_k - 1)e^c\},\tag{13}$$

where $a_k = \max\{P(k|i), i \in S\}$, $b_k = \min\{P(k|i), i \in S\}$. Then,

$$\liminf_{n\to\infty} \frac{S_{n-1}(k)}{|T^{(n)}|} \ge \frac{M_k}{N}\mu - a.e. \text{ on } D(c).$$
(14)

Lemma 3 Let $0 < f_n(x, y) \le 1$ be real functions. Then for all $\lambda > 0$, we have

$$\lambda^{f_n(x,y)} < 1 + (\lambda - 1)f_n(x,y).$$

Proof It is easy to verify that $\lambda^{f_n(x,y)} - (\lambda - 1)f_n(x,y) - 1 \le 0$ for all $\lambda > 0$, the above inequality holds immediately.

Lemma 4 Let μ , \mathbf{P} be two probability measures on (Ω, \mathcal{F}) , p > 0, $(P(y|x))_{x,y \in S}$ be a positive stochastic matrix, $\{X_{\sigma}, \sigma \in T\}$ be Markov chains indexed by T under probability measure \mathbf{P} , $0 < f_n(x, y) \le 1$ be real functions defined on S^2 , $L_0 = \{o\}(o \text{ is the root of the tree } T)$, $\mathcal{F}_n = \sigma(X^{T^{(n)}})$, and λ be a real number. Let

$$F_n(\omega) = \sum_{t \in T_{(1)}^{(n)}} f_n(X_{1_t}, X_t)$$
(15)

$$t_n(\lambda, \omega) = \frac{\lambda^{F_n(\omega)}}{\prod_{t \in T_{(t)}^{(n)}} \left[1 + (\lambda - 1) E_P(f_n(X_{1_t}, X_t) | X_{1_t}) \right]} \frac{\mathbf{P}(X^{T^{(n)}})}{\mu(X^{T^{(n)}})}, \tag{16}$$

where E_P is the expectation under probability measure **P**. Then, $(t_n(\lambda, \omega), \mathcal{F}_n, n \ge 1)$ is a non-negative supermartingale under probability measure μ .

Proof By Lemma 3, we have

$$E_{\mu}(t_{n}(\lambda,\omega) | \mathcal{F}_{n-1})$$

$$= t_{n-1}(\lambda,\omega) \sum_{x^{l_{n}}} \frac{\sum_{\lambda^{t \in L_{n}}} f_{n}(X_{1_{t}}, x_{t})}{\prod_{t \in L_{n}} \left[1 + (\lambda - 1)E_{P}(f_{n}(X_{1_{t}}, X_{t}) | X_{1_{t}})\right]} \frac{\prod_{t \in L_{n}} P(x_{t} | X_{1_{t}})}{\mu(X^{L_{n}} = x^{L_{n}} | X^{T^{(n-1)}})} \times \mu(X^{L_{n}} = x^{L_{n}} | X^{T^{(n-1)}})$$

$$= t_{n-1}(\lambda,\omega) \sum_{x^{l_{n}}} \frac{\prod_{t \in L_{n}} \lambda^{f_{n}(X_{1_{t}}, x_{t})} P(x_{t} | X_{1_{t}})}{\prod_{t \in L_{n}} \sum_{x_{t}} \lambda^{f_{n}(X_{1_{t}}, x_{t})} P(x_{t} | X_{1_{t}})}$$

$$= t_{n-1}(\lambda,\omega) \frac{\prod_{t \in L_{n}} \sum_{x_{t}} \lambda^{f_{n}(X_{1_{t}}, x_{t})} P(x_{t} | X_{1_{t}})}{\prod_{t \in L_{n}} \left[1 + (\lambda - 1)E_{P}(f_{n}(X_{1_{t}}, X_{t}) | X_{1_{t}})\right]}$$

$$= t_{n-1}(\lambda,\omega) \prod_{t \in L_{n}} \frac{E_{P}(\lambda^{f_{n}(X_{1_{t}}, X_{t})} | X_{1_{t}})}{\left[1 + (\lambda - 1)E_{P}(f_{n}(X_{1_{t}}, X_{t}) | X_{1_{t}})\right]}$$

$$= t_{n-1}(\lambda,\omega) \prod_{t \in L_{n}} \frac{E_{P}(\lambda^{f_{n}(X_{1_{t}}, X_{t})} | X_{1_{t}})}{E_{P}\left[1 + (\lambda - 1)f_{n}(X_{1_{t}}, X_{t}) | X_{1_{t}}\right]}$$

$$\leq t_{n-1}(\lambda,\omega) \quad \mu - a.e.,$$

and hence $(t_n(\lambda, \omega), \mathcal{F}_n)$ is a non-negative supermartingale under probability measure μ .

3 Small deviation theorem

Small deviation theorems are a class of strong limit theorems expressed by inequalities. They are the extensions of strong limit theorems expressed by equalities. It is a new research topic proposed by Professor Liu Wen [9].

In this section, we will establish a class of small deviation theorem for functionals of random fields on homogenous trees. As corollary, we obtain the frequencies of state-ordered couples for random fields on homogeneous trees.

Theorem 1 Let T be a homogeneous tree (Bethe tree or Cayley tree), μ , \mathbf{P} be two probability measures on (Ω, \mathcal{F}) , p > 0, $(P(y|x))_{x,y \in S}$ be a positive stochastic matrix, $\{X_{\sigma}, \sigma \in T\}$ be Markov chains indexed by T under probability measure \mathbf{P} , $0 < f_n(x, y) \le \delta_l(x)\delta_k(y)$ be real functions defined on S^2 , and λ be a real number. Let ϕ (ω) and $F_n(\omega)$ be denoted by (7) and (15), respectively. Let $c \ge 0$,

$$D(c) = \{\omega : \varphi(\omega) < c\} \tag{17}$$

$$G_n(\omega) = \sum_{t \in T_{(1)}^{(n)}} E_{\mathbf{P}}(f_n(X_{1_t}, X_t) | X_{1_t}).$$
(18)

Then,

$$\limsup_{n \to \infty} \frac{F_n(\omega) - G_n(\omega)}{NS_{n-1}(k)} \le \sqrt{\frac{2cP(l|k)}{M_k}} + \frac{c}{M_k}\mu - a.e. \text{ on } D(c).$$
 (19)

When $0 < c < P(l|k)M_{l}$

$$\liminf_{n\to\infty} \frac{F_n(\omega) - G_n(\omega)}{NS_{n-1}(k)} \ge -\sqrt{\frac{2cP(l|k)}{M_k}} \quad \mu - a.e. \text{ on } D(c).$$
 (20)

Proof Let t_n (λ , ω) be defined by (16). By Lemma 4, $(t_n(\lambda, \omega), \mathcal{F}_n, n \geq 1)$ is a non-negative supermartingale under probability measure μ . By Doob's martingale convergence theorem, we have

$$\lim_{n\to\infty}t_n(\lambda,\omega)=t(\lambda,\omega)<\infty\mu-a.e..$$

Hence.

$$\limsup_{n\to\infty}\frac{1}{\left|T^{(n)}\right|}\ln t_n(\lambda,\omega)=\limsup_{n\to\infty}\frac{1}{\left|T^{(n)}\right|}\ln t(\lambda,\omega)\leq\mu-a.e..$$

By (14), we get

$$\limsup_{n\to\infty} \frac{\ln t_n(\lambda,\omega)}{NS_{n-1}(k)} \leq \limsup_{n\to\infty} \frac{\left|T^{(n)}\right|}{NS_{n-1}(k)} \limsup_{n\to\infty} \frac{1}{\left|T^{(n)}\right|} \ln t_n(\lambda,\omega) \leq 0, \mu-a.e. \text{ on } D(c). \tag{21}$$

We have by (15), (16) and (21)

$$\limsup_{n \to \infty} \frac{1}{NS_{n-1}(k)} \left[F_n(\omega) \ln \lambda - \sum_{t \in T_{(1)}^{(n)}} \ln[1 + (\lambda - 1)E_{\mathbf{P}}(f_n(X_{1_t}, X_t) | X_{1_t})] - \ln \frac{\mu(X^{T^{(n)}})}{\mathbf{P}(X^{T^{(n)}})} \right]$$

$$\leq 0\mu - a.e. \quad \text{on } D(c).$$
(22)

By (6), (7), (17), (22), and $0 \le c < \ln(1 - a_k)^{-1}$, we have

$$\limsup_{n \to \infty} \frac{1}{NS_{n-1}(k)} \left[F_n(\omega) \ln \lambda - \sum_{t \in T_{(1)}^{(n)}} \ln[1 + (\lambda - 1)E_P(f_n(X_{1_t}, X_t) | X_{1_t})] \right]$$

$$\leq \frac{c}{M_b} \mu - a.e. \text{ on } D(c).$$
(23)

By (23) and the inequality $\limsup_{n\to\infty} (a_n+b_n) \leq \limsup_{n\to\infty} a_n + \limsup_{n\to\infty} b_n$, we have

$$\limsup_{n \to \infty} \frac{F_n(\omega) \ln \lambda}{N S_{n-1}(k)} \le \limsup_{n \to \infty} \frac{1}{N S_{n-1}(k)} \sum_{t \in T_{(1)}^{(n)}} \ln[1 + (\lambda - 1) E_{\mathbb{P}}(f_n(X_{1_t}, X_t) | X_{1_t})] + \frac{c}{M_k}$$

$$\mu - a.e. \quad \text{on } D(c).$$
(24)

Let $\lambda > 1$, we have by (24),

$$\limsup_{n \to \infty} \frac{F_{n}(\omega) - G_{n}(\omega)}{NS_{n-1}(k)}$$

$$\leq \limsup_{n \to \infty} \frac{\sum_{t \in T_{(1)}^{(n)}} \ln[1 + (\lambda - 1)]E_{P}(f_{n}(X_{1_{t}}, X_{t}) | X_{1_{t}})] - G_{n}(\omega) \ln \lambda$$

$$+ \frac{c}{M_{k} \ln \lambda} \mu - a.e. \text{ on } D(c).$$
(25)

By (17), (25) and inequality $1 - 1/x \le \ln x \le x - 1$ (x > 0), we have

$$\limsup_{n\to\infty} \frac{F_n(\omega) - G_n(\omega)}{NS_{n-1}(k)} \le (\lambda - 1) \limsup_{n\to\infty} \frac{G_n(\omega)}{NS_{n-1}(k)} + \frac{\lambda c}{(\lambda - 1)M_k} \quad \mu - a.e. \text{ on } D(c).$$
 (26)

By $0 < f_n(x, y) \le \delta_l(x) \delta_k(y)$, we have $\limsup_{n \to \infty} G_n(\omega)/[NS_{n-1}(k)] \le P(l|k)$. By (26) we get

$$\limsup_{n\to\infty} \frac{H_n(\omega) - G_n(\omega)}{NS_{n-1}(k)} \le (\lambda - 1)P(l|k) + \frac{\lambda c}{(\lambda - 1)M_k} \quad \mu - a.e. \text{ on } D(c).$$
 (27)

Let $g(\lambda) = (\lambda - 1)P(l|k) + \lambda c/[(\lambda - 1)M_k]$ $(\lambda > 1)$. In the case c > 0, (19) holds by (27) because $g(\lambda)$ attains its smallest value $g(1 + \sqrt{c/[P(l|k)M_k]}) = 2\sqrt{P(l|k)c/M_k} + c/M_k$ on the interval $(1,+\infty)$; In the case c = 0, (19) also holds by choosing $\lambda_i \to 1 + 0(i \to \infty)$ in (27).

Let $0 < \lambda < 1$, we have by (24) then

$$\limsup_{n\to\infty} \frac{F_{n}(\omega) - G_{n}(\omega)}{NS_{n-1}(k)}$$

$$\sum_{\substack{t\in T_{(1)}^{(n)}}} \ln[1+(\lambda-1)E_{P}(f_{n}(X_{1_{t}},X_{t})|X_{1_{t}})] - G_{n}(\omega)\ln\lambda$$

$$\geq \liminf_{n\to\infty} \frac{\sum_{t\in T_{(1)}^{(n)}}}{NS_{n-1}(k)\ln\lambda}$$

$$+ \frac{c}{M_{t}\ln\lambda} \quad \mu - a.e. \text{ on } D(c).$$
(28)

By (17), (28), and inequality $1 - 1/x \le \ln x \le x - 1$ (x > 0), we have

$$\liminf_{n\to\infty} \frac{F_n(\omega) - G_n(\omega)}{NS_{n-1}(k)} \ge (\lambda - 1)P(l|k) + \frac{c}{(\lambda - 1)M_k} \quad \mu - a.e. \text{ on } D(c).$$
 (29)

Let $h(\lambda) = (\lambda - 1)P(l|k) + c/[(\lambda - 1)M_k]$ (0 < λ < 1). In the case c > 0, (20) holds by (29) because $h(\lambda)$ attains its largest value $h(1 - \sqrt{c/[P(l|k)M_k]}) = -2\sqrt{cP(l|k)/M_k}$ on the interval (0,1); In the case c = 0, (20) also holds by choosing $\lambda_i \to 1 - 0(i \to \infty)$ in (29). This is the end of the proof.

Corollary 1 Under the assumption of Theorem 1, we have

$$\lim_{n\to\infty} \frac{H_n(\omega) - G_n(\omega)}{NS_{n-1}(k)} = 0, \quad \mu - a.e. \text{ on } D(0).$$
(30)

Proof (30) holds by setting c = 0 in Theorem 1.

Corollary 2 Under the assumption of Theorem 1, we have

$$\lim_{n \to \infty} \frac{H_n(\omega) - G_n(\omega)}{N S_{n-1}(k)} = 0, \quad P - a.e..$$
(31)

Proof Let $\mu = \mathbf{P}$ in Theorem 1, then $\phi_n(\omega) \equiv 0$, $D(0) = \Omega$, and (31) follows immediately.

Corollary 3 (see [2]) Under the assumption of Theorem 1, we have

$$\limsup_{n\to\infty} \left[\frac{S_n(k,l)}{NS_{n-1}(k)} - P(l|k) \right] \le 2\sqrt{\frac{cP(l|k)}{M_k}} + \frac{c}{M_k} \quad \mu - a.e. \text{ on } D(c),$$
 (32)

and when $0 \le c \le M_k P(l|k)$, we have

$$\liminf_{n\to\infty} \left[\frac{S_n(k,l)}{NS_{n-1}(k)} - P(l|k) \right] \ge -2\sqrt{\frac{cP(l|k)}{M_k}} \qquad \mu - a.e. \text{ on } D(c).$$
 (33)

Proof Let $f_n(x, y) = \delta_l(x) \ \delta_k(y)$ in Theorem 1, then $F_n(\omega) = S_n(k, l)$, $G_n(\omega) = NS_{n-1}(k)P(l|k)$, and (32) and (33) hold obviously.

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Authors' contributions

WP and WY carried out the design of the study and performed the analysis. PC participated in its design and coordination. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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