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# Fixed points of completely positive maps and their dual maps

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## Abstract

Let  $\mathcal{A} \subset \mathcal{B}(\mathcal{H})$  be a row contraction and  $\Phi_{\mathcal{A}}$  determined by  $\mathcal{A}$  be a completely positive map on  $\mathcal{B}(\mathcal{H})$ . In this paper, we mainly consider fixed points of  $\Phi_{\mathcal{A}}$  and its dual map  $\Phi_{\mathcal{A}}^{\dagger}$ . It is given that  $\Phi_{\mathcal{A}}(X) \leq X$  (or  $\Phi_{\mathcal{A}}(X) \geq X$ ) implies  $\Phi_{\mathcal{A}}(X) = X$  and  $\Phi_{\mathcal{A}}^{\dagger}(X) = X$  when  $X \in \mathcal{B}(\mathcal{H})$  is a compact operator. Some necessary conditions of  $\Phi_{\mathcal{A}}(X) = X$  and  $\Phi_{\mathcal{A}}^{\dagger}(X) = X$  are given.

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**Keywords:** Quantum operation; Dual operation; Fixed point; Compact operator

## 1 Introduction

Completely positive maps play an essential role in quantum information theory since they correspond to physical operations, see [7]. Recall that a quantum operation can be represented by a normal completely positive map, which is determined by an operator sequence, see [2, 3]. Hence, some problems about completely positive maps can be solved by researching operator sequences.

For the convenience of description, let  $\mathcal{H}$  and  $\mathcal{K}$  be separable Hilbert spaces and  $\mathcal{B}(\mathcal{K}, \mathcal{H})$  be the set of all bounded linear operators from  $\mathcal{K}$  into  $\mathcal{H}$  and abbreviate  $\mathcal{B}(\mathcal{K}, \mathcal{H})$  to  $\mathcal{B}(\mathcal{H})$  if  $\mathcal{K} = \mathcal{H}$ .  $\mathcal{K}(\mathcal{H})$  is the set of compact operators on  $\mathcal{H}$ . Denote by  $J$  a finite or infinite countable index set. Let  $\mathcal{A} = \{A_k\}_{k \in J} \subset \mathcal{B}(\mathcal{H})$ .  $\mathcal{A}$  is called a row contraction if  $\sum_{k \in J} A_k A_k^* \leq I$ , where the series  $\sum_{k \in J} A_k A_k^*$  is convergent in strong operator topology and  $A_k^*$  is the adjoint operator of  $A_k$ . We say that  $\mathcal{A}$  is unital if  $\sum_{k \in J} A_k A_k^* = I$  and trace preserving if  $\sum_{k \in J} A_k^* A_k = I$ .

To each row contraction  $\mathcal{A} = \{A_k\}_{k \in J}$  one can associate a normal completely positive mapping  $\Phi_{\mathcal{A}}$  on  $\mathcal{B}(\mathcal{H})$ ,

$$\Phi_{\mathcal{A}}(X) = \sum_{k \in J} A_k X A_k^*, \quad \forall X \in \mathcal{B}(\mathcal{H}).$$

Then, we say that  $\Phi_{\mathcal{A}}$  is a quantum operation on  $\mathcal{B}(\mathcal{H})$  and each  $A_k$  is the operation element or the Kraus operator of  $\Phi_{\mathcal{A}}$ .  $\mathcal{A}$  and  $\Phi_{\mathcal{A}}$  are called self-adjoint if each  $A_k$  is self-adjoint. If a row contraction  $\mathcal{A}$  also satisfies  $\sum_{k \in J} A_k^* A_k \leq I$ , we can define a completely

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positive map  $\Phi_{\mathcal{A}}^{\dagger}$  on  $\mathcal{B}(\mathcal{H})$  as follows:

$$\Phi_{\mathcal{A}}^{\dagger}(X) = \sum_{k \in J} A_k^* X A_k, \quad \forall X \in \mathcal{B}(\mathcal{H}).$$

The map  $\Phi_{\mathcal{A}}^{\dagger}$  is well defined and is called the dual operation of  $\Phi_{\mathcal{A}}$ . An operator  $X \in \mathcal{B}(\mathcal{H})$  is said to be a fixed point of  $\Phi_{\mathcal{A}}$  if  $\Phi_{\mathcal{A}}(X) = X$ . In fact, a fixed point  $\Phi_{\mathcal{A}}$  means that it is not disturbed by the action of  $\Phi_{\mathcal{A}}$ . Denote by  $\mathcal{B}(\mathcal{H})^{\Phi_{\mathcal{A}}}$  the set of fixed points of  $\Phi_{\mathcal{A}}$ .

Fixed points of completely positive maps were considered from different aspects since they are useful in the theory of quantum error correction, see [1, 4–6], and [8–11]. Li discussed fixed points of dual quantum operations on compact operators in [4] and given that the two fixed points sets of quantum operation and its dual operation are coincident under a certain condition. In [1], the authors noted that the positive fixed point  $B \in \mathcal{B}(\mathcal{H})^{\Phi_{\mathcal{A}}}$  of  $\Phi_{\mathcal{A}}$  and  $A_k$  commute if  $B$  has only discrete point spectra and  $\Phi_{\mathcal{A}}$  is a self-adjoint quantum operation. However, the result does not necessary hold for a not self-adjoint quantum operation. Li generalized the result to the unital and trace-preserving quantum operation in [5], but  $B$  must be an operator when the spectra space is finite. In [4], the fixed points sets of  $\Phi_{\mathcal{A}}$  and its dual map  $\Phi_{\mathcal{A}}^{\dagger}$  were given by use of the properties of self-adjoint operators. It was given that the two sets were equivalent in compact operator space. Also, it was noted that  $\Phi_{\mathcal{A}}(X) \geq X$  implied  $\Phi_{\mathcal{A}}(X) = X$  under certain conditions. Popescu studied the inequality  $\Phi_{\mathcal{A}}(X) \leq X$  and the equation  $\Phi_{\mathcal{A}}(X) = X$  by use of the minimal isometric dilation and Poisson transforms in [5] and the canonical decompositions and lifting theorems were obtained to provide a description of all solutions of  $\Phi_{\mathcal{A}}(X) \leq X$ .

Inspired by the above results, we mainly consider fixed points of completely positive maps and their dual operations. For a given row contraction  $\mathcal{A}$ , we study the inequality  $\Phi_{\mathcal{A}}(X) \leq X$  and the equation  $\Phi_{\mathcal{A}}(X) = X$  on the set of all diagonalizable operators. It is given that  $\Phi_{\mathcal{A}}(X) \leq X$  (or  $\Phi_{\mathcal{A}}(X) \geq X$ ) implies  $\Phi_{\mathcal{A}}(X) = X$  and  $\Phi_{\mathcal{A}}^{\dagger}(X) = X$  when  $X \in \mathcal{B}(\mathcal{H})$  is a compact operator. Simultaneously, an example is given to show that  $\Phi_{\mathcal{A}}(X) = X$  does not necessarily imply  $\Phi_{\mathcal{A}}^{\dagger}(X) = X$  when  $X$  is not compact. Some necessary conditions of  $\Phi_{\mathcal{A}}(X) = X$  and  $\Phi_{\mathcal{A}}^{\dagger}(X) = X$  are obtained.

## 2 Main result

In order to obtain the main results, we begin with some lemmas.

**Lemma 1** ([8]) *Let  $\Phi$  be a normal completely positive map on  $\mathcal{B}(\mathcal{H})$  that is defined by*

$$\Phi(X) = \sum_{k \in J} A_k X A_k^*, \quad \forall X \in \mathcal{B}(\mathcal{H}).$$

*A positive operator  $C \in \mathcal{B}(\mathcal{H})$  is a solution of the inequality  $\Phi(X) \leq X$  (or  $\Phi(X) = X$ ) if and only if there exists an operator sequence  $\{B_k\}_{k \in J} \subset \mathcal{B}(\mathcal{H})$  such that  $\sum_{k=1} B_k B_k^* \leq I$  (or  $\sum_{k=1} B_k B_k^* = I$ ) and  $A_k C^{\frac{1}{2}} = C^{\frac{1}{2}} B_k$  for any  $k$ .*

Similar to Lemma 1, we give an equivalent condition of  $\Phi(X) \geq X$ .

**Lemma 2** *Let  $\Phi$  be a normal completely positive map on  $\mathcal{B}(\mathcal{H})$  that is defined by*

$$\Phi(X) = \sum_{k \in J} A_k X A_k^*, \quad \forall X \in \mathcal{B}(\mathcal{H}).$$

Then, an invertible and positive operator  $C \in \mathcal{B}(\mathcal{H})$  is a solution of the inequality  $\Phi(X) \geq X$  if and only if there exists an operator sequence  $\{B_k\}_{k \in J} \subset \mathcal{B}(\mathcal{H})$  such that  $\sum_{k \in J} B_k B_k^* \geq I$  and  $C^{\frac{1}{2}} B_k = A_k C^{\frac{1}{2}}$  for any  $k$ .

*Proof* Suppose that  $C$  is an invertible and positive operator and also a solution of the inequality  $\Phi(X) \geq X$ . Define the operator  $B_k$  by setting  $B_k = C^{-\frac{1}{2}} A_k C^{\frac{1}{2}}$  for any  $k$ . By direct computing, we have

$$\sum_{k \in J} B_k B_k^* = C^{-\frac{1}{2}} \sum_{k \in J} A_k A_k^* C^{-\frac{1}{2}} \leq C^{-1}.$$

That is to say, the operator series  $\sum_{k \in J} B_k B_k^*$  is convergent in strong operator topology. From the definition of  $B_k$ , it is easy to obtain that  $C^{\frac{1}{2}} B_k = A_k C^{\frac{1}{2}}$  and

$$\Phi(C) = \sum_{k \in J} A_k C A_k^* = C^{\frac{1}{2}} \sum_{k \in J} B_k B_k^* C^{\frac{1}{2}} \geq C.$$

Thus,  $C^{\frac{1}{2}} (\sum_{k \in J} B_k B_k^* - I) C^{\frac{1}{2}} \geq 0$  and so  $\sum_{k \in J} B_k B_k^* \geq I$ .

On the contrary, suppose that  $\{B_k\}_{k \in J} \subset \mathcal{B}(\mathcal{H})$  satisfies  $\sum_{k=1} B_k B_k^* \geq I$  and  $C^{\frac{1}{2}} B_k = A_k C^{\frac{1}{2}}$  for any  $k$ , then

$$\Phi(C) = \sum_{k \in J} A_k C A_k^* = C^{\frac{1}{2}} \sum_{k \in J} B_k B_k^* C^{\frac{1}{2}} \geq C.$$

The proof is completed.  $\square$

**Lemma 3** Let  $\dim \mathcal{H} < \infty$  and  $\mathcal{A} = \{A_k\}_{k \in J} \subset \mathcal{B}(\mathcal{H})$  be a row contraction. If  $\sum_{k \in J} A_k A_k^* = I$  and  $\sum_{k \in J} A_k^* A_k \leq I$ , then  $\sum_{k \in J} A_k^* A_k = I$ .

*Proof* Let  $\tau$  be a faithful tracial state on  $\mathcal{B}(\mathcal{H})$ . This shows that  $\tau(\sum_{k \in J} A_k A_k^*) = \tau(\sum_{k \in J} A_k^* A_k)$ . That is to say  $\tau(\sum_{k \in J} A_k A_k^* - \sum_{k \in J} A_k^* A_k) = 0$ . This implies that  $\sum_{k \in J} A_k^* A_k = \sum_{k \in J} A_k A_k^* = I$ .  $\square$

**Theorem 4** Let  $\Phi_{\mathcal{A}}(I) = I$  and  $\Phi_{\mathcal{A}}^{\dagger}(I) \leq I$ . If  $X \in \mathcal{B}(\mathcal{H})$  is a compact and self-adjoint operator that satisfies  $\Phi_{\mathcal{A}}(X) \leq X$  or  $\Phi_{\mathcal{A}}(X) \geq X$ , then  $\Phi_{\mathcal{A}}(X) = X$ ,  $\Phi_{\mathcal{A}}^{\dagger}(X) = X$  and  $X \in \mathcal{A}'$ .

*Proof* (1) Suppose that  $X \in \mathcal{B}(\mathcal{H})$  is a compact and self-adjoint operator with  $\Phi_{\mathcal{A}}(X) \leq X$ . Then,  $\Phi_{\mathcal{A}}(\alpha + X) \leq \alpha + X$  holds for any real number  $\alpha$  since  $\Phi_{\mathcal{A}}(I) = I$ . Without loss of generality, we may assume that  $X$  is an invertible and positive operator. According to the spectral theorem of compact normal operators, it is easy to show that the spectrum of  $X$  is at most countable and these spectral points can be arrayed as follows,  $\lambda_1 > \lambda_2 > \dots > \lambda_m$  ( $m$  is a positive integer or  $+\infty$ ) and the dimension of the spectral projection space associated with  $\lambda_i$  is finite. It follows that  $X = \sum_{i=1}^m \lambda_i P_i$ , where  $P_i$  is the spectral projection associated with  $\lambda_i$ . From Lemma 1, there exists an operator sequence  $\{B_k\}_{k \in J}$  with  $\sum_{k \in J} B_k B_k^* \leq I$  such that  $B_k X^{\frac{1}{2}} = X^{\frac{1}{2}} A_k$ . Denote  $\mathcal{H}_1 = R(P_1)$  and  $\mathcal{H}_2 = \mathcal{H} \ominus \mathcal{H}_1$ . Then,  $X = \lambda_1 I_{\mathcal{H}_1} \oplus X_1$ . It follows that  $X^{\frac{1}{2}} = \lambda_1^{\frac{1}{2}} I_{\mathcal{H}_1} \oplus X_1^{\frac{1}{2}}$ .  $A_k$  and  $B_k$  can be represented by

$$A_k = \begin{pmatrix} A_{11}^k & A_{12}^k \\ A_{21}^k & A_{22}^k \end{pmatrix} \quad \text{and} \quad B_k = \begin{pmatrix} B_{11}^k & B_{12}^k \\ B_{21}^k & B_{22}^k \end{pmatrix},$$

with respect to the space decomposition  $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2$ . Therefore,

$$\begin{pmatrix} \lambda_1^{\frac{1}{2}} B_{11}^k & B_{12}^k X_1^{\frac{1}{2}} \\ \lambda_1^{\frac{1}{2}} B_{21}^k & B_{22}^k X_1^{\frac{1}{2}} \end{pmatrix} = \begin{pmatrix} \lambda_1^{\frac{1}{2}} A_{11}^k & \lambda_1^{\frac{1}{2}} A_{12}^k \\ X_1^{\frac{1}{2}} A_{11}^k & X_1^{\frac{1}{2}} A_{22}^k \end{pmatrix}.$$

This implies that  $B_{11}^k = A_{11}^k$  and  $A_{12}^k = \frac{1}{\sqrt{\lambda_1}} B_{12}^k X_1^{\frac{1}{2}}$  hold. According to  $\sum_{k \in J} A_k A_k^* = I$  and  $\sum_{k \in J} B_k B_k^* \leq I$ , we have

$$\sum_{k \in J} A_{11}^k A_{11}^{k*} + \sum_{k \in J} A_{12}^k A_{12}^{k*} = \sum_{k \in J} A_{11}^k A_{11}^{k*} + \sum_{k \in J} \frac{1}{\lambda_1} B_{12}^k X_1 B_{12}^{k*} = I_{\mathcal{H}_1}$$

and

$$\sum_{k \in J} B_{11}^k B_{11}^{k*} + \sum_{k \in J} B_{12}^k B_{12}^{k*} \leq I_{\mathcal{H}_1}.$$

On the other hand,  $0 \leq \frac{1}{\lambda_1} X_1 \leq I_{\mathcal{H}_2}$ . Hence,  $\sum_{k \in J} B_{12}^k (I_{\mathcal{H}_2} - \frac{1}{\lambda_1} X_1) B_{12}^{k*} = 0$ , and then  $B_{12} = 0$ . Therefore,  $A_{12}^k = 0$  and  $\sum_{k \in J} A_{11}^k A_{11}^{k*} = I_{\mathcal{H}_1}$ . From  $\Phi_{\mathcal{A}}^+(I) \leq I$ , that is,  $\sum_{k \in J} A_k^* A_k \leq I_{\mathcal{H}}$  then  $\sum_{k \in J} A_{11}^k A_{11}^{k*} \leq I_{\mathcal{H}_1}$ . It follows from Lemma 3 that  $\sum_{k \in J} A_{11}^k A_{11}^{k*} = I_{\mathcal{H}_1}$  since  $\mathcal{H}_1$  is a finite-dimensional space. Thus,  $\sum_{k \in J} A_{21}^k A_{21}^{k*} = 0$  and then  $A_{21}^k = 0$ . This shows that  $A_k P_1 = P_1 A_k$ ,  $\Phi_{\mathcal{A}}(P_1) = P_1$ ,  $\Phi_{\mathcal{A}}^+(P_1) = P_1$  and  $\Phi_{\mathcal{A}}(X) = \lambda_1 \Phi_{\mathcal{A}}(P_1) \oplus \Phi_{\mathcal{A}}(X_1) \leq \lambda_1 P_1 \oplus X_1$ . Therefore,  $\Phi_{\mathcal{A}}(X_1) \leq X_1$ . By induction,  $X \in \mathcal{A}'$ ,  $\Phi_{\mathcal{A}}(X) = X$  and  $\Phi_{\mathcal{A}}^+(X) = X$ .

(2) If  $\Phi_{\mathcal{A}}(X) \geq X$ , the process is as above, the result holds by Lemma 2. The proof is completed.  $\square$

Similar to the proof of Theorem 4, we have the following result.

**Theorem 5** ([4]) *Let  $\Phi_{\mathcal{A}}(I) \leq I$  and  $\Phi_{\mathcal{A}}^+(I) \leq I$ . If  $X \in \mathcal{K}(\mathcal{H})$  satisfies  $\Phi_{\mathcal{A}}(X) \geq X \geq 0$ , then  $\Phi_{\mathcal{A}}(X) = X$  and  $X \in \mathcal{A}'$  hold.*

**Corollary 6** ([1]) *Let  $\dim \mathcal{H} < \infty$  and  $\mathcal{A} \subset \mathcal{B}(\mathcal{H})$  be a unital and trace-preserving row contraction. Then,  $\mathcal{B}(\mathcal{H})^{\Phi_{\mathcal{A}}} = \mathcal{A}'$ .*

*Proof* As  $\mathcal{A}$  is unital, it is natural that  $\mathcal{A}' \subset \mathcal{B}(\mathcal{H})^{\Phi_{\mathcal{A}}}$  holds. We need only to prove that  $\mathcal{B}(\mathcal{H})^{\Phi_{\mathcal{A}}} \subset \mathcal{A}'$ . For any  $X \in \mathcal{B}(\mathcal{H})^{\Phi_{\mathcal{A}}}$ , then  $X^* \in \mathcal{B}(\mathcal{H})^{\Phi_{\mathcal{A}}}$ . Hence, we can assume that  $X$  is self-adjoint. Denote  $\mathcal{H}_1 = P^X(0, \|X\|]$  and  $\mathcal{H}_2 = [-\|X\|, 0]$ . Then,  $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2$  and  $X$  has the representation  $X = X^+ \oplus (-X^-)$ , where  $X^+$  is invertible in  $\mathcal{B}(\mathcal{H}_1)$ . With respect to the space decomposition as above, the operator  $A_k$  can be expressed as  $A_k = (A_{ij}^k)_{2 \times 2}$  and then  $A_k^* = (A_{ji}^{k*})_{2 \times 2}$ . It follows that

$$A_k X A_k^* = \begin{pmatrix} A_{11}^k X^+ A_{11}^{k*} - A_{12}^k X^- A_{12}^{k*} & A_{11}^k X^+ A_{21}^{k*} - A_{12}^k X^- A_{22}^{k*} \\ A_{21}^k X^+ A_{11}^{k*} - A_{22}^k X^- A_{12}^{k*} & A_{21}^k X^+ A_{21}^{k*} - A_{22}^k X^- A_{22}^{k*} \end{pmatrix}.$$

From  $\Phi_{\mathcal{A}}(X) = X$ , we obtain

$$\begin{cases} \sum_{k \in J} A_{11}^k X^+ A_{11}^{k*} - \sum_{k \in J} A_{12}^k X^- A_{12}^{k*} = X^+, \\ \sum_{k \in J} A_{21}^k X^+ A_{21}^{k*} - \sum_{k \in J} A_{22}^k X^- A_{22}^{k*} = -X^-, \end{cases}$$

whereas,  $\sum_{k \in J} A_{12}^k X^- A_{12}^{k*} \geq 0$ , so  $\sum_{k \in J} A_{11}^k X^+ A_{11}^{k*} \geq X^+$ . Combining this with Theorem 5, we have  $X^+ \in \{A_{11}^k\}_{k \in J}'$  and  $\sum_{k \in J} A_{11}^k X^+ A_{11}^{k*} = X^+$ . Furthermore,  $\sum_{k \in J} A_{11}^k A_{11}^{k*} = I_{\mathcal{H}_1}$  holds. Moreover,  $\sum_{k \in J} A_k A_k^* = I_{\mathcal{H}}$  implies  $\sum_{k \in J} A_{12}^k A_{12}^{k*} = 0$  and hence  $A_{12}^k = 0$ . From Lemma 3 and  $\sum_{k \in J} A_k^* A_k = I_{\mathcal{H}}$ , we have  $A_{21}^k = 0$  for any  $k$ . Hence,  $\sum_{k \in J} A_{22}^k A_{22}^{k*} = I_{\mathcal{H}_2}$ . Combining  $\sum_{k \in J} A_{22}^k X^- A_{22}^{k*} \geq X^-$  with Theorem 4, it is easy to obtain  $X^- \in \{A_{22}^k\}_{k \in J}'$ , and then  $X \in \mathcal{A}'$ . The proof is completed.  $\square$

In Theorem 4, the result does not necessarily hold if  $X$  is not a compact operator.

**Example 7** Let  $\{e_1, e_2, \dots\}$  be a basis of an infinite Hilbert space  $\mathcal{H}$  and  $S$  be the unilateral operator on  $\mathcal{H}$ . Then,  $S e_i = e_{i+1}, \forall i \geq 1$ . Suppose that  $\mathcal{K} = \mathcal{H} \oplus \mathcal{H} \oplus \mathcal{H}$ . Define an operator  $A$  as follows,

$$A = \begin{pmatrix} S^* & 0 & 0 \\ \frac{1}{\sqrt{2}}(I - SS^*) & SS^* & \frac{1}{\sqrt{2}}(I - SS^*) \\ 0 & 0 & S^* \end{pmatrix}.$$

Then,

$$A^* = \begin{pmatrix} S & \frac{1}{\sqrt{2}}(I - SS^*) & 0 \\ 0 & SS^* & 0 \\ 0 & \frac{1}{\sqrt{2}}(I - SS^*) & S \end{pmatrix}.$$

By direct computing, it is easy to obtain that  $AA^* = I_{\mathcal{K}}$  and  $A^*A \leq I_{\mathcal{K}}$ . Assume that  $X \in \mathcal{B}(\mathcal{K})$  has the following matrix form,

$$X = \begin{pmatrix} I_{\mathcal{H}} & 0 & I_{\mathcal{H}} \\ 0 & \frac{3}{2}I_{\mathcal{H}} & 0 \\ 0 & 0 & I_{\mathcal{H}} \end{pmatrix}.$$

According to the matrix forms of  $A, A^*, X$ ,  $AXA^* = X$  holds, whereas,

$$AX = \begin{pmatrix} S^* & 0 & 0 \\ \frac{1}{\sqrt{2}}(I - SS^*) & \frac{3}{2}SS^* & \sqrt{2}(I - SS^*) \\ 0 & 0 & S^* \end{pmatrix},$$

$$XA = \begin{pmatrix} S^* & 0 & S^* \\ \frac{3}{\sqrt{2}}(I - SS^*) & \frac{3}{2}SS^* & \frac{3}{\sqrt{2}}(I - SS^*) \\ 0 & 0 & S^* \end{pmatrix}.$$

These show that  $AX \neq XA$  and  $A^*XA \neq X$ .

**Proposition 8** Let  $\Phi_{\mathcal{A}}(I) \leq I$  and  $\Phi_{\mathcal{A}}^{\dagger}(I) \leq I$ . Suppose that  $X$  is a positive operator with only at most a countable set of distinct eigenvalues  $\{\lambda_i\}$  such that  $X = \sum_i \lambda_i P_i$ , where  $P_i P_j = P_j P_i = 0$  and  $\lambda_i$  is strictly decreasing. If  $\Phi_{\mathcal{A}}(X) \geq X$  and  $\Phi_{\mathcal{A}}^{\dagger}(X) \geq X$ , then  $X \in \mathcal{A}'$  and  $\Phi_{\mathcal{A}}(X) = \Phi_{\mathcal{A}}^{\dagger}(X) = X$ .

*Proof* Suppose that  $X$  is a positive operator with  $X = \sum_i \lambda_i P_i$  and  $\lambda_i$  is strictly decreasing. Denote  $\mathcal{H}_1 = P^X\{\lambda_1\}\mathcal{H}$  and  $\mathcal{H}_2 = \mathcal{H} \ominus \mathcal{H}_1$ . Then,  $X = \lambda_1 I_{\mathcal{H}_1} \oplus X_1$ .  $A_k$  and  $A_k^*$  have the following matrix forms,

$$A_k = \begin{pmatrix} A_{11}^k & A_{12}^k \\ A_{21}^k & A_{22}^k \end{pmatrix} \quad \text{and} \quad A_k^* = \begin{pmatrix} A_{11}^{k*} & A_{21}^{k*} \\ A_{12}^{k*} & A_{22}^{k*} \end{pmatrix}.$$

Therefore,

$$A_k X A_k^* = \begin{pmatrix} \lambda_1 A_{11}^k A_{11}^{k*} + A_{12}^k X_1 A_{12}^{k*} & \lambda_1 A_{11}^k A_{21}^{k*} + A_{12}^k X_1 A_{22}^{k*} \\ \lambda_1 A_{21}^k A_{11}^{k*} + A_{22}^k X_1 A_{12}^{k*} & \lambda_1 A_{21}^k A_{21}^{k*} + A_{22}^k X_1 A_{22}^{k*} \end{pmatrix}.$$

From  $\sum_{k \in J} A_k X A_k^* \geq X$ , we have

$$\lambda_1 I_{\mathcal{H}_1} \leq \sum_{k \in J} \lambda_1 A_{11}^k A_{11}^{k*} + \sum_{k \in J} A_{12}^k X_1 A_{12}^{k*} \leq \lambda_1 \left( \sum_{k \in J} A_{11}^k A_{11}^{k*} + \sum_{k \in J} A_{12}^k A_{12}^{k*} \right) \leq \lambda_1 I_{\mathcal{H}_1}.$$

If  $X_1 = 0$ , then  $\sum_{k \in J} A_{11}^k A_{11}^{k*} = I_{\mathcal{H}_1}$ . It follows that  $\sum_{k \in J} A_{12}^k A_{12}^{k*} = 0$ , hence  $A_{12}^k = 0$ . If  $X_1 \neq 0$ , then  $X_1 < \lambda_1 I_{\mathcal{H}_2}$ , which means  $\lambda_1 I_{\mathcal{H}_2} - X_1$  is a positive and invertible operator. Therefore,  $\sum_{k \in J} A_{12}^k A_{12}^{k*} = 0$  and so  $A_{12}^k = 0$ . On the other hand, from  $\Phi_{\mathcal{A}}^{\dagger}(X) \geq X$ , we can obtain  $A_{21}^k = 0$ . That is,  $A_k P_1 = P_1 A_k$ ,  $\Phi_{\mathcal{A}}(P_1) = P_1$  and  $\Phi_{\mathcal{A}}^{\dagger}(P_1) = P_1$ . Meanwhile,  $\Phi_{\mathcal{A}}(0 \oplus X_1) \geq 0 \oplus X_1$ ,  $\Phi_{\mathcal{A}}^{\dagger}(0 \oplus X_1) \geq 0 \oplus X_1$ . Continuing the above process, the result holds. The proof is completed.  $\square$

**Theorem 9** Let  $\Phi_{\mathcal{A}}(I) \leq I$  and  $\Phi_{\mathcal{A}}^{\dagger}(I) \leq I$ . Suppose that  $X$  is a self-adjoint operator with only at most a countable set of distinct eigenvalues  $\{\lambda_i\}$  and  $|\lambda_i|$  can be arranged in decreasing order, where  $|\lambda_i|$  means the absolute value of  $\lambda_i$ . If  $\Phi_{\mathcal{A}}(X) = X$  and  $\Phi_{\mathcal{A}}^{\dagger}(X) = X$ , then  $X \in \mathcal{A}'$ .

*Proof* Let  $\mathcal{H}_1 = P^X[-\|X\|, 0)$ ,  $\mathcal{H}_2 = P^X\{0\}$  and  $\mathcal{H}_3 = P^X(0, \|X\|]$ , where  $P^X(\cdot)$  is the spectral measure of  $X$ . Then,  $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2 \oplus \mathcal{H}_3$ .  $X$  has the matrix form  $X = X_1 \oplus 0 \oplus (-X_3)$ , where  $X_1$  and  $X_3$  are injective and have dense ranges. Denote  $A_k = (A_{ij}^k)_{3 \times 3}$ , then  $A_k^* = (A_{ji}^{k*})_{3 \times 3}$ . By direct computing, we have

$$A_k X A_k^* = \begin{pmatrix} A_{11}^k X_1 A_{11}^{k*} - A_{13}^k X_3 A_{13}^{k*} & A_{11}^k X_1 A_{21}^{k*} - A_{13}^k X_3 A_{23}^{k*} & A_{11}^k X_1 A_{31}^{k*} - A_{13}^k X_3 A_{33}^{k*} \\ A_{21}^k X_1 A_{11}^{k*} - A_{23}^k X_3 A_{13}^{k*} & A_{21}^k X_1 A_{21}^{k*} - A_{23}^k X_3 A_{23}^{k*} & A_{21}^k X_1 A_{31}^{k*} - A_{23}^k X_3 A_{33}^{k*} \\ A_{31}^k X_1 A_{11}^{k*} - A_{33}^k X_3 A_{13}^{k*} & A_{31}^k X_1 A_{21}^{k*} - A_{33}^k X_3 A_{23}^{k*} & A_{31}^k X_1 A_{31}^{k*} - A_{33}^k X_3 A_{33}^{k*} \end{pmatrix}.$$

From  $\Phi_{\mathcal{A}}(X) = X$ , it is easy to see that

$$\sum_{k \in J} A_{11}^k X_1 A_{11}^{k*} - \sum_{k \in J} A_{13}^k X_3 A_{13}^{k*} = X_1, \quad (1)$$

$$\sum_{k \in J} A_{31}^k X_1 A_{31}^{k*} - \sum_{k \in J} A_{33}^k X_3 A_{33}^{k*} = -X_3, \quad (2)$$

whereas,

$$A_k^* X A_k = \begin{pmatrix} A_{11}^{k*} X_1 A_{11}^k - A_{31}^{k*} X_3 A_{31}^k & A_{11}^{k*} X_1 A_{12}^k - A_{31}^{k*} X_3 A_{32}^k & A_{11}^{k*} X_1 A_{13}^k - A_{31}^{k*} X_3 A_{33}^k \\ A_{12}^{k*} X_1 A_{11}^k - A_{32}^{k*} X_3 A_{31}^k & A_{12}^{k*} X_1 A_{12}^k - A_{32}^{k*} X_3 A_{32}^k & A_{12}^{k*} X_1 A_{13}^k - A_{32}^{k*} X_3 A_{33}^k \\ A_{13}^{k*} X_1 A_{11}^k - A_{33}^{k*} X_3 A_{31}^k & A_{13}^{k*} X_1 A_{12}^k - A_{33}^{k*} X_3 A_{32}^k & A_{13}^{k*} X_1 A_{13}^k - A_{33}^{k*} X_3 A_{33}^k \end{pmatrix}.$$

From  $\Phi_{\mathcal{A}}^{\dagger}(X) = X$ , we can obtain

$$\sum_{k \in J} A_{11}^k {}^* X_1 A_{11}^k - \sum_{k \in J} A_{31}^k {}^* X_3 A_{31}^k = X_1, \quad (3)$$

$$\sum_{k \in J} A_{13}^k {}^* X_1 A_{13}^k - \sum_{k \in J} A_{33}^k {}^* X_3 A_{33}^k = -X_3. \quad (4)$$

As  $\sum_{k \in J} A_{13}^k X_3 A_{13}^k {}^* \geq 0$  and  $\sum_{k \in J} A_{31}^k X_1 A_{31}^k {}^* \geq 0$ , combining Eq. (1) with Eq. (2), we have

$$\sum_{k \in J} A_{11}^k X_1 A_{11}^k {}^* \geq X_1, \quad (5)$$

$$\sum_{k \in J} A_{33}^k {}^* X_3 A_{33}^k \geq X_3. \quad (6)$$

Similarly, combining  $\sum_{k \in J} A_{13}^k {}^* X_1 A_{13}^k \geq 0$ ,  $\sum_{k \in J} A_{31}^k {}^* X_3 A_{31}^k \geq 0$  with Eqs. (3) and (4), the following equations hold,

$$\sum_{k \in J} A_{11}^k {}^* X_1 A_{11}^k \geq X_1, \quad (7)$$

$$\sum_{k \in J} A_{33}^k {}^* X_3 A_{33}^k \geq X_3. \quad (8)$$

It follows from Proposition 8, Eqs. (5), (7), (6), and (8) that

$$X_1 \in \{A_{11}^k, A_{11}^k {}^*\}' \quad \text{and} \quad X_3 \in \{A_{33}^k, A_{33}^k {}^*\}', \quad (9)$$

and

$$\sum_{k \in J} A_{11}^k X_1 A_{11}^k {}^* = X_1, \quad \sum_{k \in J} A_{33}^k X_3 A_{33}^k {}^* = X_3.$$

Hence,  $\sum_{k \in J} A_{13}^k X_3 A_{13}^k {}^* = 0$ . As  $X_3$  is positive, injective, and also has dense range, hence  $A_{13}^k = 0$ . Similarly,  $A_{31}^k = 0$ . The operator  $X_1$  is also a positive and injective operator with dense range,  $\sum_{k \in J} A_{11}^k A_{11}^k {}^* = I_{\mathcal{H}_1}$  from Eq. (5). According to  $\sum_{k \in J} A_k A_k {}^* \leq I_{\mathcal{H}}$ , then  $\sum_{k \in J} A_{12}^k A_{12}^k {}^* = 0$ , and so  $A_{12}^k = 0$  for any  $k$ . Similarly,  $A_{21}^k = 0$ . This shows that  $A_k = A_{11}^k \oplus A_{22}^k \oplus A_{33}^k$ . Combining Eq. (9) and the matrix forms of  $X$  and  $A_k$ , we have  $A_k X = X A_k$  for any  $k$ . The proof is completed.  $\square$

If  $X$  has only two spectral points, we have the following result.

**Theorem 10** *Let  $\mathcal{A}$  be a unital operator sequence and  $X$  be a self-adjoint operator with only two spectral points. If  $\Phi_{\mathcal{A}}(X) = X$ , then  $X \in \mathcal{A}'$ .*

*Proof* Let  $\lambda_1, \lambda_2$  be the two spectral points of  $X$ . Without loss of generality, suppose that  $\lambda_1 > \lambda_2 > 0$  since  $\Phi_{\mathcal{A}}(I) = I$ . Denote  $\mathcal{H}_1 = P^X\{\lambda_1\}\mathcal{H}$  and  $\mathcal{H}_2 = P^X\{\lambda_2\}\mathcal{H}$ , then  $\mathcal{H}_1 \oplus \mathcal{H}_2 = \mathcal{H}$ . Hence,  $X = \lambda_1 I_{\mathcal{H}_1} \oplus \lambda_2 I_{\mathcal{H}_2}$ . Assume that  $A_k$  has the matrix form  $A_k = (A_{ij}^k)_{2 \times 2}$  with respect

to the space decomposition  $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2$ . From  $\Phi_{\mathcal{A}}(X) = X$ , we have

$$\begin{aligned} & \sum_{k \in J} A_k X A_k^* \\ &= \begin{pmatrix} \lambda_1 \sum_{k \in J} A_{11}^k A_{11}^{k*} + \lambda_2 \sum_{k \in J} A_{12}^k A_{12}^{k*} & \lambda_1 \sum_{k \in J} A_{11}^k A_{21}^{k*} + \lambda_2 \sum_{k \in J} A_{12}^k A_{22}^{k*} \\ \lambda_1 \sum_{k \in J} A_{21}^k A_{11}^{k*} + \lambda_2 \sum_{k \in J} A_{22}^k A_{12}^{k*} & \lambda_1 \sum_{k \in J} A_{21}^k A_{21}^{k*} + \lambda_2 \sum_{k \in J} A_{22}^k A_{22}^{k*} \end{pmatrix} \\ &= \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix}. \end{aligned}$$

This shows that  $\lambda_1 \sum_{k \in J} A_{11}^k A_{11}^{k*} + \lambda_2 \sum_{k \in J} A_{12}^k A_{12}^{k*} = \lambda_1 I_{\mathcal{H}_1}$ . That is,

$$\sum_{k \in J} A_{11}^k A_{11}^{k*} + \frac{\lambda_2}{\lambda_1} \sum_{k \in J} A_{12}^k A_{12}^{k*} = I_{\mathcal{H}_1}.$$

As  $\mathcal{A}$  is unital, it is easy to obtain that

$$\sum_{k \in J} A_{11}^k A_{11}^{k*} + \sum_{k \in J} A_{12}^k A_{12}^{k*} = I_{\mathcal{H}_1}.$$

Hence,  $\sum_{k \in J} A_{12}^k A_{12}^{k*} = 0$  and then  $A_{12}^k = 0$ . Similarly, according to

$$\lambda_1 \sum_{k \in J} A_{21}^k A_{21}^{k*} + \lambda_2 \sum_{k \in J} A_{22}^k A_{22}^{k*} = \lambda_2 I_{\mathcal{H}_2}$$

and

$$\sum_{k \in J} A_{21}^k A_{21}^{k*} + \sum_{k \in J} A_{22}^k A_{22}^{k*} = I_{\mathcal{H}_2},$$

we have  $A_{21}^k = 0$ . Hence  $X \in \mathcal{A}'$ . The proof is completed.  $\square$

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#### Availability of data and materials

Data sharing is not applicable to this article as no datasets were generated or analyzed during the current study.

#### Declarations

##### Competing interests

The authors declare no competing interests.

##### Author contributions

Haiyan Zhang and Yanni Dou wrote the main manuscript text and all authors reviewed the manuscript.

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