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# On local spectral properties of operator matrices

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## Abstract

In this paper, we focus on a  $2 \times 2$  operator matrix  $T_{\epsilon_k}$  as follows:

$$T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k D & B \end{pmatrix},$$

where  $\epsilon_k$  is a positive sequence such that  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ . We first explore how  $T_{\epsilon_k}$  has several local spectral properties such as the single-valued extension property, the property  $(\beta)$ , and decomposable. We next study the relationship between some spectra of  $T_{\epsilon_k}$  and spectra of its diagonal entries, and find some hypotheses by which  $T_{\epsilon_k}$  satisfies Weyl's theorem and  $a$ -Weyl's theorem. Finally, we give some conditions that such an operator matrix  $T_{\epsilon_k}$  has a nontrivial hyperinvariant subspace.

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## 1 Introduction

Let  $\mathcal{L}(\mathcal{H})$  denote the algebra of bounded linear operators on a separable Hilbert space  $\mathcal{H}$ . Let  $\{T'\}$ , the *commutant* of  $T$ , be the collection of all bounded linear operators such that commute with  $T$ . A subspace  $\mathcal{G} \subset \mathcal{H}$  is *invariant* for  $T \in \mathcal{L}(\mathcal{H})$  if an inclusion  $T\mathcal{G} \subset \mathcal{G}$  holds, and is *hyperinvariant* for  $T$  if the inclusion  $S\mathcal{G} \subset \mathcal{G}$  holds for all  $S \in \{T'\}$ . The *hyperinvariant subspace problem* is asking whether *every operator on a separable complex Hilbert space has a nontrivial hyperinvariant subspace*. It has been known that this is one of unresolved problems in operator theory and it has attracted a lot of interest by many authors.

For the study of this problem, in 2011, H. J. Kim [8] proved that, if  $T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix} \in \mathcal{L}(\mathcal{H} \oplus \mathcal{H})$  where  $T_1, T_2$ , and  $T_3$  are arbitrary operators in  $\mathcal{L}(\mathcal{H})$  such that  $T_1$  is either a compact operator with  $T_1 \neq 0$  or a normal operator with  $T_1 \neq \lambda I$ , then at least one of  $T$  and  $\widehat{T}$ , has a nontrivial hyperinvariant subspace where  $\widehat{T} = \begin{pmatrix} T_3 & T_4 \\ 0 & T_1 \end{pmatrix}$  for an arbitrary operator  $T_4 \in \mathcal{L}(\mathcal{H})$ . In 2018, I. B. Jung, E. Ko, and C. Pearcy [7] showed if  $T_1$  and  $T_3$  are operators in  $\mathcal{L}(\mathcal{H})$  such that either  $T_1$  or  $T_3$  has a nontrivial hyperinvariant subspace, then  $T$  and  $\widehat{T}$  have a nontrivial hyperinvariant subspace where  $T_2$  and  $T_4$  are any operators in

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$\mathcal{L}(\mathcal{H})$ . As mentioned in the above results, in the case of a  $2 \times 2$  upper triangular operator matrix, there are some known results, but in the case of a full  $2 \times 2$  operator matrix, it is very difficult to solve the invariant subspace problem. So, we focus on the matrix  $T_{\epsilon_k}$  as a variation of the  $2 \times 2$  upper triangular operator matrix and we study some conditions so that a  $2 \times 2$  operator matrix  $T_{\epsilon_k}$  has a nontrivial hyperinvariant subspace.

We now provide a simple outline of the paper. We first study the local spectral theory of operator matrices (cf. [3] and [9]). In particular, we consider the case when the  $(2, 1)$ -entry of a  $2 \times 2$  operator matrix approaches zero. In addition, we give the relationship between some spectra of  $2 \times 2$  operator matrices and spectra of their diagonal entries, and find some hypotheses by which such operator matrices  $T_{\epsilon_k}$  entail Weyl's theorem and  $a$ -Weyl's theorem.

### 2 Preliminaries

We briefly review some notions of local spectral properties, which are used in this paper. We refer to [10] for more detailed information.

The operator  $T \in \mathcal{L}(\mathcal{H})$  has the *single-valued extension property* if  $f(\lambda) \equiv 0$  is the unique solution to  $(T - \lambda)f(\lambda) \equiv 0$  on  $D$  for every open subset  $D$  of  $\mathbb{C}$  and any  $\mathcal{H}$ -valued analytic function  $f$  on  $D$ . The *local resolvent set*  $\rho_T(x)$  of  $T \in \mathcal{L}(\mathcal{H})$  at  $x \in \mathcal{H}$  is the union of all open subset  $D$  of  $\mathbb{C}$  such that there is an analytic function  $f : D \rightarrow \mathcal{H}$  such that  $(T - \lambda)f(\lambda) \equiv x$  on  $D$ . The set  $\sigma_T(x) = \mathbb{C} \setminus \rho_T(x)$  is the *local spectrum* of  $T$  at  $x$ . The *local spectral subspace* of an operator  $T \in \mathcal{L}(\mathcal{H})$  is given by  $H_T(F) = \{x \in \mathcal{H} : \sigma_T(x) \subset F\}$  for any  $F \subset \mathbb{C}$ . We say that  $T \in \mathcal{L}(\mathcal{H})$  has *Bishop's property*  $(\beta)$  if every sequence  $\{f_n\}$  of  $\mathcal{H}$ -valued analytic functions on  $D$  for every open subset  $D$  of  $\mathbb{C}$  such that  $(T - \lambda)f_n(\lambda)$  converges uniformly to 0 in norm on compact subsets of  $D$ , it follows that  $f_n(\lambda)$  converges uniformly to 0 in norm on compact subsets of  $G$ . Notice that, if  $T$  has Bishop's property  $(\beta)$ , then it has the single-valued extension property. The operator  $T \in \mathcal{L}(\mathcal{H})$  is *decomposable* if for every open cover  $\{U, V\}$  of  $\mathbb{C}$  there are  $T$ -invariant subspaces  $\mathcal{M}$  and  $\mathcal{N}$  such that

$$\mathcal{H} = \mathcal{M} + \mathcal{N}, \quad \sigma(T|_{\mathcal{M}}) \subset \bar{U} \quad \text{and} \quad \sigma(T|_{\mathcal{N}}) \subset \bar{V}.$$

In general, it is known that  $T$  is decomposable if and only if  $T$  and its adjoint  $T^*$  possess property  $(\beta)$  [1, 10].

Now, we introduce some Weyl type theorems related to definitions of various spectra (see [12] for more details). For these, we first take a look at some notions needed in this paper. If  $T \in \mathcal{L}(\mathcal{H})$ , we shall write  $\ker(T)$  (or  $N(T)$ ) and  $\text{ran}(T)$  (or  $R(T)$ ) for the null space and the range of  $T$ , respectively. We know that the family  $\{\ker(T^k)\}$  forms an ascending sequence of subspaces for  $T \in \mathcal{L}(\mathcal{H})$  and  $k \in \mathbb{N}$ . So we call the *ascent* of  $T$  for the smallest nonnegative integer  $k$  for which  $\ker(T^k) = \ker(T^{k+1})$  holds. We also see that the family  $\{\text{ran}(T^k)\}$  forms a descending sequence for  $k \in \mathbb{N}$ , and then the smallest nonnegative integer  $k$  for which  $\text{ran}(T^k) = \text{ran}(T^{k+1})$  is said the *descent* of  $T$ . An operator  $T \in \mathcal{L}(\mathcal{H})$  is called *upper semi-Fredholm* (resp., *lower semi-Fredholm*) if it has both finite dimensional kernel and closed range (resp., it has both finite dimensional co-kernel and closed range). Either upper or lower semi-Fredholm operator  $T \in \mathcal{L}(\mathcal{H})$  is called *semi-Fredholm*, and its *index* is given by  $\text{ind}(T) := \dim \ker(T) - \dim \ker(T^*)$ . When both  $\dim \ker(T)$  and  $\dim \ker(T^*)$  are finite, then  $T$  is called *Fredholm*. If  $T \in \mathcal{L}(\mathcal{H})$  is a Fredholm operator satisfying  $\text{ind}(T) = 0$ , then it is called *Weyl*, and if  $T$  is a Fredholm operator with finite descent and ascent, then it is called *Browder*.

If  $T \in \mathcal{L}(\mathcal{H})$ , we shall write  $\sigma_p(T), \sigma_s(T), \sigma_a(T), \sigma(T), \sigma_e(T), \sigma_{le}(T)$ , and  $\sigma_{re}(T)$  the point spectrum, the surjective spectrum, the approximate point spectrum, the spectrum, the essential spectrum, the left essential spectrum, and the right essential spectrum the left essential spectrum of  $T$ , respectively. The Weyl spectrum  $\sigma_w(T) := \{\mu \in \mathbb{C} : T - \mu I \text{ is not Weyl}\}$  and the Browder spectrum  $\sigma_b(T) := \{\mu \in \mathbb{C} : T - \mu I \text{ is not Browder}\}$ , where  $I$  is an identity operator on  $\mathcal{H}$ . We write  $\mathcal{K}(\mathcal{H})$  for the set of all compact operators on  $\mathcal{H}$  and review another spectra as follows: the Weyl essential approximate point spectrum  $\sigma_{ea}(T) := \{\mu \in \mathbb{C} : T + C - \mu I \text{ is not bounded below for all } C \in \mathcal{K}(\mathcal{H})\}$  and the Browder essential approximate point spectrum  $\sigma_{ab}(T) := \{\mu \in \mathbb{C} : T + C - \mu I \text{ is not bounded below for all } C \in \mathcal{K}(\mathcal{H}) \text{ and } TC = CT\}$ . Evidently, we get the inclusions

$$\sigma_e(T) \subseteq \sigma_w(T) \subseteq \sigma_b(T) \quad \text{and} \quad \sigma_{ea}(T) \subseteq \sigma_{ab}(T).$$

Let  $\text{iso}K$  be the collection of all isolated points of a complex subset  $K$ . We write  $\pi_{00}(T) := \{\lambda \in \text{iso} \sigma(T) : 0 < \dim \ker(T - \lambda) < \infty\}$ . And we denote  $p_{00}(T) := \sigma(T) \setminus \sigma_b(T)$  which is the collection of Riesz points of  $T$ . We say that Weyl's theorem is obeyed for  $T$  provided  $\sigma(T) \setminus \sigma_w(T) = \pi_{00}(T)$ , and that Browder's theorem is obeyed for  $T$  provided  $\sigma(T) \setminus \sigma_b(T) = p_{00}(T)$ , equivalently, if  $\sigma_w(T) = \sigma_b(T)$ . We say that  $a$ -Weyl's theorem is obeyed for  $T$  provided  $\sigma_a(T) \setminus \sigma_{ea}(T) = \pi_{00}^a(T)$  and that  $a$ -Browder's theorem is obeyed for  $T$  provided  $\sigma_a(T) \setminus \sigma_{ab}(T) = p_{00}^a(T)$ , where  $\pi_{00}^a(T) := \{\lambda \in \text{iso} \sigma_a(T) : 0 < \dim \ker(T - \lambda) < \infty\}$  and  $p_{00}^a(T) := \sigma_a(T) \setminus \sigma_{ab}(T)$ . Then it is well known that

$$\begin{array}{ccc} a\text{-Weyl's theorem} & \implies & a\text{-Browder's theorem} \\ \downarrow & & \downarrow \\ \text{Weyl's theorem} & \implies & \text{Browder's theorem} \end{array}$$

### 3 Main results

In this section, we study  $2 \times 2$  operator matrices. In particular, we consider the case when their  $(2, 1)$ -entry approaches zero. We begin our program with the following theorem.

**Theorem 3.1** *Let  $T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k D & B \end{pmatrix}$  where  $A, B, C, D \in \mathcal{L}(\mathcal{H})$  and  $\{\epsilon_k\}$  is a positive sequence such that  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ . Then the following statements hold.*

- (i) *If both  $A$  and  $B$  have the single-valued extension property, then  $T_{\epsilon_k}$  has the single-valued extension property.*
- (ii) *If  $T_{\epsilon_k}$  has the single-valued extension property,  $BC = CB$ , and  $C$  is nilpotent of order  $m$ , then  $B$  has the single-valued extension property.*

*Proof* (i) Suppose that  $A$  and  $B$  have the single-valued extension property. Let  $G$  be an open set in  $\mathbb{C}$  and let  $f : G \rightarrow \mathcal{H} \oplus \mathcal{H}$  be an analytic function with  $f = f_1 \oplus f_2$  such that

$$(T_{\epsilon_k} - \lambda) \begin{pmatrix} f_1(\lambda) \\ f_2(\lambda) \end{pmatrix} = 0. \tag{1}$$

Then

$$\begin{pmatrix} A - \lambda & C \\ \epsilon_k D & B - \lambda \end{pmatrix} \begin{pmatrix} f_1(\lambda) \\ f_2(\lambda) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}.$$

Therefore, we get

$$\begin{cases} (A - \lambda)f_1(\lambda) + Cf_2(\lambda) = 0, \\ \epsilon_k Df_1(\lambda) + (B - \lambda)f_2(\lambda) = 0. \end{cases} \tag{2}$$

Since  $\|(B - \lambda)f_2(\lambda)\| \leq \|\epsilon_k Df_1(\lambda) + (B - \lambda)f_2(\lambda)\| + \epsilon_k \|Df_1(\lambda)\| = \epsilon_k \|Df_1(\lambda)\|$  and  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ ,  $(B - \lambda)f_2(\lambda) = 0$ . Moreover, since  $B$  has the single-valued extension property,  $f_2(\lambda) = 0$ . From (2), we have

$$(A - \lambda)f_1(\lambda) = 0.$$

Since  $A$  has the single-valued extension property,  $f_1(\lambda) = 0$ . Hence  $T_{\epsilon_k}$  has the single-valued extension property.

(ii) Let  $T_{\epsilon_k}$  have the single-valued extension property and  $(B - \lambda)f_2(\lambda) = 0$  where  $f_2$  is an analytic function. Then

$$(T_{\epsilon_k} - \lambda) \begin{pmatrix} 0 \\ C^{m-1}f_2(\lambda) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}. \tag{3}$$

Since  $T_{\epsilon_k}$  has the single-valued extension property, it follows from (3) that  $C^{m-1}f_2(\lambda) = 0$ . Thus

$$(T_{\epsilon_k} - \lambda) \begin{pmatrix} 0 \\ C^{m-2}f_2(\lambda) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}. \tag{4}$$

Since  $T_{\epsilon_k}$  has the single-valued extension property,  $C^{m-2}f_2(\lambda) = 0$ . By induction, we have  $f_2(\lambda) = 0$ . Hence  $B$  has the single-valued extension property.  $\square$

**Corollary 3.2** Let  $T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k D & B \end{pmatrix}$  where  $A, B, C, D \in \mathcal{L}(\mathcal{H})$  and  $\{\epsilon_k\}$  is a positive sequence such that  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ . If  $A$  and  $B$  have the single-valued extension property, then the following inclusions hold.

- (i)  $\sigma_B(x_2) \subset \sigma_{T_{\epsilon_k}}(x_1 \oplus x_2)$  for all  $x_1, x_2 \in \mathcal{H}$  and  $H_{T_{\epsilon_k}}(F) \subset \mathcal{H} \oplus H_B(F)$  for any subset  $F$  of  $\mathbb{C}$ .
- (ii)  $\sigma_A(x_1) \subset \sigma_{T_{\epsilon_k}}(x_1 \oplus 0)$  for all  $x_1 \in \mathcal{H}$ .

*Proof* (i) We know that  $T_{\epsilon_k}$  has the single-valued extension property from Theorem 3.1. Let  $\lambda_0 \notin \sigma_{T_{\epsilon_k}}(x_1 \oplus x_2)$  for all  $x_1, x_2 \in \mathcal{H}$ . Then there exists a neighborhood  $\mathcal{D}$  of  $\lambda_0$  and an analytic function  $f = f_1 \oplus f_2 : \mathcal{D} \rightarrow \mathcal{H} \oplus \mathcal{H}$  such that  $(T_{\epsilon_k} - \lambda)f(\lambda) = x_1 \oplus x_2$  for every  $\lambda \in \mathcal{D}$ . Then we have

$$\begin{cases} (A - \lambda)f_1(\lambda) + Cf_2(\lambda) = x_1, \\ \epsilon_k Df_1(\lambda) + (B - \lambda)f_2(\lambda) = x_2. \end{cases}$$

Letting  $\epsilon_k \rightarrow 0$ ,  $(B - \lambda)f_2(\lambda) = x_2$ . Hence  $\lambda_0 \notin \sigma_B(x_2)$  for all  $x_2 \in \mathcal{H}$ .

On the other hand, if  $x_1 \oplus x_2 \in H_{T_{\epsilon_k}}(F)$ , then  $\sigma_{T_{\epsilon_k}}(x_1 \oplus x_2) \subset F$ . Since

$$\sigma_B(x_2) \subset \sigma_{T_{\epsilon_k}}(x_1 \oplus x_2) \subset F,$$

it follows from (i) that  $x_2 \in H_B(F)$ . Thus  $x_1 \oplus x_2 \in \mathcal{H} \oplus H_B(F)$ . Hence

$$H_{T_{\epsilon_k}}(F) \subset \mathcal{H} \oplus H_B(F)$$

for any subset  $F$  of  $\mathbb{C}$ .

(ii) Let  $\lambda_0 \in \rho_{T_{\epsilon_k}}(x_1 \oplus 0)$  for all  $x_1 \in \mathcal{H}$ . Then there exists a neighborhood  $\mathcal{G}$  of  $\lambda_0$  and an analytic function  $f_1 \oplus f_2 : \mathcal{G} \rightarrow \mathcal{H} \oplus \mathcal{H}$  such that  $(T_{\epsilon_k} - \lambda) \begin{pmatrix} f_1(\lambda) \\ f_2(\lambda) \end{pmatrix} = \begin{pmatrix} x_1 \\ 0 \end{pmatrix}$  for every  $\lambda \in \mathcal{G}$ . Thus this implies that

$$\begin{cases} (A - \lambda)f_1(\lambda) + Cf_2(\lambda) = x_1, \\ \epsilon_k Df_1(\lambda) + (B - \lambda)f_2(\lambda) = 0. \end{cases}$$

Letting  $\epsilon_k \rightarrow 0$ , we get  $(B - \lambda)f_2(\lambda) = 0$ . Since  $B$  has the single-valued extension property,  $f_2(\lambda) = 0$  for every  $\lambda \in \mathcal{G}$ . Thus  $(A - \lambda)f_1(\lambda) = x_1$ , and hence  $\lambda_0 \in \rho_A(x_1)$ . Therefore  $\sigma_A(x_1) \subset \sigma_{T_{\epsilon_k}}(x_1 \oplus 0)$  for all  $x_1 \in \mathcal{H}$ . □

*Example 3.3* In Corollary 3.2, if both  $A$  and  $B$  are substituted with the unilateral shift  $U$  on  $\ell^2(\mathbb{N})$ , then  $T_{\epsilon_k}$  has the single-valued extension property on  $\ell^2(\mathbb{N}) \oplus \ell^2(\mathbb{N})$ . Furthermore, we get the following inclusions:

$$\sigma_U(x_1) \subset \sigma_{T_{\epsilon_k}}(x_1 \oplus 0) \quad \text{and} \quad \sigma_U(x_2) \subset \sigma_{T_{\epsilon_k}}(x_1 \oplus x_2)$$

for all  $x_1, x_2 \in \ell^2(\mathbb{N})$ .

We next investigate some relations among the spectra, the point spectra and the approximate point spectra of  $A, B$  and  $T_{\epsilon_k}$ , respectively.

**Theorem 3.4** Let  $T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k D & B \end{pmatrix}$  where  $A, B, C, D \in \mathcal{L}(\mathcal{H})$  and  $\{\epsilon_k\}$  is a positive sequence such that  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ .

(i) If both  $A$  and  $B$  have the single-valued extension property, then

$$\sigma(A) \cup \sigma(B) = \sigma(T_{\epsilon_k}) \quad \text{and} \quad \sigma_p(A) \cup \sigma_p(B) = \sigma_p(T_{\epsilon_k}).$$

(ii) If both  $A^*$  and  $B^*$  have the single-valued extension property, then

$$\sigma_a(A) \cup \sigma_a(B) = \sigma_a(T_{\epsilon_k}).$$

*Proof* (i) From Theorem 3.1, we know that  $T_{\epsilon_k}$  has the single-valued extension property. Since  $\sigma_B(x_2) \subset \sigma_{T_{\epsilon_k}}(x_1 \oplus x_2)$  from Corollary 3.2, we have

$$\sigma(B) = \bigcup_{x_2 \in \mathcal{H}} \sigma_B(x_2) \subset \bigcup_{x_1 \oplus x_2 \in \mathcal{H} \oplus \mathcal{H}} \sigma_{T_{\epsilon_k}}(x_1 \oplus x_2) = \sigma(T_{\epsilon_k}).$$

Since  $\sigma_A(x_1) \subset \sigma_{T_{\epsilon_k}}(x_1 \oplus 0)$  for all  $x_1 \in \mathcal{H}$ , we get

$$\sigma(A) = \bigcup_{x_1 \in \mathcal{H}} \sigma_A(x_1) \subset \bigcup_{x_1 \oplus x_2 \in \mathcal{H} \oplus \mathcal{H}} \sigma_{T_{\epsilon_k}}(x_1 \oplus x_2) = \sigma(T_{\epsilon_k}).$$

For the converse, we suppose that  $\gamma \notin \sigma(A) \cup \sigma(B)$ . If  $\lim_{n \rightarrow \infty} \|(T_{\epsilon_k} - \gamma) \begin{pmatrix} x_n \\ y_n \end{pmatrix}\| = 0$ , then

$$\begin{cases} \lim_{n \rightarrow \infty} \|(A - \gamma)x_n + Cy_n\| = 0, \\ \lim_{n \rightarrow \infty} \|\epsilon_k Dx_n + (B - \gamma)y_n\| = 0. \end{cases} \tag{5}$$

Since  $B - \gamma$  is invertible,

$$\begin{aligned} \|y_n\| &\leq \|(B - \gamma)^{-1}\| \|(B - \gamma)y_n\| \\ &\leq \|(B - \gamma)^{-1}\| \|\epsilon_k Dx_n + (B - \gamma)y_n\| + \epsilon_k \|D\| \|(B - \gamma)^{-1}\| \|x_n\|. \end{aligned}$$

Then  $\limsup_{n \rightarrow \infty} \|y_n\| \leq \epsilon_k \|D\| \|(B - \gamma)^{-1}\| (\limsup_{n \rightarrow \infty} \|x_n\|)$ . Taking  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ , we have  $\limsup_{n \rightarrow \infty} \|y_n\| = 0$  and so

$$\lim_{n \rightarrow \infty} \|y_n\| = 0.$$

From (5),  $\lim_{n \rightarrow \infty} \|(A - \gamma)x_n\| = 0$ . Since  $A - \gamma$  is invertible,  $\lim_{n \rightarrow \infty} \|x_n\| = 0$ . Therefore,  $T_{\epsilon_k} - \gamma$  is bounded below. If  $(T_{\epsilon_k} - \gamma)^* \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$ , then

$$\begin{cases} (A^* - \overline{\gamma})x + \epsilon_k D^*y = 0, \\ C^*x + (B^* - \overline{\gamma})y = 0. \end{cases}$$

Since  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ , we have  $(A^* - \overline{\gamma})x = 0$ . Since  $A^* - \overline{\gamma}$  is invertible,  $x = 0$  and so  $(B^* - \overline{\gamma})y = 0$ . Since  $B^* - \overline{\gamma}$  is invertible,  $y = 0$ . Thus  $\ker(T_{\epsilon_k} - \gamma)^* = \{0\}$  and so  $\text{ran}(T_{\epsilon_k} - \gamma)$  is dense in  $\mathcal{H} \oplus \mathcal{H}$ . Hence  $T_{\epsilon_k} - \gamma$  is invertible. So  $\gamma \notin \sigma(T_{\epsilon_k})$ . Consequently, the first equation is established. Moreover, if  $A$  has the single-valued extension property, then it is well known that the surjective spectrum  $\sigma_s(A)$  of  $A$  identifies with the spectrum of  $A$  (see [10]), so that  $\sigma_p(A) = \sigma(A) \setminus \sigma_s(A) = \emptyset$ . Similarly,  $\sigma_p(B) = \emptyset$ . Hence  $\sigma_p(A) \cup \sigma_p(B) = \emptyset \subset \sigma_p(T_{\epsilon_k})$ . Since  $T_{\epsilon_k}$  has the single-valued extension property by Theorem 3.1, we have  $\sigma_p(T_{\epsilon_k}) = \sigma(T_{\epsilon_k}) \setminus \sigma_s(T_{\epsilon_k}) = \emptyset$ . From these arguments, the second equality trivially holds.

(ii) Suppose that both  $A^*$  and  $B^*$  have the single-valued extension property. Then we can prove that  $T_{\epsilon_k}^*$  also has the single-valued extension property using a similar method from the proof of Theorem 3.1. It is known that  $\sigma_a(T) = \sigma(T)$  provided  $T^*$  has the single-valued extension property for every  $T \in \mathcal{L}(\mathcal{H})$ . This means that the equality  $\sigma_a(A) \cup \sigma_a(B) = \sigma_a(T_{\epsilon_k})$  holds by (i). □

It is well known that, if  $A$  and  $B$  have the property  $(\beta)$ , then  $\begin{pmatrix} A & C \\ 0 & B \end{pmatrix}$  has the property  $(\beta)$  without any conditions. However,  $2 \times 2$  operator matrices which their all entries are nonzero, in addition, their  $(2, 1)$ -entries are either  $\mu I$  for some nonzero constant  $\mu$ , or  $\epsilon_k I$  for a positive sequence  $\{\epsilon_k\}$  with  $\lim_{k \rightarrow \infty} \epsilon_k = 0$  may not have the property  $(\beta)$  even though their diagonal entries have the property  $(\beta)$  (see (8)). We now study the property  $(\beta)$  and decomposability of such a  $2 \times 2$  operator matrix  $T_{\epsilon_k}$ .

**Theorem 3.5** *Let  $T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k D & B \end{pmatrix}$  where  $A, B, C, D \in \mathcal{L}(\mathcal{H})$  and  $\{\epsilon_k\}$  is a positive sequence such that  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ . If  $\sup_n \|f_{n,1}\|_K < \infty$  whenever*

$$\left\| (T_{\epsilon_k} - \lambda) \begin{pmatrix} f_{n,1}(\lambda) \\ f_{n,2}(\lambda) \end{pmatrix} \right\|_K \rightarrow 0 \quad \text{as } n \rightarrow \infty, \tag{6}$$

then the following statements hold.

- (i) If  $A$  and  $B$  have the property  $(\beta)$ , then  $T_{\epsilon_k}$  has the property  $(\beta)$ .
- (ii) If  $A$  and  $B$  are decomposable, then  $T_{\epsilon_k}$  is decomposable.

*Proof* (i) Suppose that  $A$  and  $B$  have the property  $(\beta)$ . Let  $G$  be an open set in  $\mathbb{C}$  and let  $f_n : G \rightarrow \mathcal{H} \oplus \mathcal{H}$  be a sequence of analytic functions with  $f_n = f_{n,1} \oplus f_{n,2}$  such that

$$\lim_{n \rightarrow \infty} \left\| (T_{\epsilon_k} - \lambda) \begin{pmatrix} f_{n,1}(\lambda) \\ f_{n,2}(\lambda) \end{pmatrix} \right\|_K = 0 \tag{7}$$

for every compact set  $K$  in  $G$ , where  $\|f\|_K = \sup_{\lambda \in K} \|f(\lambda)\|$  for an  $\mathcal{H} \oplus \mathcal{H}$ -valued function  $f(\lambda)$ . Then

$$\lim_{n \rightarrow \infty} \left\| \begin{pmatrix} A - \lambda & C \\ \epsilon_k D & B - \lambda \end{pmatrix} \begin{pmatrix} f_{n,1}(\lambda) \\ f_{n,2}(\lambda) \end{pmatrix} \right\|_K = \begin{pmatrix} 0 \\ 0 \end{pmatrix}.$$

Therefore, we get

$$\begin{cases} \lim_{n \rightarrow \infty} \|(A - \lambda)f_{n,1}(\lambda) + Cf_{n,2}(\lambda)\|_K = 0, \\ \lim_{n \rightarrow \infty} \|\epsilon_k Df_{n,1}(\lambda) + (B - \lambda)f_{n,2}(\lambda)\|_K = 0. \end{cases} \tag{8}$$

We observe that

$$\begin{aligned} \|(B - \lambda)f_{n,2}(\lambda)\|_K &\leq \|(B - \lambda)f_{n,2}(\lambda) + \epsilon_k Df_{n,1}(\lambda) - \epsilon_k Df_{n,1}(\lambda)\|_K \\ &\leq \|(B - \lambda)f_{n,2}(\lambda) + \epsilon_k Df_{n,1}(\lambda)\|_K + \|\epsilon_k Df_{n,1}(\lambda)\|_K. \end{aligned}$$

From (8), we get

$$\limsup_{n \rightarrow \infty} \|(B - \lambda)f_{n,2}(\lambda)\|_K \leq \epsilon_k \|D\| \limsup_{n \rightarrow \infty} \|f_{n,1}(\lambda)\|_K.$$

Since  $\sup_n \|f_{n,1}\|_K < \infty$ , letting  $\epsilon_k \rightarrow 0$ ,

$$\limsup_{n \rightarrow \infty} \|(B - \lambda)f_{n,2}(\lambda)\|_K = 0$$

and so  $\lim_{n \rightarrow \infty} \|(B - \lambda)f_{n,2}(\lambda)\|_K = 0$ . Moreover, since  $B$  has the property  $(\beta)$ ,  $\lim_{n \rightarrow \infty} \|f_{n,2}(\lambda)\|_K = 0$ . From (8), we have

$$\lim_{n \rightarrow \infty} \|(A - \lambda)f_{n,1}(\lambda)\|_K = 0.$$

Since  $A$  has the property  $(\beta)$ ,  $\lim_{n \rightarrow \infty} \|f_{n,1}(\lambda)\|_K = 0$ . Thus

$$\lim_{n \rightarrow \infty} \|f_n(\lambda)\|_K = \lim_{n \rightarrow \infty} \|f_{n,1}(\lambda) \oplus f_{n,2}(\lambda)\|_K = 0.$$

Hence  $T_{\epsilon_k}$  has the property  $(\beta)$ .

(ii) If  $A$  and  $B$  are decomposable, then  $A, A^*, B$ , and  $B^*$  have the property  $(\beta)$ . Since  $A$  and  $B$  have the property  $(\beta)$ , it follows from (1) that  $T_{\epsilon_k}$  has the property  $(\beta)$ . Note that

$T_{\epsilon_k}^* = \begin{pmatrix} A^* & \epsilon_k D^* \\ C^* & B^* \end{pmatrix}$  and  $\begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} \begin{pmatrix} B^* & C^* \\ \epsilon_k D^* & A^* \end{pmatrix} \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} = T_{\epsilon_k}^*$ . Since  $A^*$  and  $B^*$  also have property  $(\beta)$ , it follows from (i) that  $\begin{pmatrix} B^* & C^* \\ \epsilon_k D^* & A^* \end{pmatrix}$  has the property  $(\beta)$ . Since  $\begin{pmatrix} B^* & C^* \\ \epsilon_k D^* & A^* \end{pmatrix}$  is unitarily equivalent to  $T_{\epsilon_k}^*$ , we see that  $T_{\epsilon_k}^*$  has the property  $(\beta)$ . Therefore  $T_{\epsilon_k}$  is decomposable.  $\square$

From these arguments for some local spectral properties of the operator matrices  $T_{\epsilon_k}$ , we get more corollaries.

**Corollary 3.6** *Let  $T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k D & B \end{pmatrix}$  where  $A, B, C, D \in \mathcal{L}(\mathcal{H})$  and  $\{\epsilon_k\}$  is a positive sequence such that  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ . If  $\sup_n \|f_{n,1}\|_K < \infty$  whenever (6), then the following statements are satisfied.*

- (i) *If  $A$  and  $B$  have the property  $(\beta)$  and  $\sigma(T_{\epsilon_k})$  has nonempty interior, then  $T_{\epsilon_k}$  has a nontrivial invariant subspace.*
- (ii) *If  $A$  and  $B$  are hyponormal, then  $T_{\epsilon_k}$  has the property  $(\beta)$ .*
- (iii) *If  $A$  and  $B$  are compact or normal, then  $T_{\epsilon_k}$  is decomposable.*

*Proof* (i) If  $A$  and  $B$  have the property  $(\beta)$ , then it follows from Theorem 3.5 that  $T_{\epsilon_k}$  has the property  $(\beta)$ . Since  $\sigma(T_{\epsilon_k})$  has nonempty interior,  $T_{\epsilon_k}$  has a nontrivial invariant subspace by [5, Theorem 2.1].

(ii) If  $A$  and  $B$  are hyponormal, then they are subscalar by [14], and so it is known that they have the property  $(\beta)$ . Hence it is obvious that  $T_{\epsilon_k}$  has the property  $(\beta)$  from Theorem 3.5.

(iii) Since  $A$  and  $B$  are compact or normal, then they are decomposable (see [10]) and this implies from Theorem 3.5 that  $T_{\epsilon_k}$  is also decomposable.  $\square$

From [2], if  $T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k D & B \end{pmatrix}$  on  $\mathcal{H} \oplus \mathcal{H}$  and  $R(C)$  is closed, then we have the following matrix representation:

$$T_{\epsilon_k} = \begin{pmatrix} A_1 & 0 & 0 \\ A_2 & 0 & C_1 \\ \epsilon_k D & B_1 & B_2 \end{pmatrix}, \tag{9}$$

which maps from  $\mathcal{H} \oplus \mathcal{H} = \mathcal{H} \oplus N(C) \oplus N(C)^\perp$  into  $\mathcal{H} \oplus \mathcal{H} = R(C)^\perp \oplus R(C) \oplus \mathcal{H}$  where  $C_1 = C|_{N(C)^\perp}$ ,  $A_1 = P_{R(C)^\perp} A$ ,  $A_2 = P_{R(C)} A$ ,  $B_1 = B|_{N(C)}$  and  $B_2 = B|_{N(C)^\perp}$ . Here,  $P_{N(C)}$  (resp.  $P_{N(C)^\perp}$ ) denotes the projection of  $\mathcal{K}$  onto  $N(C)$  (resp.  $N(C)^\perp$ ). We now study the next theorem in the sense of the representation (9) and mention that a sequence  $\{\epsilon_k\}$  need not converge to 0.

**Theorem 3.7** *Let  $T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k D & B \end{pmatrix}$  where  $\{\epsilon_k\}$  is a bounded sequence and  $R(C)$  is closed. Suppose that  $A_1 = P_{R(C)^\perp} A|_{\mathcal{H}}$  and  $B_1 = B|_{N(C)}$ . If  $A_1$  has the property  $(\beta)$  and  $B_1$  is decomposable, then  $T_{\epsilon_k}$  is decomposable. Moreover, if 0 is not an eigenvalue of  $C^*$ , then  $T_{\epsilon_k}$  is decomposable if and only if  $B_1$  is decomposable.*

*Proof* Since  $B_1$  is decomposable, both  $B_1$  and  $B_1^*$  have the property  $(\beta)$ . Moreover,  $A_1$  has the property  $(\beta)$ , thus  $T_{\epsilon_k}$  and its adjoint operator have the property  $(\beta)$  from [3, Theorem 3.3]. Therefore  $T_{\epsilon_k}$  is decomposable. On the other hand, if  $T_{\epsilon_k}$  is decomposable, then  $T_{\epsilon_k}$  and its adjoint operator have the property  $(\beta)$ . Thus, by [3, Theorem 3.3], both  $B_1$  and  $B_1^*$  have the property  $(\beta)$ . Hence  $B_1$  is decomposable. The converse implication holds by a similar method.  $\square$



**Corollary 3.8** *Let  $T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k D & B \end{pmatrix}$  where  $\{\epsilon_k\}$  is a bounded sequence. If  $C = \epsilon_k I$  and  $A$  is self-adjoint, then  $T_{\epsilon_k}$  is decomposable.*

*Proof* Since  $A$  is self-adjoint, so is  $A_1 = P_{R(C)} A|_{\mathcal{H}}$ . Thus it has the property  $(\beta)$ . Since  $\{\epsilon_k\}$  is a bounded sequence,  $B_1 = B|_{N(C)}$  is decomposable, so it follows from Theorem 3.7 that  $T_{\epsilon_k}$  is decomposable. □

*Example 3.9* Let  $T_{\epsilon_k} = \begin{pmatrix} A & U^* \\ \epsilon_k D & B \end{pmatrix}$  on  $\ell^2(\mathbb{N}) \oplus \ell^2(\mathbb{N})$  where  $\{\epsilon_k\}$  is a bounded sequence and  $U$  is the unilateral shift given by  $Ue_n = e_{n+1}$  on  $\ell^2(\mathbb{N})$  for  $n \in \mathbb{N}$ . Then  $B_1 = B|_{N(U)}$  is decomposable and this is equivalent to  $T_{\epsilon_k}$  being decomposable by Theorem 3.7.

Now, we address Weyl type theorems for  $T_{\epsilon_k}$ . We start with the following lemma.

**Lemma 3.10** *Let  $T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k D & B \end{pmatrix}$  where  $A, B, C, D \in \mathcal{L}(\mathcal{H})$  and  $\{\epsilon_k\}$  is a positive sequence such that  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ . Assume that  $A$  and  $B$  have the single-valued extension property. Then  $(a)$ -Browder’s theorem holds for  $T_{\epsilon_k}$ .*

*Proof* By Theorem 3.1, we know that  $T_{\epsilon_k}$  has the single-valued extension property. Then it is obvious that  $\sigma_w(T_{\epsilon_k}) = \sigma_b(T_{\epsilon_k})$  and  $\sigma_{ea}(T_{\epsilon_k}) = \sigma_{ab}(T_{\epsilon_k})$  (see [1]). Hence this means that  $(a)$ -Browder’s theorem holds for  $T_{\epsilon_k}$ . □

**Theorem 3.11** *Let  $T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k D & B \end{pmatrix}$  where  $A, B, C, D \in \mathcal{L}(\mathcal{H})$  and  $\{\epsilon_k\}$  is a positive sequence such that  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ . Suppose that Weyl’s theorem holds for  $A$  and  $B$ . Then the following statements hold.*

- (i) *If  $A$  and  $B$  have the single-valued extension property, then Weyl’s theorem holds for  $T_{\epsilon_k}$ .*
- (ii) *If  $A^*$  and  $B^*$  have the single-valued extension property, then  $a$ -Weyl’s theorem holds for  $T_{\epsilon_k}$ .*

*Proof* (i) If  $A$  and  $B$  have the single-valued extension property, then it follows from Lemma 3.10 that  $\sigma(T_{\epsilon_k}) \setminus \sigma_w(T_{\epsilon_k}) = p_{00}(T_{\epsilon_k}) \subseteq \pi_{00}(T_{\epsilon_k})$ . To show the reverse, we suppose that  $0 \in \pi_{00}(T_{\epsilon_k})$  without loss of generality. It follows from Theorem 3.4 that

$$0 \in [\pi_{00}(A) \setminus \sigma(B)] \cup [\pi_{00}(B) \setminus \sigma(A)] \cup [\pi_{00}(A) \cap \pi_{00}(B)].$$

Since Weyl’s theorem holds for both  $A$  and  $B$ , we have  $0 \notin \sigma_w(A) \cup \sigma_w(B)$ . Set  $T_0 := \begin{pmatrix} A & C \\ 0 & B \end{pmatrix}$ . Then  $T_0$  is Weyl by [11, Lemma 3]. This implies from [13, Theorem 1] that, if  $T_{\epsilon_k} \rightarrow T_0$  in norm, then  $\limsup_{k \rightarrow \infty} \sigma_w(T_{\epsilon_k}) \subset \sigma_w(T_0)$ . Hence  $0 \notin \limsup_{k \rightarrow \infty} \sigma_w(T_{\epsilon_k})$ . So there exists  $\delta_1 > 0$  such that, for  $\mu \in D(0, \frac{\delta_1}{2})$ , open disc with center 0 and radius  $\frac{\delta_1}{2}$ , such that  $T_{\epsilon_k} - \mu I$  is Weyl. Since Weyl operators form an open set, there exists  $\delta_2 > 0$  such that  $\|T_{\epsilon_k} - \mu I - T_0\| < \frac{\delta_2}{2}$ . We choose  $\delta := \min\{\delta_1, \delta_2\} > 0$ . Then

$$\|T_{\epsilon_k} - T_0\| \leq \|T_{\epsilon_k} - \mu I - T_0\| + \|\mu I\| < \frac{\delta}{2} + \frac{\delta}{2} = \delta.$$

Therefore  $T_{\epsilon_k}$  is Weyl but is not invertible. Consequently, Weyl’s theorem holds for  $T_{\epsilon_k}$ .

(ii) By Lemma 3.10, we have  $\sigma_a(T_{\epsilon_k}) \setminus \sigma_{ea}(T_{\epsilon_k}) = P_{00}^a(T_{\epsilon_k}) \subseteq \pi_{00}^a(T_{\epsilon_k})$ . We now suppose that  $0 \in \pi_{00}^a(T_{\epsilon_k})$ . From Theorem 3.4, we get

$$0 \in [\pi_{00}^a(A) \setminus \sigma_a(B)] \cup [\pi_{00}^a(B) \setminus \sigma_a(A)] \cup [\pi_{00}^a(A) \cap \pi_{00}^a(B)].$$

It is known that  $\sigma(S) = \sigma_a(S)$  and  $\sigma_w(S) = \sigma_{ea}(S)$  provided  $S^* \in \mathcal{L}(\mathcal{H})$  has the single-valued extension property by [1]. On the other hand,  $A^*$  and  $B^*$  have the single-valued extension property, and satisfy Weyl’s theorem. This implies that  $0 \notin \sigma_w(A) \cup \sigma_w(B)$ . Then  $T_0$  is Weyl. Hence we get  $0 \notin \limsup_{k \rightarrow \infty} \sigma_w(T_{\epsilon_k})$ , so that  $0 \in \sigma_a(T_{\epsilon_k}) \setminus \sigma_{ea}(T_{\epsilon_k})$ . Therefore  $a$ -Weyl’s theorem holds for  $T_{\epsilon_k}$ . □

We say that  $T \in \mathcal{L}(\mathcal{H})$  is *normal* if  $T^*T = TT^*$ , *hyponormal* if  $T^*T \geq TT^*$ , *algebraically hyponormal* if there exists a nonconstant polynomial  $p$  such that  $p(T)$  is hyponormal, respectively. It is known that normal operators imply hyponormal operators, and hyponormal operators imply algebraically hyponormal operators. From these notions, we have the following corollary.

**Corollary 3.12** *Let  $T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k D & B \end{pmatrix}$  where  $A, B, C, D \in \mathcal{L}(\mathcal{H})$  and  $\{\epsilon_k\}$  is a positive sequence such that  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ .*

- (i) *If  $A$  and  $B$  are normal, then  $a$ -Weyl’s theorem holds for  $T_{\epsilon_k}$ ,*
- (ii) *If  $A$  and  $B$  are algebraically hyponormal, then Weyl’s theorem holds for  $T_{\epsilon_k}$ .*

*Proof* (i) It is obvious that normal operators are decomposable by [10]. So if  $A$  and  $B$  are normal, then their adjoint operators have the single-valued extension property. Moreover,  $A$  and  $B$  are hyponormal, hence it follows from [4] that they satisfy Weyl’s theorem. Consequently, this means that  $a$ -Weyl’s theorem holds for  $T_{\epsilon_k}$  from Theorem 3.11.

(ii) If  $A$  and  $B$  are algebraically hyponormal, then so are their translation, and then it follows from [6, Lemma 1] that  $A - \lambda I$  and  $B - \lambda I$  have finite ascent for all complex number  $\lambda$ , so that they have the single-valued extension property. On the other hand, Weyl’s theorem holds for both  $A$  and  $B$  from [6, Corollary 4]. Thus this implies from Theorem 3.11 that  $T_{\epsilon_k}$  satisfies Weyl’s theorem. □

Finally, we study  $2 \times 2$  operator matrices

$$T_\gamma = \begin{pmatrix} A & C \\ \gamma I & B \end{pmatrix},$$

where  $\gamma$  is any scalar in  $\mathbb{C}$ . Let  $\{T_\gamma\}'$  be the collection of operators commuting with  $T_\gamma$  as follows:

$$\{T_\gamma\}' = \left\{ \begin{pmatrix} L_\sigma & M_\sigma \\ N_\sigma & P_\sigma \end{pmatrix} : \sigma \in \Sigma \right\},$$

and let

$$\{T_\gamma\}'_0 = \left\{ \begin{pmatrix} L_\sigma & M_\sigma \\ N_\sigma & P_\sigma \end{pmatrix} : \sigma \in \Sigma \text{ and } \sup_{\sigma \in \Sigma} \|L_\sigma - P_\sigma\| < \infty \right\}.$$

We recall that a transitive subalgebra of  $\mathcal{L}(\mathcal{H})$  has the property that it has no nontrivial invariant subspace.

**Theorem 3.13** *Let  $T_{\epsilon_k} = \begin{pmatrix} A & C \\ \epsilon_k I & B \end{pmatrix}$  where  $A, B, C \in \mathcal{L}(\mathcal{H})$  and  $\{\epsilon_k\}$  is a positive sequence such that  $\lim_{k \rightarrow \infty} \epsilon_k = 0$  and there is  $X \in \mathcal{L}(\mathcal{H})$  such that  $AX = XB$ . If there exists a nontrivial hyperinvariant subspace  $\mathcal{N}$  for  $B$  such that  $\mathcal{N} \not\subseteq \ker X$ , then  $S \in \{T_{\epsilon_k}\}'_0$  has a nontrivial invariant subspace.*

*Proof* Assume that there exists a nontrivial hyperinvariant subspace  $\mathcal{N}$  for  $B$  such that  $\mathcal{N} \not\subseteq \ker X$ . Let  $S \in \{T_{\epsilon_n}\}'_0$ . Then we put  $S = \begin{pmatrix} L_\sigma & M_\sigma \\ N_\sigma & P_\sigma \end{pmatrix}$  where  $\sigma \in \Sigma$  and  $\sup_{\sigma \in \Sigma} \|L_\sigma - P_\sigma\| < \infty$ . Since  $S \in \{T_{\epsilon_n}\}'_0$ , we get

$$\begin{pmatrix} L_\sigma A + \epsilon_n M_\sigma & L_\sigma C + M_\sigma B \\ N_\sigma A + \epsilon_n P_\sigma & N_\sigma C + P_\sigma B \end{pmatrix} = \begin{pmatrix} AL_\sigma + CN_\sigma & AM_\sigma + CP_\sigma \\ \epsilon_n L_\sigma + BN_\sigma & \epsilon_n M_\sigma + BP_\sigma \end{pmatrix}.$$

Then we have  $BN_\sigma - N_\sigma A = \epsilon_n(P_\sigma - L_\sigma)$  and so

$$\|BN_\sigma - N_\sigma A\| = \epsilon_n \|P_\sigma - L_\sigma\| \leq \epsilon_n \sup_{\sigma \in \Sigma} \|P_\sigma - L_\sigma\|.$$

Since  $\lim_{n \rightarrow \infty} \epsilon_n = 0$ ,  $BN_\sigma = N_\sigma A$  for  $\sigma \in \Sigma$ . Hence  $BN_\sigma X = N_\sigma AX = N_\sigma XB$  for  $\sigma \in \Sigma$ . So  $N_\sigma X \mathcal{N} \subset \mathcal{N}$  for  $\sigma \in \Sigma$ . On the other hand, assume, to obtain a contradiction, that  $\{T_{\epsilon_n}\}'_0$  is transitive. Then, for arbitrary  $z \in \mathcal{H}$ , it follows from [7, Proposition 2.2] and the hypothesis that there exist  $\sigma_0 \in \Sigma$  and  $y \in \mathcal{N}$  with  $Xy \neq 0$  such that, for every  $\epsilon > 0$ ,

$$\|N_{\sigma_0} Xy - z\| < \epsilon,$$

which means that  $\overline{\{N_\sigma Xy : \sigma \in \Sigma\}} = \mathcal{H}$  for some  $\sigma_0 \in \Sigma$ . But this is a contradiction from  $N_\sigma Xy \in \mathcal{N}$  for all  $\sigma \in \Sigma$ . Hence  $\{T_{\epsilon_n}\}'_0$  is not transitive. Thus  $S \in \{T_{\epsilon_n}\}'_0$  has nontrivial invariant subspace. □

We easily see that there exists a nontrivial hyperinvariant subspace  $\mathcal{N}$  for  $B$  such that  $\mathcal{N} \not\subseteq \ker X$  as the following example.

*Example 3.14* Let  $N \in \mathcal{L}(\mathcal{H})$  be a normal operator with  $N \neq \lambda I$  for  $\lambda \in \mathbb{C}$ . Consider an operator matrix  $\begin{pmatrix} N & C \\ \epsilon_k I & N \end{pmatrix}$  and  $\{\epsilon_k\}$  is a positive sequence such that  $\lim_{k \rightarrow \infty} \epsilon_k = 0$ . Then there exists a nontrivial hyperinvariant subspace  $\mathcal{N}$  for  $N$  but  $\mathcal{N} \not\subseteq \ker I$ . Hence  $S \in \left\{ \begin{pmatrix} N & C \\ \epsilon_k I & N \end{pmatrix} \right\}'_0$  has a nontrivial invariant subspace by Theorem 3.13.

**Corollary 3.15** *Let  $T_{\delta_k} = \begin{pmatrix} A & \delta_k I \\ Z & B \end{pmatrix}$  where  $A, B, Z \in \mathcal{L}(\mathcal{H})$  and  $\delta_k$  is a positive sequence such that  $\lim_{k \rightarrow \infty} \delta_k = 0$  and there is  $X \in \mathcal{L}(\mathcal{H})$  such that  $BX = XA$ . If there exists a nontrivial hyperinvariant subspace  $\mathcal{M}$  for  $A$  such that  $\mathcal{M} \not\subseteq \ker X$ , then  $S \in \{T_{\delta_k}\}'_0$  has nontrivial invariant subspace.*

*Proof* Set  $R_{\delta_k} = \begin{pmatrix} B & Z \\ \delta_k I & A \end{pmatrix}$ . Since  $\begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} R_{\delta_k} \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} = T_{\delta_k}$ ,  $R_{\delta_k}$  and  $T_{\delta_k}$  are unitarily equivalent. Since  $W \in \{R_{\delta_k}\}'_0$  has a nontrivial invariant subspace by Theorem 3.13,

$$\begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} W \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} T_{\delta_k} = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} W R_{\delta_k} \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}$$

$$\begin{aligned}
 &= \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} R_{\delta_k} W \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} \\
 &= T_{\delta_k} \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} W \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}.
 \end{aligned}$$

Thus  $\begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} W \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} \in \{T_{\delta_k}\}'$ . Since  $W \in \{R_{\delta_k}\}'_0$ ,

$$\begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} W \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} \in \{T_{\delta_k}\}'_0.$$

Since  $W \in \{R_{\delta_k}\}'_0$  has a nontrivial invariant subspace, we conclude that

$$S = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} W \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} \in \{T_{\delta_k}\}'_0$$

has a nontrivial invariant subspace. □

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**Authors' contributions**

All authors contributed equally to the writing of this paper. All authors read and approved the final manuscript.

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