# Isoperimetric inequalities of the fourth order Neumann eigenvalues 

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#### Abstract

In this paper, we obtain some isoperimetric inequalities for the first ( $n-1$ ) eigenvalues of the fourth order Neumann Laplacian on bounded domains in an $n$-dimensional Euclidean space. Our result supports strongly the conjecture of Chasman.

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## 1 Introduction

Letting $\Omega$ be a bounded domain with a smooth boundary $\partial \Omega$ in the Euclidean space $\mathbb{R}^{n}$, we consider the Neumann problem of the Laplacian $\Delta$ as follows:

$$
\begin{cases}\Delta u=\mu u, & \text { in } \Omega  \tag{1.1}\\ \frac{\partial u}{\partial v}=0, & \text { on } \partial \Omega\end{cases}
$$

where $v$ is the outward unit normal to the boundary. It is well known that the free membrane problem (1.1) has a discrete spectrum consisting of a sequence

$$
0=\mu_{0}<\mu_{1} \leq \mu_{2} \leq \cdots \rightarrow+\infty .
$$

When $\Omega$ is a bounded domain in $\mathbb{R}^{2}$, Szegö [6] proved the following classical isoperimetric inequality:

$$
\begin{equation*}
\mu_{1}(\Omega) \leq \mu_{1}\left(B_{\Omega}\right) \tag{1.2}
\end{equation*}
$$

where $B_{\Omega}$ is the ball of same volume as $\Omega$. Weinberger [11] generalized this result to $n$ dimensions. Ashbaugh and Benguria [2] extended the Szegö-Weinberger inequality (1.2) to the bounded domains in hyperbolic space and a hemisphere. On the other hand, Ashbaugh and Benguria [1] conjectured that

$$
\begin{equation*}
\sum_{i=1}^{n} \frac{1}{\mu_{i}(\Omega)} \geq \frac{n}{\mu_{1}\left(B_{\Omega}\right)}, \quad \text { with equality if and only if } \Omega \text { is a ball, } \tag{1.3}
\end{equation*}
$$

[^0]where $\mu_{i}(\Omega)$ is the $i$ th Neumann eigenvalue on $\Omega, \mu_{1}\left(B_{\Omega}\right)$ is the first nonzero Neumann eigenvalue on $B_{\Omega}$. In [10], Wang and Xia proved an isoperimetric inequality for the sums of the reciprocals of the first $(n-1)$ nonzero eigenvalues of the Neumann Laplacian on bounded domains in $\mathbb{R}^{n}$ as follows:
\[

$$
\begin{equation*}
\sum_{i=1}^{n-1} \frac{1}{\mu_{i}(\Omega)} \geq \frac{n-1}{\mu_{1}\left(B_{\Omega}\right)}, \quad \text { with equality if and only if } \Omega \text { is a ball, } \tag{1.4}
\end{equation*}
$$

\]

which means the Ashbaugh-Benguria's conjecture is true for the first $(n-1)$ nonzero eigenvalues of the Neumann Laplacian on bounded domains in $\mathbb{R}^{n}$. So (1.4) supports the above conjectures of Ashbaugh and Benguria. On the other hand, Benguria, et al. [3] proved a result which is similar to (1.4) for the first $(n-1)$ nontrivial Neumann eigenvalues on domains in a hemisphere of $S^{n}$. Moreover, some works on eigenvalues are related to the spectra of matrix operators and can be seen in [7-9].
Let $\Delta$ and $\bar{\Delta}$ be the Laplace-Beltrami operators on $\Omega$ and $\partial \Omega$, respectively. Let $\nabla$ and $\bar{\nabla}$ be the gradient operators on $\Omega$ and $\partial \Omega$, respectively. Consider the following Neumann eigenvalue problem of the bi-harmonic operator:

$$
\begin{cases}\Delta^{2} u-\tau \Delta u=\Lambda u & \text { in } \Omega  \tag{1.5}\\ \frac{\partial^{2} u}{\partial v^{2}}=0, & \text { on } \partial \Omega \\ \tau \frac{\partial u}{\partial v}-\operatorname{div}_{\partial \Omega}\left(\nabla^{2} u(v)\right)-\frac{\partial \Delta u}{\partial v}=0, & \text { on } \partial \Omega\end{cases}
$$

where $\tau \geq 0$ and $\sigma$ are two constants, $\operatorname{div}_{\partial \Omega}$ denotes the tangential divergence operator on $\partial \Omega$, and $\nabla^{2} u$ is the Hessian of $u, v$ is the outward unit normal to the boundary. In this setting, problem (1.5) has a discrete spectrum, and all eigenvalues in the discrete spectrum can be listed nondecreasingly as follows:

$$
0=\Lambda_{0}<\Lambda_{1} \leq \Lambda_{2} \leq \cdots \uparrow+\infty
$$

By the Rayleigh-Ritz characterization, the $(k+1)$ th eigenvalue of (1.5) can be given as follows (see, e.g., [5]):

$$
\begin{equation*}
\Lambda_{k+1}=\inf _{u \in H^{2}(\Omega)}\left\{\left.Q[u]=\frac{\int_{\Omega}\left[\left|\nabla^{2} u\right|^{2}+\tau|\nabla u|^{2}\right] d x}{\int_{\Omega} u^{2} d x} \right\rvert\, \int_{\Omega} u u_{j}=0, j=1, \ldots, k\right\} . \tag{1.6}
\end{equation*}
$$

Letting $B_{\Omega}$ be the ball of same volume as $\Omega$, Chasman [5] proved the following isoperimetric inequality:

$$
\Lambda_{1}(\Omega) \leq \Lambda_{1}\left(B_{\Omega}\right), \quad \text { with equality if and only if } \Omega \text { is a ball. }
$$

Chasman [5] also conjectured that

$$
\begin{equation*}
\sum_{i=1}^{n} \frac{1}{\Lambda_{i}(\Omega)} \geq \frac{n}{\Lambda_{1}\left(B_{\Omega}\right)}, \quad \text { with equality if and only if } \Omega \text { is a ball. } \tag{1.7}
\end{equation*}
$$

In this paper, we prove an isoperimetric inequality for the sums of the reciprocals of the first $(n-1)$ nonzero eigenvalues of the fourth Neumann Laplacian which supports the

Chasman's conjecture, actually, we get

$$
\begin{equation*}
\sum_{i=1}^{n-1} \frac{1}{\Lambda_{i}(\Omega)} \geq \frac{n-1}{\Lambda_{1}\left(B_{\Omega}\right)}, \quad \text { with equality if and only if } \Omega \text { is a ball. } \tag{1.8}
\end{equation*}
$$

In [4], Buoso et al. proved a quantitative isoperimetric inequality for the fundamental tone of problem (1.5) as follows:

$$
\begin{equation*}
\Lambda_{1}(\Omega) \leq\left(1-\eta_{n, \tau,|\Omega|} A^{2}(\Omega)\right) \Lambda_{1}\left(B_{\Omega}\right) \tag{1.9}
\end{equation*}
$$

where $\eta_{n, \tau,|\Omega|}>0$, and $A(\Omega)$ is the so-called Fraenkel asymmetry of the domain $\Omega \in \mathbb{R}^{n}$, which is defined by:

$$
A(\Omega):=\inf \left\{\frac{\left|\Omega \Delta B_{\Omega}\right|}{|\Omega|}\right\}
$$

where $B_{\Omega}$ is the ball of same volume as $\Omega$ and $\Omega \Delta B_{\Omega}$ is the symmetric difference of $\Omega$ and $B_{\Omega}$. In what follows, we generalize (1.9) to the sum of the first ( $n-1$ ) eigenvalues, and we get

$$
\begin{equation*}
\frac{1}{n-1} \sum_{i=1}^{n-1} \Lambda_{i}(\Omega) \leq\left(1-\eta_{n, \tau,|\Omega|} A^{2}(\Omega)\right) \Lambda_{1}\left(B_{\Omega}\right) \tag{1.10}
\end{equation*}
$$

## 2 Preliminaries

In this section, we recall some notations and results, more details can be seen in $[4,5]$.
Let $j_{1}, i_{1}$ be the ultraspherical and modified ultraspherical Bessel functions of the first kind and order 1 , respectively; $j_{1}, i_{1}$ can be expressed by the standard Bessel and modified Bessel functions of the first kind $J_{v}, I_{v}$ as follows:

$$
j_{1}(z)=z^{1-n / 2} J_{n / 2}(z), \quad i_{1}(z)=z^{1-n / 2} I_{n / 2}(z) .
$$

Let $B$ be the unit ball in $\mathbb{R}^{n}$ centered at the origin and $\omega_{n}$ be the Lebesgue measure $|B|$ of $B$, and let $\Lambda_{1}(B)$ be the first eigenvalue of problem (1.5) on unit ball $B$. For $\tau>0, a, b$ are positive constants satisfying the conditions $a^{2} b^{2}=\lambda_{1}(B)$ and $b^{2}-a^{2}=\tau$. Set

$$
R(r)=j_{1}(a r)+\gamma i_{1}(b r), \quad \gamma=\frac{-a^{2} j_{1}^{\prime \prime}(a r)}{b^{2} i_{1}^{\prime \prime}(b)}>0 .
$$

Then we define the function $\rho:[0,+\infty) \rightarrow[0,+\infty)$ as

$$
\rho(r)= \begin{cases}R(r), & r \in[0,1), \\ R(1)+(r-1) R^{\prime}(1), & r \in[1,+\infty) .\end{cases}
$$

Let $u_{i}: \mathbb{R}^{n} \rightarrow \mathbb{R}$ be defined by

$$
\begin{equation*}
u_{i}(x):=\rho(|x|) \frac{x_{i}}{|x|}, \quad \text { for } i=1, \ldots, n \tag{2.1}
\end{equation*}
$$

The functions $\left.u_{i}\right|_{B}$ are, in fact, the eigenfunctions associated with the eigenvalues $\lambda_{1}(B)$ of problem (1.5) on unit ball $B$. We know that $\lambda_{1}(B)$ has multiplicity and $u_{i}$ satisfy

$$
\begin{align*}
& \sum_{i=1}^{n}\left|u_{i}\right|^{2}=\rho^{2}(|x|),  \tag{2.2}\\
& \sum_{i=1}^{n}\left|\nabla u_{i}\right|^{2}=\frac{n-1}{|x|^{2}} \rho(|x|)^{2}+\left(\rho^{\prime}(|x|)\right)^{2},  \tag{2.3}\\
& \sum_{i=1}^{n}\left|\nabla^{2} u_{i}\right|^{2}=\left(\rho^{\prime \prime}(|x|)\right)^{2}+\frac{3(n-1)}{|x|^{4}}\left[\rho(|x|)-|x| \rho^{\prime}(|x|)\right]^{2} . \tag{2.4}
\end{align*}
$$

Define $N[\rho]=\sum_{i=1}^{n}\left(\left|\nabla^{2} u_{i}\right|^{2}+\tau\left|\nabla u_{i}\right|^{2}\right)$. Then $\rho$ and $N[\rho]$ satisfy the following properties which given in $[4,5]$.

Lemma 2.1 Function $\rho$ and $N[\rho]$ satisfy the following properties:
(1) $\rho^{\prime \prime}(r)<0$ for all $r \geq 0$, therefore $\rho^{\prime}$ is nonincreasing.
(2) $\rho(r)-r \rho^{\prime}(r) \geq 0$, with equality holding only for $r=0$.
(3) The function $\rho^{2}(r)$ is strictly increasing.
(4) The function $\rho^{2}(r) / r^{2}$ is decreasing.
(5) The function $3\left(\rho(r)-r \rho^{\prime}(r)\right)^{2} / r^{4}+\tau \rho^{2}(r) / r^{2}$ is decreasing.
(6) $N\left[\rho\left(r_{1}\right)\right]>N\left[\rho\left(r_{2}\right)\right]$ for any $r_{1} \in[0,1), r_{2} \in[1,+\infty)$.
(7) For all $r \geq 0$, we have

$$
N[\rho(r)]=\left(\rho^{\prime \prime}(r)\right)^{2}+\frac{3(n-1)\left(\rho(r)-r \rho^{\prime}(r)\right)^{2}}{r^{4}}+\tau(n-1) \frac{\rho^{2}(r)}{r^{2}}+\tau\left(\rho^{\prime}(r)\right)^{2}
$$

(8) For all $r \geq 1, N[\rho(r)]$ is decreasing.

We introduce the notation of a partially monotonic function. A function $F$ is partially monotonic on $\Omega$ if it satisfies

$$
\begin{equation*}
F(x)>F(y), \quad \text { for all } x \in \Omega \text { and } y \notin \Omega . \tag{2.5}
\end{equation*}
$$

It is seen that $N[\rho(r)]$ is a partially monotonic function from Lemma 2.1.

Lemma 2.2 For any radial function $F(r(x))$ that satisfies the partially monotonicity condition on $B_{\Omega}$,

$$
\begin{equation*}
\int_{\Omega} F d x \leq \int_{B_{\Omega}} F d x \tag{2.6}
\end{equation*}
$$

with equality if and only if $\Omega=B_{\Omega}$. For any strictly increasing radial function $F(r(x))$,

$$
\begin{equation*}
\int_{\Omega} F d x \geq \int_{B_{\Omega}} F d x \tag{2.7}
\end{equation*}
$$

with equality if and only if $\Omega=B_{\Omega}$.

Lemma 2.3 For all $s>0$, we have

$$
\begin{equation*}
\Lambda_{i}(\tau, \Omega)=s^{4} \Lambda_{i}\left(s^{-2} \tau, s \Omega\right), \quad i=1, \ldots, n, \tag{2.8}
\end{equation*}
$$

where $s \Omega=\left\{x \in \mathbb{R}^{n}: x / s \in \Omega\right\}$ for $s>0$.

Proof For any $u \in H^{2}(\Omega)$ with

$$
u \neq 0 \quad \text { and } \quad \int_{\Omega} u d x=\int_{\Omega} u u_{1} d x=\cdots=\int_{\Omega} u u_{i-1} d x=0, \quad i=1, \ldots, n,
$$

let $\tilde{u}(x)=u(x / s)$, then $\tilde{u}$ is a valid trial function on $s \Omega$ and so

$$
\begin{align*}
Q_{s^{-2} \tau, s \Omega}[\tilde{u}] & =\frac{\int_{s \Omega}\left(\left|\nabla^{2} \tilde{u}\right|^{2}+s^{-2} \tau|\nabla \tilde{u}|^{2}\right) d x}{\int_{s \Omega} u^{2} d x} \\
& =\frac{\int_{s \Omega}\left(\left|s^{-2}\left(\nabla^{2} u\right)(x / s)\right|^{2}+s^{-2} \tau\left|s^{-1}(\nabla u)(x / s)\right|^{2}\right) d x}{\int_{s \Omega} u(x / s)^{2} d x} \\
& \left.=\frac{s^{-4+n} \int_{\Omega}\left(\left|\left(\nabla^{2} u\right)\right|^{2}+\tau|(\nabla u)|^{2}\right) d y}{s^{n} \int_{\Omega} u^{2} d y} \quad \text { (substituting } y=x / s\right) \\
& =s^{-4} Q_{\tau, \Omega}[u] . \tag{2.9}
\end{align*}
$$

The lemma follows from (1.6).

## 3 Proofs of the main results

In this section, we give the proofs of the main results of this paper.

Theorem 3.1 Let $\Omega$ be a bounded domain in an n-dimensional Euclidean space $\mathbb{R}^{n}$ and let $B_{\Omega}$ be the ball of same volume as $\Omega$, then the first $(n-1)$ eigenvalues of $(1.5)$ in $\Omega$ satisfy

$$
\begin{equation*}
\sum_{i=1}^{n-1} \frac{1}{\Lambda_{i}(\Omega)} \geq \frac{n-1}{\Lambda_{1}\left(B_{\Omega}\right)} \tag{3.1}
\end{equation*}
$$

with equality if and only if $\Omega$ is a ball.
Proof Assume that the volume of $\Omega$ is equal to that of the unit ball $B$. Letting $\varphi_{i}=\frac{\rho(r) x_{i}}{r}$, we know that

$$
\int_{\Omega} \varphi_{i}(r) d x=0, \quad \text { for } i=1, \ldots, n
$$

which means $\varphi_{i}$ is perpendicular to $u_{0}=1 / \sqrt{|\Omega|}$, which is the first eigenfunction of (1.5). Letting $\left\{u_{j}\right\}_{j=0}^{\infty}$ be an orthonormal set of eigenfunctions of (1.5) on $\Omega$, next we will show that there exists new coordinate functions $\left\{x_{i}^{\prime}\right\}_{i=1}^{n}$ such that

$$
\begin{equation*}
\int_{\Omega} \frac{\rho(r) x_{i}^{\prime}}{r} u_{j} d x=0 \tag{3.2}
\end{equation*}
$$

for $j=1, \ldots, i-1$ and $i=2, \ldots, n$. To see this, we define an $n \times n$ matrix $A=\left(a_{i j}\right)$, where $a_{j i}=\int_{\Omega} \varphi_{i} u_{j} d x=\int_{\Omega} \frac{\rho(r)}{r} x_{i} u_{j} d x$, for $i, j=1,2, \ldots, n$. Using the orthogonalization of Gram
and Schmidt (QR-factorization theorem), we know that there exist an upper-triangular matrix $T=\left(T_{j i}\right)$ and an orthogonal matrix $B=\left(b_{j i}\right)$ such that $T=U Q$, i.e.,

$$
T_{i j}=\sum_{k=1}^{n} b_{i k} a_{k j}=\int_{\Omega} \sum_{k=1}^{n} \frac{\rho(r)}{r} b_{i k} x_{k} u_{j} d x=0, \quad 1 \leq j<i \leq n .
$$

Letting $x_{i}^{\prime}=\sum_{k=1}^{n} b_{i k} x_{k}, i=1, \ldots, n$, we get (3.2). Since $B=\left(b_{j i}\right)$ is an orthogonal matrix, $\left\{x_{i}^{\prime}\right\}_{i=1}^{n}$ is also a set of coordinate functions. Therefore, denoting $x_{i}^{\prime}, i=1 \ldots, n$ still by $x_{i}$, $i=1 \ldots, n$, and $\varphi_{i}=\frac{\rho(r)}{r} x_{i}$, we have

$$
\varphi_{i} \neq 0 \quad \text { and } \quad \int_{\Omega} \varphi_{i} d x=\int_{\Omega} \varphi_{i} u_{1} d x=\cdots=\int_{\Omega} \varphi_{i} u_{i-1} d x=0, \quad i=1, \ldots, n
$$

It follows from the Rayleigh-Ritz inequality that

$$
\begin{equation*}
\Lambda_{i}(\Omega) \int_{\Omega} \varphi_{i}^{2} d x \leq \int_{\Omega}\left(\left|\nabla^{2} \varphi_{i}\right|^{2}+\tau\left|\nabla \varphi_{i}\right|^{2}\right) d x, \quad i=1, \ldots, n \tag{3.3}
\end{equation*}
$$

which implies that

$$
\begin{equation*}
\int_{\Omega} \varphi_{i}^{2} d x \leq \frac{1}{\Lambda_{i}(\Omega)} \int_{\Omega}\left(\left|\nabla^{2} \varphi_{i}\right|^{2}+\tau\left|\nabla \varphi_{i}\right|^{2}\right) d x, \quad i=1, \ldots, n . \tag{3.4}
\end{equation*}
$$

Summing over $i$ from 1 to $n$, we have

$$
\begin{equation*}
\sum_{i=1}^{n} \int_{\Omega} \varphi_{i}^{2} d x \leq \sum_{i=1}^{n} \frac{1}{\Lambda_{i}(\Omega)} \int_{\Omega}\left(\left|\nabla^{2} \varphi_{i}\right|^{2}+\tau\left|\nabla \varphi_{i}\right|^{2}\right) d x \tag{3.5}
\end{equation*}
$$

Since $\sum_{i=1}^{n}\left|\nabla^{2} \varphi_{i}\right|^{2}=\left(\rho^{\prime \prime}\right)^{2}+\frac{3(n-1)}{r^{4}}\left(\rho-r \rho^{\prime}\right)^{2}$, for any point $p \in \Omega$, by a transformation of coordinates if necessary, we have $\left|\nabla^{2} \varphi_{i}\right|^{2} \leq \frac{\left(\rho^{\prime \prime}\right)^{2}}{n-1}+\frac{3}{r^{4}}\left(\rho-r \rho^{\prime}\right)^{2}, i=1, \ldots, n$. Then

$$
\begin{align*}
& \sum_{i=1}^{n} \frac{1}{\Lambda_{i}(\Omega)}\left|\nabla^{2} \varphi_{i}\right|^{2} \\
& \quad=\sum_{i=1}^{n-1} \frac{1}{\Lambda_{i}(\Omega)}\left|\nabla^{2} \varphi_{i}\right|^{2}+\frac{1}{\Lambda_{n}(\Omega)}\left|\nabla^{2} \varphi_{i}\right|^{2} \\
& \quad=\sum_{i=1}^{n-1} \frac{1}{\Lambda_{i}(\Omega)}\left|\nabla^{2} \varphi_{i}\right|^{2}+\frac{1}{\Lambda_{n}(\Omega)}\left(\left(\rho^{\prime \prime}\right)^{2}+\frac{3(n-1)}{r^{4}}\left(\rho-r \rho^{\prime}\right)^{2}-\sum_{j=1}^{n-1}\left|\nabla^{2} \varphi_{j}\right|^{2}\right) \\
& \quad \leq \sum_{i=1}^{n-1} \frac{1}{\Lambda_{i}(\Omega)}\left|\nabla^{2} \varphi_{i}\right|^{2}+\sum_{j=1}^{n-1} \frac{1}{\Lambda_{j}(\Omega)}\left(\frac{\left(\rho^{\prime \prime}\right)^{2}+\frac{3(n-1)}{r^{4}}\left(\rho-r \rho^{\prime}\right)^{2}}{n-1}-\left|\nabla^{2} \varphi_{j}\right|^{2}\right) \\
& \quad=\frac{1}{n-1}\left(\left(\rho^{\prime \prime}\right)^{2}+\frac{3(n-1)}{r^{4}}\left(\rho-r \rho^{\prime}\right)^{2}\right) \sum_{i=1}^{n-1} \frac{1}{\Lambda_{i}(\Omega)} . \tag{3.6}
\end{align*}
$$

Similarly, we have

$$
\begin{align*}
\sum_{i=1}^{n} \frac{1}{\Lambda_{i}(\Omega)}\left|\nabla \varphi_{i}\right|^{2} & =\sum_{i=1}^{n-1} \frac{1}{\Lambda_{i}(\Omega)}\left|\nabla \varphi_{i}\right|^{2}+\frac{1}{\Lambda_{n}(\Omega)}\left|\nabla \varphi_{i}\right|^{2} \\
& =\sum_{i=1}^{n-1} \frac{1}{\Lambda_{i}(\Omega)}\left|\nabla \varphi_{i}\right|^{2}+\frac{1}{\Lambda_{n}(\Omega)}\left(\frac{n-1}{r^{2}} \rho^{2}+\left(\rho^{\prime}\right)^{2}-\sum_{j=1}^{n-1}\left|\nabla \varphi_{j}\right|^{2}\right) \\
& \leq \sum_{i=1}^{n-1} \frac{1}{\Lambda_{i}(\Omega)}\left|\nabla \varphi_{i}\right|^{2}+\sum_{j=1}^{n-1} \frac{1}{\Lambda_{j}(\Omega)}\left(\frac{\frac{n-1}{r^{2}} \rho^{2}+\left(\rho^{\prime}\right)^{2}}{n-1}-\left|\nabla \varphi_{j}\right|^{2}\right) \\
& =\frac{1}{n-1}\left(\frac{n-1}{r^{2}} \rho^{2}+\left(\rho^{\prime}\right)^{2}\right) \sum_{i=1}^{n-1} \frac{1}{\Lambda_{i}(\Omega)} . \tag{3.7}
\end{align*}
$$

On the other hand,

$$
\begin{equation*}
\sum_{i=1}^{n}\left|\varphi_{i}\right|^{2}=\rho^{2} \tag{3.8}
\end{equation*}
$$

Substituting (3.6)-(3.8) into (3.5), we have

$$
\begin{align*}
\frac{1}{n-1} \sum_{i=1}^{n-1} \frac{1}{\Lambda_{i}(\Omega)} & \geq \frac{\int_{\Omega} \rho^{2} d x}{\int_{\Omega}\left(\left(\rho^{\prime \prime}\right)^{2}+\frac{3(n-1)}{r^{4}}\left(\rho-r \rho^{\prime}\right)^{2}+\tau\left(\frac{n-1}{r^{2}} \rho^{2}+\left(\rho^{\prime}\right)^{2}\right)\right) d x} \\
& =\frac{\int_{\Omega} \rho^{2} d x}{\int_{\Omega} N[\rho] d x} \geq \frac{\int_{B_{\Omega}} \rho^{2} d x}{\int_{B_{\Omega}} N[\rho] d x}=\frac{1}{\Lambda_{1}\left(B_{\Omega}\right)}, \tag{3.9}
\end{align*}
$$

the last step is deduced by Lemma 2.2. If the equality holds, then equality holds in (3.9), which implies $\Omega$ must be a unit ball. By Lemma 2.3 , for any domain $\Omega$ in $\mathbb{R}^{n}$, we get

$$
\begin{equation*}
\frac{1}{n-1} \sum_{i=1}^{n-1} \frac{1}{\Lambda_{i}(\Omega)} \geq \frac{1}{\Lambda_{1}\left(B_{\Omega}\right)} \tag{3.10}
\end{equation*}
$$

This completes the proof of Theorem 3.1.

Theorem 3.2 Let $\Omega$ be a bounded domain in an n-dimensional Euclidean space $\mathbb{R}^{n}$ and let $B_{\Omega}$ be the ball of same volume as $\Omega$, then the first $(n-1)$ eigenvalues of $(1.5)$ in $\Omega$ satisfy

$$
\begin{equation*}
\frac{1}{n-1} \sum_{i=1}^{n-1} \Lambda_{i}(\Omega) \leq\left(1-\eta_{n, \tau,|\Omega|} A^{2}(\Omega)\right) \Lambda_{1}\left(B_{\Omega}\right) \tag{3.11}
\end{equation*}
$$

Proof Case 1. $\Omega$ is a bounded domain in $\mathbb{R}^{n}$ of class $C^{1}$ with the same measure as the unit ball $B$. By a similar argument as in the proof of Theorem 3.1, we have

$$
\begin{equation*}
\Lambda_{i}(\Omega) \int_{\Omega} \varphi_{i}^{2} d x \leq \int_{\Omega}\left(\left|\nabla^{2} \varphi_{i}\right|^{2}+\tau\left|\nabla \varphi_{i}\right|^{2}\right) d x, \quad i=1, \ldots, n . \tag{3.12}
\end{equation*}
$$

Summing over $i$ from 1 to $n$, we have

$$
\begin{equation*}
\sum_{i=1}^{n} \Lambda_{i}(\Omega) \int_{\Omega} \varphi_{i}^{2} d x \leq \sum_{i=1}^{n} \int_{\Omega}\left(\left|\nabla^{2} \varphi_{i}\right|^{2}+\tau\left|\nabla \varphi_{i}\right|^{2}\right) d x=\int_{\Omega} N[\rho] d x \tag{3.13}
\end{equation*}
$$

Since $\sum_{i=1}^{n} \varphi_{i}^{2}=\rho^{2}$, for any point $p \in \Omega$, by a transformation of coordinates if necessary, we have $\varphi_{i}^{2} \leq \frac{\rho^{2}}{n-1}, i=1, \ldots, n$. Then

$$
\begin{align*}
\sum_{i=1}^{n} \Lambda_{i}(\Omega) \varphi_{i}^{2} & =\sum_{i=1}^{n-1} \Lambda_{i}(\Omega) \varphi_{i}^{2}+\Lambda_{n}(\Omega) \varphi_{n}^{2} \\
& =\sum_{i=1}^{n-1} \Lambda_{i}(\Omega) \varphi_{i}^{2}+\Lambda_{n}(\Omega)\left(\rho^{2}-\sum_{j=1}^{n-1} \varphi_{j}^{2}\right) \\
& \geq \sum_{i=1}^{n-1} \Lambda_{i}(\Omega) \varphi_{i}^{2}+\sum_{j=1}^{n-1} \Lambda_{j}\left(\frac{\rho^{2}}{n-1}-\varphi_{j}^{2}\right) \\
& =\sum_{i=1}^{n-1} \Lambda_{i} \frac{\rho^{2}}{n-1} \tag{3.14}
\end{align*}
$$

Substituting (3.13) into (3.14), we have

$$
\begin{equation*}
\frac{1}{n-1} \sum_{i=1}^{n-1} \Lambda_{i}(\Omega) \leq \frac{\int_{\Omega} N[\rho] d x}{\int_{\Omega} \rho^{2} d x} \tag{3.15}
\end{equation*}
$$

On the other hand, we have

$$
\begin{equation*}
\Lambda_{1}(B)=\frac{\int_{B} N[\rho] d x}{\int_{B} \rho^{2} d x} \tag{3.16}
\end{equation*}
$$

Combining (3.15) and (3.16), we have

$$
\begin{equation*}
\Lambda_{1}(B) \int_{B} \rho^{2} d x-\frac{1}{n-1} \sum_{i=1}^{n-1} \Lambda_{i}(\Omega) \int_{\Omega} \rho^{2} d x \geq \int_{B} N[\rho] d x-\int_{\Omega} N[\rho] d x \tag{3.17}
\end{equation*}
$$

From equation (16) in [4], we know that

$$
\Lambda_{1}(B) \int_{B} \rho^{2} d x-\Lambda_{1}(\Omega) \int_{\Omega} \rho^{2} d x \leq C_{n, \tau}^{(1)}\left(\Lambda_{1}(B)-\Lambda_{1}(\Omega)\right),
$$

where $C_{n, \tau}^{(1)}=n \omega_{n} \int_{0}^{1} \rho^{2}(r) r^{n-1} d r$. Then we have

$$
\begin{equation*}
\Lambda_{1}(B) \int_{B} \rho^{2} d x-\frac{1}{n-1} \sum_{i=1}^{n-1} \Lambda_{i}(\Omega) \int_{\Omega} \rho^{2} d x \leq C_{n, \tau}^{(1)}\left(\Lambda_{1}(B)-\frac{1}{n-1} \sum_{i=1}^{n-1} \Lambda_{i}(\Omega)\right) . \tag{3.18}
\end{equation*}
$$

From (15) and (20) in [4], we know that

$$
\Lambda_{1}(B) \int_{B} \rho^{2} d x-\Lambda_{1}(\Omega) \int_{\Omega} \rho^{2} d x \geq \int_{B / B_{1}} N(\rho) d x-\int_{B_{2} / B} N(\rho) d x,
$$

and

$$
\int_{B / B_{1}} N(\rho) d x-\int_{B_{2} / B} N(\rho) d x=C_{n, \tau}^{(2)} \alpha^{2},
$$

where $B_{1}$ and $B_{2}$ are two balls centered at the origin with radii $r_{1}, r_{2}$ such that $|\Omega \cap B|=$ $\left|B_{1}\right|=\omega_{n} r_{1}^{n}$ and $|\Omega / B|=\left|B_{2} / B\right|=\omega_{n}\left(r_{2}^{n}-1\right)$. Then we have

$$
\begin{equation*}
\int_{B} N[\rho] d x-\int_{\Omega} N[\rho] d x \geq C_{n, \tau}^{(2)} \frac{|\Omega \Delta B|}{|\Omega|}, \tag{3.19}
\end{equation*}
$$

where $C_{n, \tau}^{(2)}=n \omega_{n}\left((3+\tau)\left(R(1)-R^{\prime}(1)\right)^{2}+2 \tau R^{\prime}(1)\left(R(1)-R^{\prime}(1)\right)\right) c_{n}$.
Combining (3.18) and (3.19), we have

$$
\Lambda_{1}(B)-\frac{1}{n-1} \sum_{i=1}^{n-1} \Lambda_{i}(\Omega) \geq \frac{C_{n, \tau}^{(2)}}{C_{n, \tau}^{(1)}} A^{2}(\Omega)
$$

which implies that

$$
\begin{equation*}
\frac{1}{n-1} \sum_{i=1}^{n-1} \Lambda_{i}(\Omega) \leq \Lambda_{1}(B)\left(1-\frac{C_{n, \tau}^{(2)}}{\Lambda_{1}(B) C_{n, \tau}^{(1)}} A^{2}(\Omega)\right) \tag{3.20}
\end{equation*}
$$

Case 2. $\Omega$ is the generic domain in $\mathbb{R}^{n}$ of class $C^{1}$. Since

$$
\begin{equation*}
\Lambda_{i}(\tau, \Omega)=s^{4} \Lambda_{i}\left(s^{-2} \tau, s \Omega\right), \quad i=1, \ldots, n, \tag{3.21}
\end{equation*}
$$

for all $s>0$. Taking $s=\left(\omega_{n} /|\Omega|\right)^{\frac{1}{n}}$, for any domain $\Omega$ in $\mathbb{R}^{n}$ of class $C^{1}$, we infer from (3.21) that

$$
\begin{aligned}
\frac{1}{n-1} \sum_{i=1}^{n-1} \Lambda_{i}(\tau, \Omega) & =s^{4} \frac{1}{n-1} \sum_{i=1}^{n-1} \Lambda_{i}\left(s^{-2} \tau, s \Omega\right) \\
& \leq s^{4} \Lambda_{1}\left(s^{-2} \tau, B\right)\left(1-\frac{C_{n, s^{-2} \tau}^{(2)}}{\Lambda_{1}\left(s^{-2} \tau, B\right) C_{n, s^{-2} \tau}^{(1)}} A^{2}(s \Omega)\right) \\
& =\Lambda_{1}\left(s^{-2} \tau, B\right)\left(1-\frac{C_{n, s^{-2} \tau}^{(2)}}{\Lambda_{1}\left(s^{-2} \tau, B\right) C_{n, s^{-2} \tau}^{(1)}} A^{2}(\Omega)\right)
\end{aligned}
$$

Setting $\eta_{n, \tau,|\Omega|}=\frac{C_{n, s^{-2} \tau}^{(2)}}{\Lambda_{1}\left(s^{-2} \tau, B\right) C_{n, s^{-2} \tau}^{(1)}}$, we have (1.10). This completes the proof of Theorem 3.2.

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## Availability of data and materials

Not applicable.

## Competing interests

All authors declare that they have no competing interests.

## Authors' contributions

All authors have equal contribution. All authors read and approved the final manuscript.

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