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Gibbs phenomenon for p -ary subdivision schemes

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Abstract

When a Fourier series is used to approximate a function with a jump discontinuity, the Gibbs phenomenon always exists. This similar phenomenon exists for wavelet expansions. Based on the Gibbs phenomenon of a Fourier series and wavelet expansions of a function with a jump discontinuity, in this paper, we consider that a Gibbs phenomenon occurs for the p -ary subdivision schemes. Similar to the method of (Appl. Math. Lett. 76:157–163, 2018), we generalize the results about the stationary binary subdivision schemes in (Appl. Math. Lett. 76:157–163, 2018) to the case of p -ary subdivision schemes. By considering the masks of subdivision schemes, we obtain a sufficient condition to determine whether there exists a Gibbs phenomenon for p -ary subdivision schemes in the limit function close to the discontinuous point. This condition consists of the positivity of the partial sums of the values of the masks. By applying this condition, we can avoid the Gibbs phenomenon for p -ary subdivision schemes near discontinuity points. Finally, some examples in classical subdivision schemes are given to illustrate the results in this paper.

Keywords: Gibbs phenomenon; p -ary subdivision schemes; Wavelet expansions; Fourier series

1 Introduction

The Gibbs phenomenon means an overshoot of the approximation level for the partial sum of the Fourier series occurring at a simple discontinuity. It is well known that given an arbitrary continuous and periodic function $f(x)$, it is possible to represent it as a Fourier series. However, when a Fourier series is used to approximate a discontinuous or non-periodic function, an overshoot occurs at the discontinuity. This phenomenon is known as the Gibbs effect. The phenomenon was noticed by Michelson and further explained by Gibbs [2, 3].

To illustrate what is happening in the Gibbs phenomenon, let us examine the partial sums of a Fourier series. Let $f(x)$ be a 2-periodic function defined by

$$f(x) = \begin{cases} -1 & \text{for } -1 \leq x < 0, \\ 1 & \text{for } 0 \leq x < 1. \end{cases}$$

Let $s_n(x)$ be the n th partial sum of $f(x)$, then, for all $0 < x_1 < 1$, the partial sums of $f(x)$ at x_1 approach $f(x_1)$. However, if x is allowed to approach the discontinuity 0, $s_n(x)$ converges

to a number greater than 1 due to

$$\lim_{n \rightarrow \infty} s_n(\pi/2n) = \frac{2}{\pi} \int_0^\pi \frac{\sin t}{t} dt = 1.17898 \dots > 1.$$

See [2, 4, 5] for many references therein and further details of this phenomenon on Fourier series.

An analogue of the Gibbs phenomenon is shown in wavelet expansions. Let ϕ be a compactly supported orthogonal scaling function generating a multiresolution analysis (MRA) $\{V_k\}$ for $L^2(\mathbb{R})$. For a given $f(x)$ in $L^2(\mathbb{R})$, the orthogonal projections $P_k f$ of f onto the spaces V_k are given by $P_k f = \sum_{n \in \mathbb{Z}} \langle f, \phi_{k,n} \rangle \phi_{k,n}$. $P_k f$ converge to f in the $L^2(\mathbb{R})$ norm as $k \rightarrow \infty$. They form partial sums of the wavelets expansion of f associated with the given scaling function ϕ . Shim and Volkmer in [6] used a simple example to illustrate the existence of the Gibbs phenomenon in wavelet expansions. That is, let the scaling function be Shannon scaling function, f be a square integrable bounded function with a jump discontinuity, there exists a Gibbs phenomenon at discontinuous points. The Gibbs phenomenon for wavelets expansions is further studied in [6–13]. In 1991, Richards [7] showed a Gibbs phenomenon for periodic spline functions. By considering the multiresolution analysis, the Gibbs function can be expressed in terms of the scaling function. By considering the size of the wavelet kernel, Kelly [8] gave a necessary and sufficient condition to prove existence of the Gibbs phenomenon for some compactly supported wavelets. Karanikas [9] described this phenomenon in continuous wavelet analysis. Ruch and Fleet studied this phenomenon for scaling vectors and illustrated that via positive scaling vectors exhibit no Gibbs phenomenon in [10]. For the periodic wavelet frame series, Zhang analyzed integral representations of the partial sums, convergence, and the Gibbs phenomenon of this situation in [12].

Subdivision scheme is an efficient tool for the approximation of a given function. For convergent schemes starting from discrete sets of control points and using basic rules of low complexity, curves or surfaces can be obtained as limits of sequences of points generated by recursive application of the subdivision scheme. The question has arisen as to whether there exists a Gibbs phenomenon in the limit functions close to a discontinuity point. The analysis and study of the Gibbs phenomenon is basically based on wavelet expansions and Fourier series. For subdivision schemes, there is little work about this phenomenon. Amat et al. [14] studied a new nonlinear dyadic subdivision scheme and briefly analyzed the Gibbs phenomenon that could occur in the limit function coming from the sampling of discontinuous function. Amat et al. [1] obtained a sufficient condition to analyze the Gibbs phenomenon for a stationary binary subdivision scheme. It is natural to ask whether we can derive the existence of the Gibbs phenomenon for other types subdivision schemes. This motivates us to further study the Gibbs phenomenon for the subdivision schemes.

However, in several applications of signal analysis [15–18], especially in digital image processing, the existence of this phenomenon is considered to be a disadvantage and leads to informational distortion. There have been some attempts to remove this phenomenon [19–23]. It looks back at Fejér averaging of the essentials of the summability theory, which is of importance to one of the basic methods of removing the effect of the Gibbs phenomenon. Also, there are the Lanczos averaging filter and Vandeven filter [19, 20]. By

adding the Fourier coefficient of a Heaviside function, overshooting and distortional approximation near a point of discontinuity can be avoided in [21].

In this paper, we are concerned with the analysis of the Gibbs phenomenon for p -ary subdivision schemes. Based on the result of Amat in [1], we shall study the Gibbs phenomenon for p -ary subdivision schemes in the limit functions close to discontinuity zones. By analyzing the properties of the masks of the subdivision schemes, we present a sufficient condition to determine whether the Gibbs phenomenon occurs for the p -ary subdivision schemes near the discontinuous point. We also provide some numerical examples to validate our results.

The outline of the remainder of this paper is as follows. In Section 2, we introduce some basic definitions and facts about subdivision schemes and the Gibbs phenomenon. In Section 3, we obtain a sufficient condition to determine whether the Gibbs phenomenon occurs in p -ary subdivision schemes in the limit functions close to discontinuity zones. Section 4 provides some examples to validate our results. Section 5 concludes this paper.

2 Preliminaries

In this section, we recall some basic definitions and known facts about subdivision schemes and the Gibbs phenomenon, which form the basis of the rest of this paper.

Given a sequence of initial control points $f^0 = \{f_i^0 : i \in \mathbb{Z}\}$, the new control points $f^{k+1} = \{f_i^{k+1} : i \in \mathbb{Z}\}$ are iteratively refined with the refinement rule

$$(S_a f^k)_i = f_i^{k+1} = \sum_{j \in \mathbb{Z}} a_{i-pj} f_j^k, \quad i \in \mathbb{Z}, \tag{2.1}$$

where the integer $p \geq 2$ is called arity, $p = 2$ is called binary subdivision scheme, and the subdivision scheme is denoted by S_a . The sequence $a = \{a_j : j \in \mathbb{Z}\}$ is called subdivision mask. Generally speaking, the masks are always supposed to be finitely supported. The limit curve is uniquely determined by the initial control points and subdivision mask a .

For a given subdivision S_a , the vertexes f_{pi+l}^{k+1} are also calculated with

$$(S_a f^k)_{pi+l} = f_{pi+l}^{k+1} = \sum_{\gamma \in \mathbb{Z}} a_{p\gamma+l} f_{i-\gamma}^k, \quad i \in \mathbb{Z}, \tag{2.2}$$

for $0 \leq l \leq p - 1$.

The symbol of a subdivision scheme S_a is given by

$$a(z) = \sum_{j \in \mathbb{Z}} a_j z^j,$$

which is an important tool to study the properties of subdivision schemes. Set $a^{[k]}$ be the k iterated symbol, it is known that $a^{[k]}(z) = \prod_{l=1}^k a(z^{p^{l-1}})$ [24]. For $0 \leq l < p^k$, we have

$$\begin{aligned} f_{p^k i+l}^k &= (S_a f^{k-1})_{p^k i+l} = (S_a S_a f^{k-2})_{p^k i+l} = \dots \\ &= (S_a^k f^0)_{p^k i+l} = (S_{a^{[k]}} f^0)_{p^k i+l} = \sum_{\gamma \in \mathbb{Z}} a_{p^k \gamma+l}^{[k]} f_{i-\gamma}^0, \end{aligned} \tag{2.3}$$

with

$$a_j^{[k]} = \sum_{i \in \mathbb{Z}} a_i^{[k-1]} a_{j-pi}, \tag{2.4}$$

where $a_j^{[1]} = a_j$. Throughout this paper, we require the mask $a = \{a_j : j \in \mathbb{Z}\}$ satisfying

$$\begin{cases} -1 \leq a_j \leq 1, & M \leq j \leq M + N, \\ a_j = 0, & j > M + N \text{ or } j < M, \\ a_M, & a_{M+N} \neq 0, \end{cases} \tag{2.5}$$

where $M < 0$ and N are fixed integers with $N \geq 2$ and $M + N > 0$.

By attaching f_i^k to $\frac{i}{p^k}$ for $i \in \mathbb{Z}, k \geq 0$, we define the notion of convergence of the subdivision scheme. We say a stationary subdivision scheme S_a converges to a function $f \in C^0$, for any bounded initial control sequence $f^0 = \{f_i^0, i \in \mathbb{Z}\}$, if there exists a continuous function f such that

$$\lim_{k \rightarrow \infty} \left\| f\left(\frac{i}{p^k}\right) - f_i^k \right\|_{\infty} = 0, \tag{2.6}$$

denote $S_a^\infty f^0 = f$. In this case, we say the scheme S_a is C^0 convergent. We restrict our discussion to non-singular schemes for which $S_a^\infty f^0 \equiv 0$ if and only if $f^0 = 0$. Next, we review a necessary condition for the convergence of the subdivision scheme.

Theorem 2.1 *If a subdivision scheme S_a is convergent, then the mask a of this subdivision scheme satisfies*

$$\sum_{\gamma \in \mathbb{Z}} a_{p\gamma+l} = 1, \quad l = 0, 1, \dots, p - 1. \tag{2.7}$$

We recall the notion of parametrization which can be used to introduce the Gibbs phenomenon in the subdivision schemes. Usually, set

$$t_i^{(k)} := p^{-k}(i + c)h, \quad i \in \mathbb{Z}, c \in \mathbb{R}, k \geq 0, h > 0. \tag{2.8}$$

Following [25, 26], the parametrization (2.8) is called primal when $c = 0$ and dual when $c = -\frac{1}{2}$. It is well known that most of the properties of a subdivision scheme (e.g., convergence, generation, smoothness, or support size) do not depend on the choice of the parameter values $t_i^{(k)}$. However, with respect to the subdivision capability of reproducing specific classes of functions, $t_i^{(k)}$ plays a very important role. By choosing suitable c , the reproduction property of subdivision schemes can be improved and achieve the best.

An important issue in the implementation of subdivision algorithm is how to guarantee the approximation error to be as small as possible. The high quality algorithm is usually determined by the order of the approximation. Suppose that the given initial data f^0 is of the form $f^0 := \{f_i^0\}_{i \in \mathbb{Z}}$ with a smooth function f . Then our concern is to find the largest exponent $m > 0$ such that

$$\|f^\infty - f\|_{L^\infty(\Omega)} \leq B'h^m$$

with a constant $B' > 0$, where Ω is a compact set in R . The exponent m is called the approximation order of the subdivision scheme.

In this paper, we will impose on the scheme S_a satisfying the following condition: C1. For any $f \in C^n, n \geq 2$, and $h > 0$, with the initial data $f_i^0 = f(ih)$ (or $f((i - \frac{1}{2})h)$), $i \in \mathbb{Z}$, then

$$\max_{x \in \mathbb{R}} |(S_a^\infty f^0)(x) - f(x)| \leq Bh^n,$$

where B is a constant which depends on f , but it does not depend on h . In the following, we give a precise definition of what we mean by the Gibbs phenomenon for the subdivision schemes.

Definition 2.1 ([1]) For a given discontinuous function $f(x)$ with a jump discontinuity point ξ , its sampling f_h defined by $f_{i,h} = f(ih)$ (or $f_{i,h} = f((i - \frac{1}{2})h)$), let the subdivision scheme S_a be convergent, the Gibbs phenomenon can be delimited by the following two properties:

1. For any point x away from the discontinuity ξ , the convergence of $(S_a^\infty f_h)$ towards f is rather slow, that is,

$$|f(x) - (S_a^\infty f_h)(x)| = O(h). \tag{2.9}$$

2. For any point x close to the discontinuity ξ , there is an overshoot, that is,

$$\max_{x \in \mathbb{R}} |f(x) - (S_a^\infty f_h)(x)| \tag{2.10}$$

does not tend to zero when h goes to 0.

In fact, for any discontinuous function f with a jump discontinuity point ξ , the Gibbs phenomenon is related to the convergence of $(S_a^\infty f_h)$ towards f when h goes to 0.

3 Main results

In this section, we focus on the Gibbs phenomenon for p -ary subdivision schemes. By directly considering the masks of p -ary subdivision schemes, we present the following theorem to obtain the sufficient conditions to avoid the Gibbs phenomenon in p -ary subdivision schemes.

Theorem 3.1 For given $\xi(0 \leq \xi \leq h)$, let f be any discontinuous function with a jump discontinuity point ξ defined by

$$f(x) = \begin{cases} f_-(x), & f_- \in C^n([-\infty, \xi]), \quad \forall x \leq \xi, \\ f_+(x), & f_+ \in C^n([\xi, +\infty]), \quad \forall x > \xi, \end{cases}$$

with $n \geq 2$ and $f_-(\xi) > f_+(\xi)$. Let S_a be a convergent p -ary subdivision scheme with mask a satisfying condition (2.5), and h be a sufficiently small positive number. We have

- (1). If $|x| \geq \max\{|\frac{1}{p-1}(M - p + 1)|, |\frac{1}{p-1}(M + N) + 1|\}h$, then

$$|f(x) - (S_a^\infty f_h)(x)| = O(h^n)$$

with $n \geq 2$.

(2). If $|x| \leq \max\{|\frac{1}{p-1}(M-p+1)|, |\frac{1}{p-1}(M+N)+1|\}h$, let

$$\lambda_l^{[k]}(i) = \begin{cases} \sum_{\tau \leq i} a_{p^k \tau + l}^{[k]}, & i < 0, \\ 0, & i = 0, \\ \sum_{\tau \geq i} a_{p^k \tau + l}^{[k]}, & i > 0, \end{cases} \tag{3.1}$$

$0 \leq l < p^k$, where $a^{[k]}$ is defined in Eq. (2.4). If $\lambda_l^{[k]}(i) \geq 0, \forall i, k$, then there exist $\alpha_h = O(h)$ such that

$$f_{1,h} - \alpha_h \leq f_+(h) - \alpha_h \leq (S_a^\infty f_h)(x) \leq f_-(0) + \alpha_h = f_{0,h} + \alpha_h. \tag{3.2}$$

Proof For any iteration k , there exist P_k^-, P_k^+ such that, for all $i \notin [P_k^-, P_k^+]$, the evaluation $(S_a^{k+1} f_h)_{pi+l}, 0 \leq l < p$ is applied starting from regular data, that is, $i \notin [P_k^-, P_k^+]$, the Gibbs phenomenon does not appear. So, we only consider the Gibbs phenomenon in $i \in [P_k^-, P_k^+]$.

(1). Let us firstly consider $k = 0$. As $0 \leq \xi \leq h$, the discontinuity is between the values $f_{0,h}$ and $f_{1,h}$, then by Eq. (2.2), we have $i - \gamma = 0$ and $i - \gamma = 1$, these values are used. We only have to determine the values $a_{pi+l}, a_{p(i-1)+l}, 0 \leq l < p$. By considering the condition of the mask a in (2.5), we have

$$P_0^- = \frac{M-p+1}{p}, \quad P_0^+ = \frac{M+N+p}{p}. \tag{3.3}$$

For $k = 1$, the points calculated using $f_{0,h}$ and $f_{1,h}$ at level $k = 1$ are $i \in [P_0^-, P_0^+]$. Because

$$(S_a f)_{pi+l} = \sum_{\gamma \in \mathbb{Z}} a_{p\gamma+l} f_{i-\gamma,h} = \sum_{\gamma \in \mathbb{Z}} a_{p\gamma+l} (S_a f_h^0)_{i-\gamma,h}, \tag{3.4}$$

so, $pi+l \in [pP_0^-, pP_0^+]$, that is, $i-\gamma \in [pP_0^-, pP_0^+], \gamma \in [i-pP_0^+, i-pP_0^-]$. Thus we have

$$\begin{cases} p\gamma \in [pi - p^2 P_0^+, pi - p^2 P_0^-], \\ p\gamma + 1 \in [pi - p^2 P_0^+ + 1, pi - p^2 P_0^- + 1], \\ \dots \\ p\gamma + p - 1 \in [pi - p^2 P_0^+ + p - 1, pi - p^2 P_0^- + p - 1]. \end{cases}$$

By the condition of the mask a in (2.5), we have

$$P_1^- = \frac{M + p^2 P_0^- - p + 1}{p} = pP_0^- + P_0^-, \quad P_1^+ = \frac{M + N + p^2 P_0^+}{p}.$$

By induction, we have

$$\begin{aligned} P_k^- &= P_0^- + pP_{k-1}^- \\ &= P_0^- + p(P_0^- + pP_{k-2}^-) = P_0^- + pP_0^- + p^2 P_{k-2}^- \\ &= \dots = P_0^- + pP_0^- + p^2 P_0^- + \dots + p^k P_0^- \\ &= \frac{1}{p-1} (p^{k+1} - 1) \frac{M-p+1}{p}. \end{aligned}$$

By using the same method, we have

$$P_k^+ = \frac{1}{p-1} (p^{k+1} - 1) \frac{M+N}{p} + p^k.$$

$\forall i \in [P_k^-, P_k^+]$, considering primal parametrization of the p -ary subdivision scheme in (2.8). Then, for condition (C1) and

$$|x| \geq \max \left\{ \left| \frac{1}{p-1} (M-p+1) \right|, \left| \frac{1}{p-1} (M+N)+1 \right| \right\} h,$$

we have

$$|f(x) - (S_a^\infty f_h)(x)| = O(h^n)$$

with $n \geq 2$. Next, we analyze the Gibbs phenomenon for a p -ary subdivision scheme satisfying

$$|x| \leq \max \left\{ \left| \frac{1}{p-1} (M-p+1) \right|, \left| \frac{1}{p-1} (M+N)+1 \right| \right\} h.$$

(2). Analogous to the proof of (1), we consider an induction process to prove this part.

For $k = 1$,

$$\begin{aligned} (S_a f_h)_{pi} &= \sum_{\gamma \in \mathbb{Z}} a_{p\gamma} f_{i-\gamma} = a_0 f_{i,h} + \sum_{\gamma \leq -1} a_{p\gamma} f_{i-\gamma,h} + \sum_{\gamma \geq 1} a_{p\gamma} f_{i-\gamma,h} \\ &= f_{i,h} - \left(\sum_{\tau \leq -1} a_{p\tau} + \sum_{\tau \geq 1} a_{p\tau} \right) f_{i,h} + [a_{-p} f_{i+1,h} + a_{-2p} f_{i+2,h} + \dots + a_{-p\gamma} f_{i+\gamma,h} + \dots] \\ &\quad + [a_p f_{i-1,h} + a_{2p} f_{i-2,h} + \dots + a_{p\gamma} f_{i-\gamma,h} + \dots] \\ &= f_{i,h} - \left(\sum_{\tau \leq -1} a_{p\tau} + \sum_{\tau \geq 1} a_{p\tau} \right) f_{i,h} + \left[\sum_{\tau \leq -1} a_{p\tau} f_{i+1,h} - \sum_{\tau \leq -2} a_{p\tau} f_{i+1,h} \right. \\ &\quad \left. + \sum_{\tau \leq -2} a_{p\tau} f_{i+2,h} - \sum_{\tau \leq -3} a_{p\tau} f_{i+2,h} + \dots + \sum_{\tau \leq -\gamma} a_{p\tau} f_{i+\gamma,h} - \sum_{\tau \leq -\gamma-1} a_{p\tau} f_{i+\gamma,h} + \dots \right] \\ &\quad + \left[\sum_{\tau \geq 1} a_{p\tau} f_{i-1,h} - \sum_{\tau \geq 2} a_{p\tau} f_{i-1,h} + \sum_{\tau \geq 2} a_{p\tau} f_{i-2,h} - \sum_{\tau \geq 3} a_{p\tau} f_{i-2,h} + \dots \right. \\ &\quad \left. + \sum_{\tau \geq \gamma} a_{p\tau} f_{i-\gamma,h} - \sum_{\tau \geq \gamma+1} a_{p\tau} f_{i-\gamma,h} + \dots \right] \\ &= f_{i,h} + \sum_{\gamma \leq -1} \left(\sum_{\tau \leq \gamma} a_{p\tau} \right) (f_{i-\gamma,h} - f_{i-\gamma-1,h}) + \sum_{\gamma \geq 1} \left(\sum_{\tau \geq \gamma} a_{p\tau} \right) (f_{i-\gamma,h} - f_{i-\gamma+1,h}) \\ &= f_{i,h} + \sum_{\gamma \leq -1} \lambda_0(\gamma) (f_{i-\gamma,h} - f_{i-\gamma-1,h}) + \sum_{\gamma \geq 1} \lambda_0(\gamma) (f_{i-\gamma,h} - f_{i-\gamma+1,h}). \\ (S_a f_h)_{pi+1} &= \sum_{\gamma \in \mathbb{Z}} a_{p\gamma+1} f_{i-\gamma} = a_1 f_{i,h} + \sum_{\gamma \leq -1} a_{p\gamma+1} f_{i-\gamma,h} + \sum_{\gamma \geq 1} a_{p\gamma+1} f_{i-\gamma,h} \\ &= f_{i,h} - \left(\sum_{\tau \leq -1} a_{p\tau+1} + \sum_{\tau \geq 1} a_{p\tau+1} \right) f_{i,h} + [a_{-p+1} f_{i+1,h} + a_{-2p+1} f_{i+2,h} \end{aligned}$$

$$\begin{aligned}
 & + \cdots + a_{-p\gamma+1}f_{i+\gamma,h} + \cdots] + [a_{p+1}f_{i-1,h} + a_{2p+1}f_{i-2,h} + \cdots \\
 & + a_{p\gamma+1}f_{i-\gamma,h} + \cdots] \\
 = & f_{i,h} - \left(\sum_{\tau \leq -1} a_{p\tau+1} + \sum_{\tau \geq 1} a_{p\tau+1} \right) f_{i,h} + \left[\sum_{\tau \leq -1} a_{p\tau+1}f_{i+1,h} - \sum_{\tau \leq -2} a_{p\tau+1}f_{i+1,h} \right. \\
 & + \sum_{\tau \leq -2} a_{p\tau+1}f_{i+2,h} - \sum_{\tau \leq -3} a_{p\tau+1}f_{i+2,h} + \cdots + \sum_{\tau \leq -\gamma} a_{p\tau+1}f_{i+\gamma,h} \\
 & \left. - \sum_{\tau \leq -\gamma-1} a_{p\tau+1}f_{i+\gamma,h} + \cdots \right] \\
 & + \left[\sum_{\tau \geq 1} a_{p\tau+1}f_{i-1,h} - \sum_{\tau \geq 2} a_{p\tau+1}f_{i-1,h} + \sum_{\tau \geq 2} a_{p\tau+1}f_{i-2,h} - \sum_{\tau \geq 3} a_{p\tau+1}f_{i-2,h} + \cdots \right. \\
 & \left. + \sum_{\tau \geq \gamma} a_{p\tau+1}f_{i-\gamma,h} - \sum_{\tau \geq \gamma+1} a_{p\tau+1}f_{i-\gamma,h} + \cdots \right] \\
 = & f_{i,h} + \sum_{\gamma \leq -1} \left(\sum_{\tau \leq \gamma} a_{p\tau+1} \right) (f_{i-\gamma,h} - f_{i-\gamma-1,h}) + \sum_{\gamma \geq 1} \left(\sum_{\tau \geq \gamma} a_{p\tau+1} \right) (f_{i-\gamma,h} - f_{i-\gamma+1,h}) \\
 = & f_{i,h} + \sum_{\gamma \leq -1} \lambda_1(\gamma)(f_{i-\gamma,h} - f_{i-\gamma-1,h}) + \sum_{\gamma \geq 1} \lambda_1(\gamma)(f_{i-\gamma,h} - f_{i-\gamma+1,h}).
 \end{aligned}$$

Analogously, for $(S_{afh})_{pi+2}, (S_{afh})_{pi+3}, \dots, (S_{afh})_{pi+(p-1)}$, we have

$$(S_{afh})_{pi+l} = f_{i,h} + \sum_{\gamma \leq -1} \lambda_l(\gamma)(f_{i-\gamma,h} - f_{i-\gamma-1,h}) + \sum_{\gamma \geq 1} \lambda_l(\gamma)(f_{i-\gamma,h} - f_{i-\gamma+1,h}),$$

where

$$\lambda_l(i) = \begin{cases} \sum_{\tau \leq i} a_{p\tau+l}, & i < 0, \\ 0, & i = 0, \\ \sum_{\tau \geq i} a_{p\tau+l}, & i > 0, l = 0, 1, \dots, p-1. \end{cases}$$

If $i \leq 0$, then

$$\begin{aligned}
 (S_{afh})_{pi+l} & = f_{i,h} + \sum_{\gamma \leq -1} \lambda_l(\gamma)(f_{i-\gamma,h} - f_{i-\gamma-1,h}) + \sum_{\gamma \geq 1} \lambda_l(\gamma)(f_{i-\gamma,h} - f_{i-\gamma+1,h}) \\
 & = f_{i,h} + \lambda_l(i-1)(f_{1,h} - f_{0,h}) + \sum_{\gamma \leq -1, \gamma \neq i-1} \lambda_l(\gamma)(f_{i-\gamma,h} - f_{i-\gamma-1,h}) \\
 & \quad + \sum_{\gamma \geq 1} \lambda_l(\gamma)(f_{i-\gamma,h} - f_{i-\gamma+1,h}) \\
 & = f_{i,h} + \lambda_l(i-1)(f_{1,h} - f_{0,h}) + O(h) \\
 & = f_{0,h} + \lambda_l(i-1)(f_{1,h} - f_{0,h}) + f_{i,h} - f_{0,h} + O(h).
 \end{aligned}$$

If $i > 0$, then

$$(S_{afh})_{pi+l} = f_{i,h} + \lambda_l(i)(f_{0,h} - f_{1,h}) + \sum_{\gamma \leq -1} \lambda_l(\gamma)(f_{i-\gamma,h} - f_{i-\gamma-1,h})$$

$$\begin{aligned}
 &+ \sum_{\gamma \geq 1, \gamma \neq i} \lambda_l(\gamma)(f_{i-\gamma,h} - f_{i-\gamma+1,h}) \\
 &= f_{i,h} + \lambda_l(i)(f_{0,h} - f_{1,h}) + O(h) \\
 &= f_{1,h} + \lambda_l(i)(f_{0,h} - f_{1,h}) + f_{i,h} - f_{1,h} + O(h).
 \end{aligned}$$

Since $\lambda_l(i) \geq 0, \forall i$, and $f_{0,h} - f_{1,h} > 0$, we obtain that if $i \in [P_1^-, P_1^+]$,

$$f_{1,h} - O(h) \leq (S_a f_h)_{pi+l} \leq f_{0,h} + O(h).$$

If $k = 2$, according to (2.3), we get

$$f_{p^2 i+l}^2 = (S_a^2 f^0)_{p^2 i+l} = (S_{a^{[2]}} f^0)_{p^2 i+l} = \sum_{\gamma \in \mathbb{Z}} a_{p^2 \gamma+l}^{[2]} f_{i-\gamma}^0. \tag{3.5}$$

When $l = 0$, by applying (2.4), we obtain

$$a_{p^2 \tau}^{[2]} = \sum_{i \in \mathbb{Z}} a_i a_{p^2 \tau - pi}.$$

Let $p^2 \tau - pi = pj$, we have

$$\begin{aligned}
 \sum_{\tau \in \mathbb{Z}} a_{p^2 \tau}^{[2]} &= a_0^{[2]} + \sum_{\tau \leq -1} a_{p^2 \tau}^{[2]} + \sum_{\tau \geq 1} a_{p^2 \tau}^{[2]} = \sum_{\tau \in \mathbb{Z}} a_{p\tau-j} \sum_{j \in \mathbb{Z}} a_{pj} = 1, \\
 (S_a^2 f_h)_{p^2 i} &= a_0^{[2]} f_{i,h} + \sum_{\gamma \leq -1} a_{p^2 \gamma}^{[2]} f_{i-\gamma,h} + \sum_{\gamma \geq 1} a_{p^2 \gamma}^{[2]} f_{i-\gamma,h} \\
 &= f_{i,h} \left(1 - \sum_{\tau \geq 1} a_{p^2 \tau}^{[2]} - \sum_{\tau \leq -1} a_{p^2 \tau}^{[2]} \right) + (a_{-p^2}^{[2]} f_{i+1,h} + a_{-2p^2}^{[2]} f_{i+2,h} + \dots \\
 &\quad + a_{\gamma p^2}^{[2]} f_{i-\gamma,h} + \dots) + (a_{p^2}^{[2]} f_{i-1,h} + a_{2p^2}^{[2]} f_{i-2,h} + \dots + a_{\gamma p^2}^{[2]} f_{i-\gamma,h} + \dots) \\
 &= f_{i,h} \left(1 - \sum_{\tau \geq 1} a_{p^2 \tau}^{[2]} - \sum_{\tau \leq -1} a_{p^2 \tau}^{[2]} \right) + \left(\sum_{\tau \leq -1} a_{p^2 \tau}^{[2]} f_{i+1,h} - \sum_{\tau \leq -2} a_{p^2 \tau}^{[2]} f_{i+1,h} \right. \\
 &\quad + \sum_{\tau \leq -2} a_{p^2 \tau}^{[2]} f_{i+2,h} - \sum_{\tau \leq -3} a_{p^2 \tau}^{[2]} f_{i+2,h} + \dots + \sum_{\tau \leq \gamma} a_{p^2 \tau}^{[2]} f_{i-\gamma,h} \\
 &\quad \left. - \sum_{\tau \leq \gamma-1} a_{p^2 \tau}^{[2]} f_{i-\gamma,h} + \dots \right) + \left(\sum_{\tau \geq 1} a_{p^2 \tau}^{[2]} f_{i-1,h} - \sum_{\tau \geq 2} a_{p^2 \tau}^{[2]} f_{i-1,h} \right. \\
 &\quad + \sum_{\tau \geq 2} a_{p^2 \tau}^{[2]} f_{i-2,h} - \sum_{\tau \geq 3} a_{p^2 \tau}^{[2]} f_{i-2,h} + \dots + \sum_{\tau \geq \gamma} a_{p^2 \tau}^{[2]} f_{i-\gamma,h} \\
 &\quad \left. - \sum_{\tau \geq \gamma+1} a_{p^2 \tau}^{[2]} f_{i-\gamma,h} + \dots \right) \\
 &= f_{i,h} + \sum_{\gamma \leq -1} \left(\sum_{\tau \leq \gamma} a_{p^2 \tau}^{[2]} \right) (f_{i-\gamma,h} - f_{i-\gamma-1,h}) + \sum_{\gamma \geq 1} \left(\sum_{\tau \geq \gamma} a_{p^2 \tau}^{[2]} \right) (f_{i-\gamma,h} - f_{i-\gamma+1,h}) \\
 &= f_{i,h} + \sum_{\gamma \leq -1} \lambda_0^{[2]}(\gamma)(f_{i-\gamma,h} - f_{i-\gamma-1,h}) + \sum_{\gamma \geq 1} \lambda_0^{[2]}(\gamma)(f_{i-\gamma,h} - f_{i-\gamma+1,h}),
 \end{aligned}$$

where

$$\lambda_0^{[2]}(i) = \begin{cases} \sum_{\tau \leq i} a_{p^{2\tau}}^{[2]}, & i < 0, \\ 0, & i = 0, \\ \sum_{\tau \geq i} a_{p^{2\tau}}^{[2]}, & i > 0. \end{cases}$$

By using the analogous method, for $(S_a^2 f_h)_{p^{2i+1}}, (S_a^2 f_h)_{p^{2i+2}}, \dots, (S_a^2 f_h)_{p^{2i+p-1}}$, we have analogous results. So, for all $l, 0 \leq l \leq p - 1$, if $\lambda_l^{[2]}(i) \geq 0$, we can obtain

$$f_{1,h} - O(h) \leq (S_a^2 f_h)_{p^{2i+l}} \leq f_{0,h} + O(h).$$

For all k , by using an induction process, we have the following results.

If $\lambda_l^{[k]}(i) \geq 0, \forall i, k$, there exist $\alpha_h = O(h)$ such that

$$f_{1,h} - \alpha_h \leq f_+(h) - \alpha_h \leq (S_a^\infty f_h)(x) \leq f_-(0) + \alpha_h = f_{0,h} + \alpha_h. \tag{3.6}$$

This completes the proof of this theorem. □

By analyzing the proof of Theorem 3.1, we can find that, for all k and $i \in [P_k^-, P_k^+]$, if $\lambda_l^{[k]}(i) \geq 0, \forall i, k$, Eq. (3.2) is true. Because $\lambda_l^{[k]}(i)$ is related to the partial sums of the values of the masks. So we have the following corollary.

Corollary 3.1 *Let S_a be a convergent p -ary subdivision scheme with mask $a = \{a_M, \dots, a_{M+N}\}$. If $a_j > 0, \forall M \leq j \leq M + N$, then S_a does not produce the Gibbs phenomenon in the limit function close to discontinuity zones.*

In the next section, we will show four examples to demonstrate that our results are efficient.

4 Example

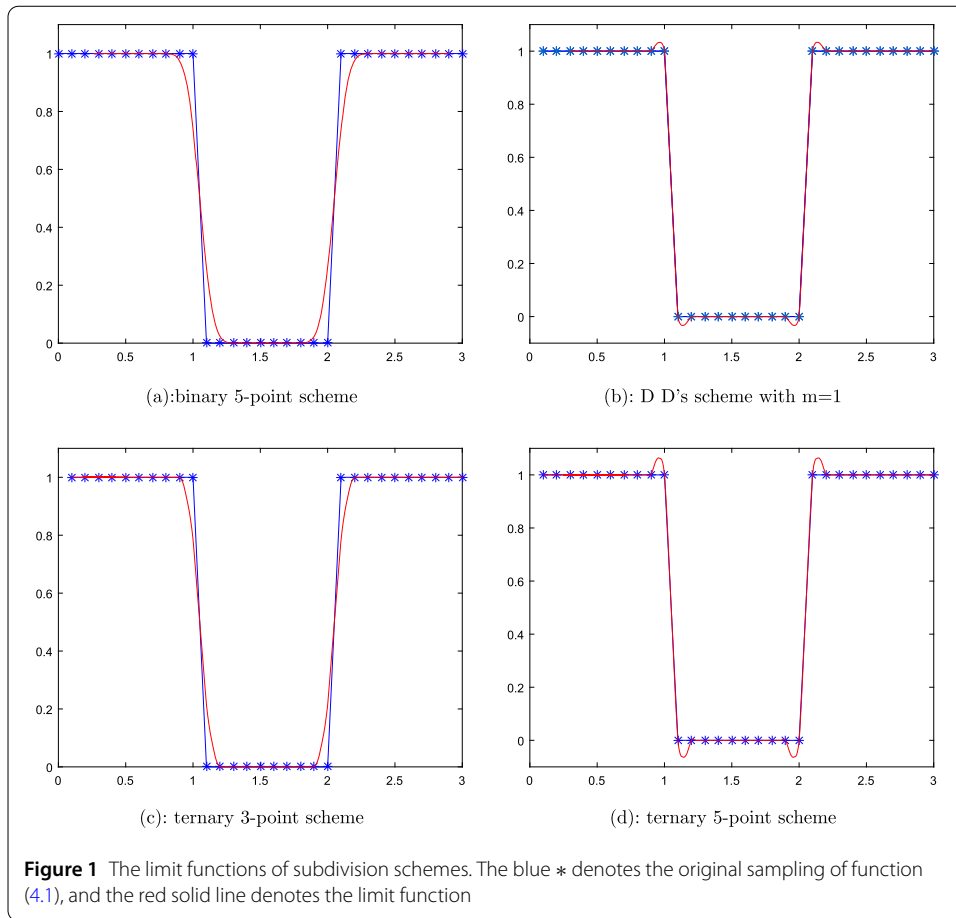
In this section, we show the Gibbs phenomena in four classical subdivision schemes to illustrate the results of this paper. We consider the limit function obtained in some typical subdivision schemes when the initial data is a sampling of the following function:

$$g(x) = \begin{cases} 1, & x \in [0, 1], \\ 0, & x \in (1, 2], \\ 1, & x \in (2, 3]. \end{cases} \tag{4.1}$$

We take the binary 5-point approximating scheme in [27]:

$$\begin{cases} f_{2i}^{k+1} = \frac{u}{128} f_{i-2}^k + (\frac{u}{32} + \frac{3}{16}) f_{i-1}^k + (\frac{5}{8} - \frac{5u}{64}) f_i^k + (\frac{u}{32} + \frac{3}{16}) f_{i+1}^k + \frac{u}{128} f_{i+2}^k, \\ f_{2i+1}^{k+1} = (\frac{u}{32} + \frac{1}{32}) f_{i-1}^k + (\frac{15}{32} - \frac{u}{32}) f_i^k + (\frac{15}{32} - \frac{u}{32}) f_{i+1}^k + (\frac{u}{32} + \frac{1}{32}) f_{i+2}^k. \end{cases}$$

According to Corollary 3.1, because of the positivity of the masks of the binary 5-point scheme with $u = 1$, this scheme does not produce the Gibbs phenomenon in the limit functions close to discontinuity zones. The result for this scheme is shown by Fig. 1(a).



We consider the symmetric interpolatory scheme designed by Deslauriers and Dubuc in [28], when taking $m = 2$:

$$\begin{cases} f_{2i}^{k+1} = f_i^k, \\ f_{2i+1}^{k+1} = -\frac{1}{16}f_{i-1}^k + \frac{9}{16}f_i^k + \frac{9}{16}f_{i+1}^k - \frac{1}{16}f_{i+2}^k. \end{cases}$$

It produces the Gibbs phenomenon in the limit function close to discontinuity zones. In the numerical experiments we can clearly observe the Gibbs phenomenon, see Fig. 1(b).

Next, we will consider the ternary schemes.

We take the 3-point ternary subdivision scheme [29]:

$$\begin{cases} f_{3i}^{k+1} = \frac{3}{8}f_{i-1}^k + \frac{1}{2}f_i^k + \frac{1}{8}f_{i+1}^k, \\ f_{3i+1}^{k+1} = \frac{3}{16}f_{i-1}^k + \frac{5}{8}f_i^k + \frac{3}{16}f_{i+1}^k, \\ f_{3i+2}^{k+1} = \frac{1}{8}f_{i-1}^k + \frac{1}{2}f_i^k + \frac{3}{8}f_{i+1}^k. \end{cases}$$

Analogous to the binary schemes, according to Corollary 3.1, because of the positivity of the masks of the 3-point ternary scheme, it is easy to see that this scheme does not produce the Gibbs phenomenon; this result is shown in Fig. 1(c).

We take the interpolating 5-point ternary subdivision scheme [30]:

$$\begin{cases} f_{3i-1}^{k+1} = (\omega - \frac{4}{81})f_{i-2}^k + (-4\omega + \frac{10}{27})f_{i-1}^k + (6\omega + \frac{20}{27})f_i^k - (4\omega + \frac{5}{81})f_{i+1}^k + \omega f_{i+2}^k, \\ f_{3i}^{k+1} = f_i^k, \\ f_{3i+1}^{k+1} = \omega f_{i-2}^k - (4\omega + \frac{5}{81})f_{i-1}^k + (6\omega + \frac{20}{27})f_i^k + (-4\omega + \frac{10}{27})f_{i+1}^k + (\omega - \frac{4}{81})f_{i+2}^k. \end{cases}$$

For the interpolating 5-point ternary subdivision scheme, when $\omega = 0$, it can produce Gibbs oscillations, see Fig. 1(d).

5 Conclusions

In this paper, we analyzed that a Gibbs phenomenon occurs for the p -ary subdivision schemes in the limit function close to discontinuity zones. A sufficient condition was presented to determine whether the p -ary subdivision scheme produces the Gibbs phenomenon close to discontinuities. This condition consists of the positivity of the partial sums of the values of the mask. By analyzing the properties of the masks of the subdivision schemes, we demonstrated how the Gibbs phenomenon can be removed from the p -ary subdivision schemes. Furthermore, in the numerical experiments we analyzed this phenomenon in classical subdivision schemes such as binary 5-point scheme, Deslauriers and Dubuc interpolation subdivision scheme, ternary 3-point, and ternary 5-point schemes. The numerical results validated that our results are efficient. In the future, we will focus on the Gibbs phenomenon and its resolution in other subdivision schemes, and we hope to give a common and uniform condition to remove this phenomenon.

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Competing interests

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Authors' contributions

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