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Spectral properties of an impulsive Sturm–Liouville operator

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Abstract

This work is devoted to discuss some spectral properties and the scattering function of the impulsive operator generated by the Sturm–Liouville equation. We present a different method to investigate the spectral singularities and eigenvalues of the mentioned operator. We also obtain the finiteness of eigenvalues and spectral singularities with finite multiplicities under some certain conditions. Finally, we illustrate our results by a detailed example.

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1 Introduction

In this paper, we consider the Sturm–Liouville equation on the semi axis

$$-y'' + q(x)y = \lambda^2 \rho(x)y, \quad x \in [0, \infty), \quad (1.1)$$

with boundary condition

$$y(0) = 0, \quad (1.2)$$

where λ is a spectral parameter, and ρ is the density function. There is a comprehensive literature on the spectral theory of boundary value problem (1.1)–(1.2) for $\rho = 1$. In particular, the spectral analysis of the problem having discrete and continuous spectrum was begun by Naimark [1] for $\rho = 1$. He proved that some poles of the resolvent kernel are not the eigenvalues of the operator. He also showed that those poles, which are called spectral singularities by Schwartz [2], are a mathematical obstruction for the completeness of the eigenvectors and are embedded in the continuous spectrum. Pavlov [3] established the dependence of the structure of the spectral singularities of the differential operator on the behavior of the potential function at infinity. So far, a large number of problems related to the spectral analysis of differential and some other types of operators with spectral singularities have been investigated [4–10]. As is well known, the Sturm–Liouville equation (1.1) has a bounded solution satisfying the condition

$$\lim_{x \rightarrow \infty} e(x, \lambda) e^{-i\lambda x} = 1,$$

where

$$\lambda \in \overline{\mathbb{C}}_+ := \{\lambda \in \mathbb{C} : \text{Im } \lambda \geq 0\},$$

and $e(x, \lambda)$ is the Jost solution of (1.1) and has the integral representation

$$e(x, \lambda) = e^{i\lambda x} + \int_x^\infty K(x, t)e^{i\lambda t} dt, \quad \lambda \in \overline{\mathbb{C}}_+, \tag{1.3}$$

under the condition

$$\int_0^\infty x|q(x)| dx < \infty, \tag{1.4}$$

where $K(x, t)$ is defined by the potential function q [11, 12].

Furthermore, boundary value problems with discontinuities inside an interval have great interest in mathematical physics and quantum mechanics. To solve interior discontinuities, some extra conditions are imposed on the discontinuous point, which are often called interface conditions, point interactions, transmission conditions, and impulsive conditions. The theory of impulsive differential equations were studied in applied mathematics in detail [13, 14]. A great number of authors studied the spectral theory of impulsive differential equations [15–18]. Moreover, the physical meaning and potential applications of spectral singularities of impulsive differential equations have been understood quite recently [19, 20]. Especially in [21], the author provided the physical meanings of eigenvalues and spectral singularities of the Schrödinger equation at a single point. Such problems have been widely studied for impulsive differential operators on the whole axis.

In this work, we are concerned with the impulsive Sturm–Liouville operator on the semi axis. The density function ρ and impulsive condition make the spectral analysis of operator quite difficult, but by determining a transfer matrix we can obtain some spectral properties.

2 Statement of the problem

Let us introduce the Sturm–Liouville operator L in $L^2[0, \infty)$ generated by the equation

$$-y'' + q(x)y = \lambda^2 \rho(x)y, \quad x \in [0, x_0) \cup (x_0, \infty), \tag{2.1}$$

with the boundary condition

$$y(0) = 0 \tag{2.2}$$

and the impulsive condition

$$\begin{bmatrix} y(x_0^+) \\ y'(x_0^+) \end{bmatrix} = B \begin{bmatrix} y(x_0^-) \\ y'(x_0^-) \end{bmatrix}, \quad B = \begin{bmatrix} \alpha_1 & \alpha_2 \\ \alpha_3 & \alpha_4 \end{bmatrix}, \tag{2.3}$$

where $\alpha_1, \alpha_2, \alpha_3, \alpha_4$ are complex numbers such that $\det B \neq 0$, λ is a spectral parameter, x_0 is a positive real constant, and the real-valued potential function q satisfies the condition

$$\int_0^\infty x|q(x)| dx < \infty. \tag{2.4}$$

The density function ρ has the form

$$\rho(x) = \begin{cases} \beta^2, & 0 \leq x < x_0, \\ 1, & x > x_0, \end{cases} \tag{2.5}$$

where $\beta \in \mathbb{R} \setminus (-1, 1)$.

Note that $x = x_0$ is the impulsive point of problem (2.1)–(2.3), and the matrix B is used to continue the solution of (2.1) from $[0, x_0)$ to (x_0, ∞) .

Furthermore, we denote the solutions of equation (2.1) by y_- and y_+ , respectively:

$$\begin{cases} y_-(x) := y(x), & 0 \leq x < x_0, \\ y_+(x) := y(x), & x > x_0. \end{cases}$$

It is known that $S(x, \lambda^2)$ and $C(x, \lambda^2)$ are the fundamental solutions of (2.1) in the interval $[0, x_0)$ fulfilling the conditions

$$S(0, \lambda^2) = 0, \quad S'(0, \lambda^2) = 1$$

and

$$C(0, \lambda^2) = 1, \quad C'(0, \lambda^2) = 0,$$

respectively. The solutions $S(x, \lambda^2)$ and $C(x, \lambda^2)$ can be expressed in the form

$$S(x, \lambda^2) = \frac{\sin \lambda \beta x}{\lambda \beta} + \int_0^x P(x, t) \frac{\sin \lambda \beta t}{\lambda \beta} dt \tag{2.6}$$

and

$$C(x, \lambda^2) = \cos \lambda \beta x + \int_0^x Q(x, t) \cos \lambda \beta t dt, \tag{2.7}$$

where the kernel functions $P(x, t)$ and $Q(x, t)$ can be given in terms of the potential function q [11]. Besides, $S(x, \lambda^2)$ and $C(x, \lambda^2)$ are entire functions of λ , and

$$W[S(x, \lambda^2), C(x, \lambda^2)] = -1, \quad \lambda \in \mathbb{C},$$

where $W[y_1, y_2]$ denotes the Wronskian of the solutions y_1 and y_2 of equation (2.1).

On the other hand, (2.1) admits another solution

$$e(x, -\lambda) = e^{-i\lambda x} + \int_x^\infty K(x, t) e^{-i\lambda t} dt, \quad \lambda \in \overline{\mathbb{C}}_-, \tag{2.8}$$

in (x_0, ∞) , fulfilling the asymptotic condition

$$\lim_{x \rightarrow \infty} e(x, -\lambda) e^{i\lambda x} = 1,$$

where $\lambda \in \overline{\mathbb{C}}_- := \{\lambda \in \mathbb{C} : \text{Im } \lambda \leq 0\}$. Obviously,

$$W[e(x, \lambda), e(x, -\lambda)] = -2i\lambda, \quad \lambda \in \mathbb{R} \setminus \{0\}.$$

Also, $K(x, t)$ satisfies

$$|K(x, t)| \leq c\sigma\left(\frac{x+t}{2}\right), \tag{2.9}$$

$$|K_x(x, t)| \leq \frac{1}{4}\left|q\left(\frac{x+t}{2}\right)\right| + c\sigma\left(\frac{x+t}{2}\right), \tag{2.10}$$

where $c > 0$ is a constant, and

$$\sigma(x) = \int_0^\infty |q(t)| dt.$$

Now, let $\lambda \in \mathbb{R} \setminus \{0\}$. Using linearly independent solutions of (2.1) in the intervals $[0, x_0)$ and (x_0, ∞) , we can express the general solution of (2.1) by

$$\begin{cases} y_-(x, \lambda) = A_-C(x, \lambda^2) + B_-S(x, \lambda^2), & 0 \leq x < x_0, \\ y_+(x, \lambda) = A_+e(x, \lambda) + B_+e(x, -\lambda), & x > x_0, \end{cases}$$

where A_\pm and B_\pm are constant coefficients depending on λ . By (1.3), (2.6), (2.7), and (2.8) we get $y_-(x_0^-, \lambda)$, $y_+(x_0^+, \lambda)$, $y'_-(x_0^-, \lambda)$, and $y'_+(x_0^+, \lambda)$. Then, from the impulsive condition (2.3) we have transfer matrix M satisfying

$$\begin{bmatrix} A_+ \\ B_+ \end{bmatrix} = M \begin{bmatrix} A_- \\ B_- \end{bmatrix}, \tag{2.11}$$

where

$$M := \begin{bmatrix} M_{11} & M_{12} \\ M_{21} & M_{22} \end{bmatrix} = N^{-1}BD \tag{2.12}$$

with

$$D := \begin{bmatrix} C(x_0, \lambda^2) & S(x_0, \lambda^2) \\ C'(x_0, \lambda^2) & S'(x_0, \lambda^2) \end{bmatrix} \tag{2.13}$$

and

$$N := \begin{bmatrix} N_{11} & N_{12} \\ N_{21} & N_{22} \end{bmatrix}, \tag{2.14}$$

where

$$\begin{aligned}
 N_{11} &= e^{i\lambda x_0} + \int_{x_0}^{\infty} K(x_0, t)e^{i\lambda t} dt, \\
 N_{12} &= e^{-i\lambda x_0} + \int_{x_0}^{\infty} K(x_0, t)e^{-i\lambda t} dt, \\
 N_{21} &= i\lambda e^{i\lambda x_0} - K(x_0, x_0)e^{i\lambda x_0} + \int_{x_0}^{\infty} K_x(x_0, t)e^{i\lambda t} dt, \\
 N_{22} &= -i\lambda e^{-i\lambda x_0} - K(x_0, x_0)e^{-i\lambda x_0} + \int_{x_0}^{\infty} K_x(x_0, t)e^{-i\lambda t} dt,
 \end{aligned}$$

and $K_x(x, t) := \frac{\partial}{\partial x}K(x, t)$. Since $\det N = -2i\lambda$, we easily obtain

$$\begin{aligned}
 M_{22}(\lambda) &= \frac{i}{2\lambda} \left\{ -e'(x_0, \lambda) [\alpha_1 S(x_0, \lambda^2) + \alpha_2 S'(x_0, \lambda^2)] \right. \\
 &\quad \left. + e(x_0, \lambda) [\alpha_3 S(x_0, \lambda^2) + \alpha_4 S'(x_0, \lambda^2)] \right\}, \tag{2.15}
 \end{aligned}$$

$$\begin{aligned}
 M_{12}(\lambda) &= \frac{i}{2\lambda} \left\{ e'(x_0, -\lambda) [\alpha_1 S(x_0, \lambda^2) + \alpha_2 S'(x_0, \lambda^2)] \right. \\
 &\quad \left. - e(x_0, -\lambda) [\alpha_3 S(x_0, \lambda^2) + \alpha_4 S'(x_0, \lambda^2)] \right\}. \tag{2.16}
 \end{aligned}$$

Let us consider any two solutions of (2.1), denoting the coefficients A_{\pm} and B_{\pm} by A_{\pm}^{\pm} and B_{\pm}^{\pm} , which are expressed as

$$F(x, \lambda) = \begin{cases} A_+^+ C(x, \lambda^2) + B_+^+ S(x, \lambda^2), & 0 \leq x < x_0, \\ A_+^- e(x, \lambda) + B_+^- e(x, -\lambda), & x_0 < x < \infty, \end{cases} \tag{2.17}$$

and

$$G(x, \lambda) = \begin{cases} A_-^- C(x, \lambda^2) + B_-^- S(x, \lambda^2), & 0 \leq x < x_0, \\ A_-^+ e(x, \lambda) + B_-^+ e(x, -\lambda), & x_0 < x < \infty, \end{cases} \tag{2.18}$$

where A_{\pm}^{\pm} and B_{\pm}^{\pm} are complex coefficients. Let F and G be associated with the Jost solution of the boundary value problem (2.1)–(2.3) and the boundary condition (2.2), respectively. Then we obtain

$$B_+^+ = 0, \quad A_+^+ = 1, \quad A_-^- = 0, \quad B_-^- = 1. \tag{2.19}$$

Furthermore, using the impulsive condition (2.3) and (2.11), we get

$$A_-^+ = \frac{M_{22}(\lambda)}{\det M}, \quad B_-^+ = -\frac{M_{21}(\lambda)}{\det M}, \quad A_+^- = M_{12}(\lambda), \quad B_+^- = M_{22}(\lambda) \tag{2.20}$$

uniquely for the solution F and G . Clearly, inserting these coefficients into (2.17) and (2.18), we obtain the solutions F and G satisfying the following asymptotic equations,

respectively,

$$F(x, \lambda) = \begin{cases} \frac{M_{22}(\lambda)}{\det M} C(x, \lambda^2) - \frac{M_{21}(\lambda)}{\det M} S(x, \lambda^2), & x \rightarrow 0^+, \\ e(x, \lambda), & x \rightarrow \infty, \end{cases} \tag{2.21}$$

and

$$G(x, \lambda) = \begin{cases} S(x, \lambda^2), & x \rightarrow 0^+, \\ M_{12}(\lambda)e(x, \lambda) + M_{22}(\lambda)e(x, -\lambda), & x \rightarrow \infty. \end{cases} \tag{2.22}$$

Now by (2.21) and (2.22) we can give the following lemma.

Lemma 2.1 *The following equations hold for all $\lambda \in \mathbb{R} \setminus \{0\}$:*

$$\begin{aligned} W[F, G](x, \lambda) &= -2i\lambda M_{22}(\lambda), \quad x \rightarrow \infty, \\ W[F, G](x, \lambda) &= \frac{M_{22}(\lambda)}{\det M}, \quad x \rightarrow 0^+. \end{aligned}$$

Note that, the Wronskian of the solutions of (2.1) in the intervals $[0, x_0)$ and (x_0, ∞) are independent of x , but they are not equal because of the characteristic feature of impulsive differential equations.

Moreover, from (2.15) we understand that M_{22} has an analytic continuation from the real axis to the set $\mathbb{C}_+ := \{\lambda : \lambda \in \mathbb{C}, \text{Im } \lambda > 0\}$ and continuous up to the real axis because of analytic properties of solutions $e(x, \lambda)$, $e'(x, \lambda)$, $S(x, \lambda^2)$, $S'(x, \lambda^2)$. By Lemma 2.1 and [22], we have the following.

Corollary 2.2 *A necessary and sufficient condition to investigate the eigenvalues and spectral singularities of the impulsive Sturm–Liouville operator L is to investigate the zeros of the function M_{22} .*

By (2.15) we have the following representation for M_{22} :

$$\begin{aligned} M_{22}(\lambda) &= \frac{i}{2\lambda} \left\{ e^{i\lambda x_0} \left[-\frac{i\alpha_1}{\beta} \sin \lambda\beta x_0 - i\alpha_2 \lambda \cos \lambda\beta x_0 + \alpha_2 K(x_0, x_0) \cos \lambda\beta x_0 \right. \right. \\ &\quad \left. \left. + \frac{\sin \lambda\beta x_0}{\lambda\beta} (\alpha_1 K(x_0, x_0) - i\alpha_2 \lambda P(x_0, x_0) + \alpha_2 K(x_0, x_0)P(x_0, x_0)) \right. \right. \\ &\quad \left. \left. + \alpha_3 \frac{\sin \lambda\beta x_0}{\lambda\beta} + \alpha_4 \cos \lambda\beta x_0 + \alpha_4 P(x_0, x_0) \frac{\sin \lambda\beta x_0}{\lambda\beta} \right] \right. \\ &\quad \left. + (\alpha_1 K(x_0, x_0)e^{i\lambda x_0} - i\alpha_1 \lambda e^{i\lambda x_0} + \alpha_3 e^{i\lambda x_0}) \int_0^{x_0} P(x_0, t) \frac{\sin \lambda\beta t}{\lambda\beta} dt \right. \\ &\quad \left. + (\alpha_2 K(x_0, x_0)e^{i\lambda x_0} - i\alpha_2 \lambda e^{i\lambda x_0} + \alpha_4 e^{i\lambda x_0}) \int_0^{x_0} P_x(x_0, t) \frac{\sin \lambda\beta t}{\lambda\beta} dt \right. \\ &\quad \left. + \left(\alpha_3 \frac{\sin \lambda\beta x_0}{\lambda\beta} + \alpha_4 \cos \lambda\beta x_0 + \alpha_4 P(x_0, x_0) \frac{\sin \lambda\beta x_0}{\lambda\beta} \right) \int_{x_0}^{\infty} K(x_0, t) e^{i\lambda t} dt \right. \\ &\quad \left. - \left(\alpha_1 \frac{\sin \lambda\beta x_0}{\lambda\beta} + \alpha_2 \cos \lambda\beta x_0 + \alpha_2 P(x_0, x_0) \frac{\sin \lambda\beta x_0}{\lambda\beta} \right) \int_{x_0}^{\infty} K_x(x_0, t) e^{i\lambda t} dt \right\} \end{aligned}$$

$$\begin{aligned}
 & + \int_{x_0}^{\infty} K_x(x_0, t)e^{i\lambda t} dt \left[-\alpha_1 \int_0^{x_0} P(x_0, t) \frac{\sin \lambda \beta t}{\lambda \beta} dt - \alpha_2 \int_0^{x_0} P_x(x_0, t) \frac{\sin \lambda \beta t}{\lambda \beta} dt \right] \\
 & + \int_{x_0}^{\infty} K(x_0, t)e^{i\lambda t} dt \left[+\alpha_3 \int_0^{x_0} P(x_0, t) \frac{\sin \lambda \beta t}{\lambda \beta} dt \right. \\
 & \left. + \alpha_4 \int_0^{x_0} P_x(x_0, t) \frac{\sin \lambda \beta t}{\lambda \beta} dt \right] \Bigg\}. \tag{2.23}
 \end{aligned}$$

3 Main results

We introduce the sets of spectral singularities and eigenvalues of impulsive operator L as

$$\sigma_{ss}(L) = \{ \mu = \lambda^2 : \text{Im } \lambda = 0, \lambda \neq 0 \text{ and } M_{22}(\lambda) = 0 \}$$

and

$$\sigma_d(L) = \{ \mu = \lambda^2 : \text{Im } \lambda > 0 \text{ and } M_{22}(\lambda) = 0 \},$$

respectively. To study numerical properties of the sets $\sigma_{ss}(L)$ and $\sigma_d(L)$, we investigate the numerical properties of the zeros of M_{22} in $\lambda \in \overline{C}_+$.

Now, we define the sets

$$\begin{aligned}
 S_1 &= \{ \lambda : \lambda \in C_+, M_{22}(\lambda) = 0 \}, \\
 S_2 &= \{ \lambda : \lambda \in \mathbb{R} \setminus \{0\}, M_{22}(\lambda) = 0 \}.
 \end{aligned}$$

Thus we can rewrite the sets

$$\sigma_d(L) = \{ \mu : \mu = \lambda^2, \lambda \in S_1 \}, \quad \sigma_{ss}(L) = \{ \mu : \mu = \lambda^2, \lambda \in S_2 \}.$$

Theorem 3.1 *Under condition (2.4), the function M_{22} satisfies the following asymptotic equations:*

$$M_{22} = e^{i\lambda x_0(1-\beta)} \frac{\alpha_2}{4} \left[1 + O\left(\frac{1}{\lambda}\right) \right], \quad \beta \geq 1, \tag{3.1}$$

$$M_{22} = e^{i\lambda x_0(1+\beta)} \frac{\alpha_2}{4} \left[1 + O\left(\frac{1}{\lambda}\right) \right], \quad \beta \leq -1, \tag{3.2}$$

where $\alpha_2 \neq 0, \lambda \in \overline{C}_+$, and $|\lambda| \rightarrow \infty$.

Proof The derivative of the Jost solution $e(x, \lambda)$ satisfies the following asymptotic:

$$e'(x, \lambda) = e^{i\lambda x} [i\lambda + O(1)], \quad x \in [0, \infty), \lambda \in \overline{C}_+, |\lambda| \rightarrow \infty. \tag{3.3}$$

From (2.15) and (3.3) we can express the function M_{22} for $\lambda \in \overline{C}_+$ when $\beta \geq 1, \alpha_2 \neq 0$, and $|\lambda| \rightarrow \infty$:

$$\begin{aligned}
 M_{22}(\lambda) &= e^{i\lambda x_0(1-\beta)} \left\{ -\frac{i\alpha_1}{2} \left[\frac{e'(x_0, \lambda)e^{-i\lambda x_0}}{\lambda} \right] [S(x_0, \lambda^2)e^{i\lambda \beta x_0}] \right. \\
 &\quad \left. - \frac{i\alpha_2}{2} \left[\frac{e'(x_0, \lambda)e^{-i\lambda x_0}}{\lambda} \right] [S'(x_0, \lambda^2)e^{i\lambda \beta x_0}] + \frac{i\alpha_3}{2\lambda} [e(x_0, \lambda)e^{-i\lambda x_0}] [S(x_0, \lambda^2)e^{i\lambda \beta x_0}] \right\}
 \end{aligned}$$

$$\begin{aligned}
 & + \frac{i\alpha_4}{2\lambda} \left[e(x_0, \lambda) e^{-i\lambda x_0} \right] \left[S'(x_0, \lambda^2) e^{i\lambda\beta x_0} \right] \Big\} \\
 = & e^{i\lambda x_0(1-\beta)} \left\{ \frac{\alpha_1}{4i\beta} \left[\frac{e^{2i\lambda\beta x_0}}{\lambda} - \frac{1}{\lambda} + \frac{1}{\lambda} \int_0^{x_0} P(x_0, t) [e^{i\lambda\beta(x_0+t)} - e^{i\lambda\beta(x_0-t)}] dt \right] \right. \\
 & + \frac{\alpha_2}{4} \left[e^{2i\lambda\beta x_0} + 1 + P(x_0, x_0) \frac{e^{2i\lambda\beta x_0}}{i\lambda\beta} - \frac{P(x_0, x_0)}{i\lambda\beta} \right. \\
 & + \left. \left. \frac{1}{i\lambda\beta} \int_0^{x_0} P_x(x_0, t) [e^{i\lambda\beta(x_0+t)} - e^{i\lambda\beta(x_0-t)}] dt \right] \right. \\
 & + \frac{\alpha_3}{4\beta} \left[\frac{e^{2i\lambda\beta x_0}}{\lambda^2} - \frac{1}{\lambda^2} + \frac{1}{\lambda^2} \int_0^{x_0} P(x_0, t) [e^{i\lambda\beta(x_0+t)} - e^{i\lambda\beta(x_0-t)}] dt \right] \\
 & + \frac{i\alpha_4}{4} \left[\frac{e^{2i\lambda\beta x_0}}{\lambda} + \frac{1}{\lambda} + P(x_0, x_0) \frac{e^{2i\lambda\beta x_0}}{i\beta\lambda^2} - \frac{P(x_0, x_0)}{i\beta\lambda^2} \right. \\
 & + \left. \left. \frac{1}{i\beta\lambda^2} \int_0^{x_0} P_x(x_0, t) [e^{i\lambda\beta(x_0+t)} - e^{i\lambda\beta(x_0-t)}] dt \right] \right\} \\
 = & e^{i\lambda x_0(1-\beta)} \left\{ O\left(\frac{1}{\lambda}\right) + \frac{\alpha_2}{4} + O\left(\frac{1}{\lambda}\right) + O\left(\frac{1}{\lambda^2}\right) + O\left(\frac{1}{\lambda}\right) \right\} \\
 = & e^{i\lambda x_0(1-\beta)} \frac{\alpha_2}{4} \left[1 + O\left(\frac{1}{\lambda}\right) \right].
 \end{aligned}$$

This completes the proof of (3.1). Similarly, (3.2) can be proved easily. □

In this section, we assume that $\beta \geq 1$. We give a lemma, which is necessary to discuss the properties of eigenvalues and spectral singularities of L .

Lemma 3.2 *Assume (2.4).*

- (i) *The set S_1 is bounded, and no more than a countable number of elements and its limit points can lie on a bounded subinterval of the real axis.*
- (ii) *The set S_2 is compact, and its linear Lebesgue measure is zero.*

Proof Asymptotic equation (3.1) shows that M_{22} cannot equal zero for sufficiently large $\lambda \in \overline{\mathbb{C}}_+$. Thus the boundedness of the sets S_1 and S_2 follows from (3.1). Moreover, since M_{22} is analytic in \mathbb{C}_+ , the set S_1 has at most countable number of elements, and its limit points can lie only on a bounded subinterval of the real axis. Using the uniqueness theorem of analytic functions [23], we obtain that S_2 is a closed set and its linear Lebesgue measure is zero. □

Now, we can give the following theorem.

Theorem 3.3 *Under condition (2.4),*

- (i) *The set of eigenvalues of L is bounded and has at most a countable number of elements, and its limit points can lie only on a bounded subinterval of the real axis.*
- (ii) *The set of spectral singularities of L is compact, and its linear Lebesgue measure is zero.*

Now, we proceed by assuming an extra condition on q to assure the finiteness of the sets $\sigma_d(L)$ and $\sigma_{ss}(L)$.

Theorem 3.4 *If*

$$\int_0^\infty \exp(\epsilon x) |q(x)| dx < \infty \tag{3.4}$$

for every $\epsilon > 0$, then there are finitely many eigenvalues and spectral singularities of the operator L , and each of them has finite multiplicity.

Proof Using (2.9), (2.10), and (3.4), we find that

$$|K(x_0, t)| \leq c \exp\left(-t \frac{\epsilon}{2}\right), \quad |K_x(x_0, t)| \leq c \exp\left(-t \frac{\epsilon}{2}\right),$$

that is, the function M_{22} has an analytic continuation from the real axis to the lower half-plane $\text{Im } \lambda > -\epsilon/2$. Hence the sets $\sigma_d(L)$ and $\sigma_{ss}(L)$ have no limit points on the real line, and by Theorem 3.3 these sets are bounded and have a finite number of elements. Finally, using the uniqueness theorem of analytic functions [23], we see that all zeros of M_{22} in \overline{C}_+ have finite multiplicities. □

Now, let us denote the set of all limit points of S_1 by S_3 and the set of all zeros of M_{22} with infinite multiplicity in \overline{C}_+ by S_4 . By the uniqueness theorem of analytic functions, we find that

$$S_1 \cap S_4 = \emptyset, \quad S_3 \subset S_2, \quad S_4 \subset S_2, \quad \mu(S_3) = 0, \quad \mu(S_4) = 0. \tag{3.5}$$

From the continuity of all derivatives of M_{22} up to the real axis, we obtain that

$$S_3 \subset S_4. \tag{3.6}$$

Next, we indicate the same result of Theorem 3.4 by using a weaker condition than (3.4).

Theorem 3.5 *If*

$$\int_0^\infty \exp(\epsilon x^\delta) |q(x)| dx < \infty \tag{3.7}$$

for some $\epsilon > 0$ and $\frac{1}{2} \leq \delta < 1$, then

$$S_4 = \emptyset. \tag{3.8}$$

Proof Since M_{22} cannot be continued analytically from the real line to the lower half-plane under condition (3.7), it is not possible to prove the finiteness of eigenvalues and spectral singularities in a way similar to Theorem 3.4.

On the other hand, from (2.15) we have

$$\begin{aligned} \lambda M_{22}(\lambda) = \frac{i}{2} & \left[\alpha_4 M_1(\lambda) M_3(\lambda) + \alpha_3 M_1(\lambda) M_4(\lambda) \right. \\ & \left. - \alpha_2 M_2(\lambda) M_3(\lambda) - \alpha_1 M_2(\lambda) M_4(\lambda) \right], \end{aligned} \tag{3.9}$$

where

$$\begin{aligned}
 M_1(\lambda) &= 1 + \int_{x_0}^{\infty} K(x_0, t)e^{i\lambda(t-x_0)} dt, \\
 M_2(\lambda) &= i\lambda - K(x_0, x_0) + \int_{x_0}^{\infty} K_x(x_0, t)e^{i\lambda(t-x_0)} dt, \\
 M_3(\lambda) &= (\cos \lambda\beta x_0)e^{i\lambda x_0} + P(x_0, x_0)e^{i\lambda x_0} \frac{\sin \lambda\beta x_0}{\lambda\beta} + \int_0^{x_0} P_x(x_0, t)e^{i\lambda x_0} \frac{\sin \lambda\beta t}{\lambda\beta} dt, \\
 M_4(\lambda) &= e^{i\lambda x_0} \frac{\sin \lambda\beta x_0}{\lambda\beta} + \int_0^{x_0} P(x_0, t)e^{i\lambda x_0} \frac{\sin \lambda\beta t}{\lambda\beta} dt.
 \end{aligned}$$

Moreover, it follows from (2.9), (2.10), and (2.23) that M_{22} is analytic in \mathbb{C}_+ and all of its derivatives are continuous up to the real axis. Then, using (2.9), (2.10), and (3.7), we obtain

$$\left| \frac{d^n}{d\lambda^n} M_i(\lambda) \right| \leq c_1 \int_{x_0}^{\infty} [(1 + \beta)t]^n \exp\left(-\epsilon \left(\frac{t}{2}\right)^\delta\right) dt, \quad i = 1, 2, \tag{3.10}$$

where $\lambda \in \mathbb{C}_+$, $|\lambda| < H$, and $m = 0, 1, 2, \dots$. From the continuity of the functions P and P_x we get

$$\left| \frac{d^n}{d\lambda^n} M_i(\lambda) \right| \leq c_2 [(1 + \beta)x_0]^n, \quad i = 3, 4, \tag{3.11}$$

where $\lambda \in \mathbb{C}_+$, $|\lambda| < H$, and $m = 0, 1, 2, \dots$. Thus, from (3.9)–(3.11) we have

$$\begin{aligned}
 \left| \frac{d^n}{d\lambda^n} (\lambda M_{22}) \right| &\leq \sum_{s=0}^n \binom{n}{s} \left| \frac{d^{n-s}}{d\lambda^{n-s}} M_1(\lambda) \right| \left[|\alpha_4| \left| \frac{d^s}{d\lambda^s} M_3(\lambda) \right| + |\alpha_3| \left| \frac{d^s}{d\lambda^s} M_4(\lambda) \right| \right] \\
 &\quad + \sum_{s=0}^n \binom{n}{s} \left| \frac{d^{n-s}}{d\lambda^{n-s}} M_2(\lambda) \right| \left[|\alpha_2| \left| \frac{d^s}{d\lambda^s} M_3(\lambda) \right| + |\alpha_1| \left| \frac{d^s}{d\lambda^s} M_4(\lambda) \right| \right] \\
 &\leq c_3 \alpha \sum_{s=0}^n \binom{n}{s} \int_{x_0}^{\infty} [(1 + \beta)x_0]^s [(1 + \beta)t]^{n-s} \exp\left(-\epsilon \left(\frac{t}{2}\right)^\delta\right) dt \\
 &\leq c_3 \alpha 2^n (1 + \beta)^n \int_0^{\infty} t^n \exp\left(-\epsilon \left(\frac{t}{2}\right)^\delta\right) dt \tag{3.12}
 \end{aligned}$$

for $\alpha := (|\alpha_1| + |\alpha_2| + |\alpha_3| + |\alpha_4|)$ and $n = 1, 2, \dots$. Now we can write

$$\left| \frac{d^n}{d\lambda^n} (\lambda M_{22}) \right| \leq A_n$$

for $n = 1, 2, \dots$ and $\lambda \in \mathbb{C}_+$, $|\lambda| < H$, where

$$A_n = c_3 \alpha 2^n (1 + \beta)^n \int_0^{\infty} t^n \exp\left(-\epsilon \left(\frac{t}{2}\right)^\delta\right) dt.$$

Since M_{22} is cannot be zero, it follows from Pavlov’s theorem [24] that

$$\int_0^h \ln T(s) d\mu(S_4, s) > -\infty, \tag{3.13}$$

where $T(s) = \inf\{\frac{A_n s^n}{n!} : n = 0, 1, 2, \dots\}$, and $\mu(S_4, s)$ is the linear Lebesgue measure of the s -neighborhood of S_4 . Also, for A_n , using the gamma function, we can write

$$A_n \leq K\alpha b^n n^{n(1-\delta)/\delta} n!, \tag{3.14}$$

where K and b are constants depending on c_3, ϵ, β , and δ . Therefore it is obvious that

$$T(s) \leq K\alpha \exp\left\{-\frac{1-\delta}{\delta} e^{-1} b^{-\delta/(1-\delta)} s^{-\delta/(1-\delta)}\right\}. \tag{3.15}$$

From (3.13) and (3.15) we get

$$\int_0^h s^{-\delta/(1-\delta)} d\mu(S_4, s) < \infty. \tag{3.16}$$

Since $\delta/(1-\delta) \geq 1$, it follows from (3.16) that $\mu(S_4, s) = 0$, that is, $S_4 = \emptyset$. □

Theorem 3.6 *Assume (3.7). Then the operator L has a finite number of eigenvalues and spectral singularities, and each of them is of finite multiplicity.*

Proof Using Lemma 3.2, (3.6), and (3.8), we obtain that $S_3 = \emptyset$ and the sets S_1 and S_2 are countable and bounded. Then it follows from (3.8) that the spectral singularities and eigenvalues of L have finite multiplicities. □

We remark that, by the help of asymptotic equation (3.2), similar results can be given for $\beta \leq -1$.

4 Scattering function of the impulsive operator

In this section, we determine the scattering function of the impulsive Sturm–Liouville operator L .

Theorem 4.1 *Let $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in \mathbb{R}$, $\beta \in \mathbb{R} \setminus (-1, 1)$, and $\lambda \in \mathbb{R} \setminus \{0\}$. Then $M_{22}(\lambda) \neq 0$.*

Proof Let us consider the solutions F and G of (2.1)–(2.3) defined by expressions (2.17) and (2.18) for $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in \mathbb{R}$ and $\beta \in \mathbb{R} \setminus (-1, 1)$, respectively. Then it follows from (2.15), (2.16), and (2.20) that

$$\overline{B_+^-} = \overline{M_{22}(\lambda)} = M_{12}(\lambda) = A_+^-, \quad \lambda \in \mathbb{R} \setminus \{0\}. \tag{4.1}$$

Assume that, for any real nonzero λ_0 such that $M_{22}(\lambda_0) = 0$. This gives that $A_+^-(\lambda_0) = B_+^-(\lambda_0) = 0$ by (4.1). In this case, $G(x, \lambda_0)$ turns into a trivial solution of (2.1)–(2.3), which gives a contradiction with our assumption, that is, $M_{22}(\lambda) \neq 0$ for all $\lambda \in \mathbb{R} \setminus \{0\}$, $\beta \in \mathbb{R} \setminus (-1, 1)$, and $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in \mathbb{R}$. □

Corollary 4.2 *Let $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in \mathbb{R}$ and $\beta \in \mathbb{R} \setminus (-1, 1)$. The operator L has no spectral singularities.*

Definition 4.3 Let $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in \mathbb{R}$ and $\beta \in \mathbb{R} \setminus (-1, 1)$. Then the scattering function of the operator L is defined by

$$S(\lambda) = \frac{F(0, -\lambda)}{F(0, \lambda)}.$$

Since q is a real-valued potential function, it is evident from (2.17) and (2.20) that

$$\overline{F(x, \lambda)} = F(x, -\lambda)$$

for all $\lambda \in \mathbb{R} \setminus \{0\}$. Then the definition of the function S turns into

$$S(\lambda) = \frac{\overline{F(0, \lambda)}}{F(0, \lambda)} = \frac{\overline{M_{22}(\lambda)}}{M_{22}(\lambda)} = \frac{M_{12}(\lambda)}{M_{22}(\lambda)}, \quad \lambda \in \mathbb{R} \setminus \{0\}. \tag{4.2}$$

From (2.15), (2.16), and (4.2), it is clear that, for all $\lambda \in \mathbb{R} \setminus \{0\}$,

$$S(\lambda) = \frac{e'(x_0, -\lambda)(\alpha_1 S(x_0, \lambda^2) + \alpha_2 S'(x_0, \lambda^2)) - e(x_0, -\lambda)(\alpha_3 S(x_0, \lambda^2) + \alpha_4 S'(x_0, \lambda^2))}{-e'(x_0, \lambda)(\alpha_1 S(x_0, \lambda^2) + \alpha_2 S'(x_0, \lambda^2)) + e(x_0, \lambda)(\alpha_3 S(x_0, \lambda^2) + \alpha_4 S'(x_0, \lambda^2))}.$$

Theorem 4.4 Let $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in \mathbb{R}$ and $\beta \in \mathbb{R} \setminus (-1, 1)$. For all $\lambda \in \mathbb{R} \setminus \{0\}$, the scattering function yields

$$S(-\lambda) = S^{-1}(\lambda) = \overline{S(\lambda)}.$$

Proof By (4.2) we obtain

$$S(-\lambda) = \frac{M_{12}(-\lambda)}{M_{22}(-\lambda)}. \tag{4.3}$$

Since $\overline{M_{22}(-\lambda)} = M_{22}(\lambda)$ and $\overline{M_{12}(-\lambda)} = M_{12}(\lambda)$ for all $\lambda \in \mathbb{R} \setminus \{0\}$, $\beta \in \mathbb{R} \setminus (-1, 1)$, and $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in \mathbb{R}$, we get

$$S(-\lambda) = S^{-1}(\lambda) = \overline{S(\lambda)}.$$

The proof is completed. □

5 An example

Let us consider the Sturm–Liouville operator L_0 in $L^2[0, \infty)$ created by the following impulsive problem:

$$\begin{cases} -y'' = \lambda^2 \rho(x)y, & x \in [0, x_0) \cup (x_0, \infty), \\ y(0) = 0, \\ \begin{bmatrix} y(x_0^+) \\ y'(x_0^+) \end{bmatrix} = B \begin{bmatrix} y(x_0^-) \\ y'(x_0^-) \end{bmatrix}, & B = \begin{bmatrix} \alpha_1 & 0 \\ 0 & \alpha_4 \end{bmatrix}, \end{cases} \tag{5.1}$$

where ρ is the density function given by

$$\rho(x) = \begin{cases} \beta^2, & 0 \leq x < x_0, \\ 1, & x > x_0, \end{cases}$$

such that $\beta \in \mathbb{C} \setminus \{0\}$, $\alpha_1, \alpha_4 \in \mathbb{C}$, $\alpha_1 \cdot \alpha_4 \neq 0$, and $x_0 \in \mathbb{R}^+$. Using $q = 0$ in (2.23), we directly obtain

$$M_{22}(\lambda) = \frac{i}{2\lambda} e^{i\lambda x_0} \left[-\frac{i\alpha_1}{\beta} \sin \lambda \beta x_0 + \alpha_4 \cos \lambda \beta x_0 \right]. \tag{5.2}$$

To investigate the eigenvalues and spectral singularities of L_0 , we examine the zeros of M_{22} . For this purpose, we see that

$$e^{2i\lambda\beta x_0} = \frac{\alpha_1 + \beta\alpha_4}{\alpha_1 - \beta\alpha_4}$$

by (5.2). Using the last equation, we find

$$\lambda_k = -\frac{i}{2\beta x_0} \ln \left| \frac{1+A}{1-A} \right| + \frac{1}{2\beta x_0} \left[\text{Arg} \left(\frac{1+A}{1-A} \right) + 2k\pi \right], \quad k \in \mathbb{Z}, \tag{5.3}$$

where $A = \frac{\beta\alpha_4}{\alpha_1}$.

Let $\beta = a + ib$. Then we can write real and imaginary parts of λ_k by

$$\text{Re } \lambda_k = \frac{1}{2x_0|\beta|^2} \left\{ a \left[\text{Arg} \left(\frac{1+A}{1-A} \right) + 2k\pi \right] - b \ln \left| \frac{1+A}{1-A} \right| \right\} \tag{5.4}$$

and

$$\text{Im } \lambda_k = -\frac{1}{2x_0|\beta|^2} \left\{ a \ln \left| \frac{1+A}{1-A} \right| + b \left[\text{Arg} \left(\frac{1+A}{1-A} \right) + 2k\pi \right] \right\}, \tag{5.5}$$

respectively. It is easy to see that if

$$\left[a \ln \left| \frac{1+A}{1-A} \right| + b \left(\text{Arg} \left(\frac{1+A}{1-A} \right) + 2k\pi \right) \right] = 0,$$

then the problem has spectral singularities, and if

$$\left[a \ln \left| \frac{1+A}{1-A} \right| + b \left(\text{Arg} \left(\frac{1+A}{1-A} \right) + 2k\pi \right) \right] < 0, \tag{5.6}$$

then the problem has eigenvalues. Now, we investigate some particular cases.

Case I: Let $A = \frac{e^{i\theta} - 1}{e^{i\theta} + 1}$ for $\theta \in \mathbb{R}$. In this case, since $\text{Arg} \left(\frac{1+A}{1-A} \right) = \theta$, we get

$$\lambda_k = \frac{\theta + 2k\pi}{2\beta x_0}, \quad k \in \mathbb{Z}.$$

1a: Let $\beta \in \mathbb{R}$. Thus $\lambda_k \in \mathbb{R}$, and then the numbers $\mu_k = \lambda_k^2$, $k \in \mathbb{Z}$, are the spectral singularities of the impulsive boundary value problem (5.1).

1b: Let $\beta \in \mathbb{C}$. We get

$$\text{Im } \lambda_k = -\frac{1}{2x_0|\beta|^2} [b(\theta + 2k\pi)], \quad k \in \mathbb{Z},$$

by (5.5). If $b(\theta + 2k\pi) < 0$, then the operator L_0 has eigenvalues. Otherwise, the problem has no eigenvalues and spectral singularities.

Case2: Let $\text{Im} A \neq 0$. We investigate some subcases.

2a: Let A be purely imaginary, that is, $\text{Re} A = 0$. In this case, since $|\frac{1+A}{1-A}| = 1$, from (5.3) we get

$$\lambda_k = \frac{\arg(1+A)}{\beta x_0}, \quad k \in \mathbb{Z}.$$

which means that the numbers $\mu_k = \lambda_k^2, k \in \mathbb{Z}$, are the spectral singularities of (5.1) for $\beta \in \mathbb{R}$.

Let $\beta \in \mathbb{C}$. We find

$$\text{Im} \lambda_k = -\frac{b}{2x_0|\beta|^2} [\arg(1+A)], \quad k \in \mathbb{Z}.$$

If $b[\arg(1+A)] < 0$, then the operator L_0 has eigenvalues. Otherwise, the operator has no eigenvalues and spectral singularities.

2b: Let $\text{Re} A < 0$. For $\beta \in \mathbb{R}$, that is, $b = 0$, we have

$$\text{Im} \lambda_k = -\frac{1}{2x_0 a} \left(\ln \left| \frac{1+A}{1-A} \right| \right), \quad k \in \mathbb{Z}.$$

If $a > 0$, then the numbers $\mu_k = \lambda_k^2, k \in \mathbb{Z}$, are the eigenvalues of impulsive problem (5.1); otherwise, the operator L_0 has no eigenvalues.

Let $\beta \in \mathbb{C}$. If $a > 0$ and $b(\text{Arg}(\frac{1+A}{1-A}) + 2k\pi) < 0$, then there exist eigenvalues, and if $a < 0$ and $b(\text{Arg}(\frac{1+A}{1-A}) + 2k\pi) > 0$, then the problem has no eigenvalues by (5.6).

2c: Let $\text{Re} A > 0$. In this case, since $|\frac{1+A}{1-A}| > 1$, for $\beta \in \mathbb{R}$, we obtain

$$\text{Im} \lambda_k = -\frac{1}{2x_0 a} \left(\ln \left| \frac{1+A}{1-A} \right| \right), \quad k \in \mathbb{Z}.$$

If $a < 0$, then the numbers $\mu_k = \lambda_k^2, k \in \mathbb{Z}$, are the eigenvalues of L_0 ; otherwise, the problem has no eigenvalues.

For $\beta \in \mathbb{C}$, if $a < 0$ and $b(\text{Arg}(\frac{1+A}{1-A}) + 2k\pi) < 0$, then there exist eigenvalues, and if $a > 0$ and $b(\text{Arg}(\frac{1+A}{1-A}) + 2k\pi) > 0$, then the problem has no eigenvalues.

Case3: Let A be a real number.

3a: Let $0 < A < 1$. In this case, we get

$$\lambda_k = -\frac{i}{2\beta x_0} \ln \left(\frac{1+A}{1-A} \right) + \frac{k\pi}{\beta x_0}, \quad k \in \mathbb{Z}.$$

Let $\beta \in \mathbb{R}$. If $a < 0$, then the numbers $\mu_k = \lambda_k^2, k \in \mathbb{Z}$, are the eigenvalues of (5.1). Otherwise, the problem has no eigenvalues. For $\beta \in \mathbb{C}$, we obtain

$$\text{Im} \lambda_k = -\frac{1}{2x_0|\beta|^2} \left[a \ln \left(\frac{1+A}{1-A} \right) + b(2k\pi) \right], \quad k \in \mathbb{Z}.$$

If $a < 0$ and $b(2k\pi) < 0$, then we have eigenvalues of L_0 , and if $a > 0$ and $b(2k\pi) > 0$, then the problem has no eigenvalues.

3b: Let $1 < A < \infty$. We find

$$\lambda_k = -\frac{i}{2\beta x_0} \ln \left| \frac{1+A}{1-A} \right| + \frac{1}{2\beta x_0} [\pi(2k+1)], \quad k \in \mathbb{Z}.$$

For $\beta \in \mathbb{R}$, if $a < 0$, then the numbers $\mu_k = \lambda_k^2, k \in \mathbb{Z}$, are the eigenvalues of impulsive problem (5.1). Otherwise, the problem has no eigenvalues. For $\beta \in \mathbb{C}$, if $a < 0$ and $b(2k+1)\pi < 0$, then there exist eigenvalues, and if $a > 0$ and $b(2k+1)\pi > 0$, then there are no eigenvalues.

3c: Let $-1 < A < 0$. In this case, for $\beta \in \mathbb{R}$, if $a > 0$, then the numbers $\mu_k = \lambda_k^2, k \in \mathbb{Z}$, are the eigenvalues of L_0 . Otherwise, the problem has no eigenvalues. Let $\beta \in \mathbb{C}$. If $a > 0$ and $b(2k\pi) < 0$, then the problem has eigenvalues. If $a < 0$ and $b(2k\pi) > 0$, then the problem has no eigenvalues.

3d: Let $-\infty < A < -1$. In this case, for $\beta \in \mathbb{R}$, if $a > 0$, then the numbers $\mu_k = \lambda_k^2, k \in \mathbb{Z}$, are the eigenvalues of (5.1); otherwise, the problem has no eigenvalues. For $\beta \in \mathbb{C}$, if $a > 0$ and $b(2k+1)\pi < 0$, then there exist eigenvalues. If $a < 0$ and $b(2k+1)\pi > 0$, then the problem has no eigenvalues.

Case 4: Let β be purely imaginary, that is, $a = 0$. In this case, we find

$$\text{Im } \lambda_k = -\frac{1}{2bx_0} \left[\text{Arg} \left(\frac{1+A}{1-A} \right) + 2k\pi \right], \quad k \in \mathbb{Z}.$$

If $\text{Arg}(\frac{1+A}{1-A}) + 2k\pi = 0$, then there exist spectral singularities of L_0 .

If $b[\text{Arg}(\frac{1+A}{1-A}) + 2k\pi] < 0$, then the numbers $\mu_k = \lambda_k^2, k \in \mathbb{Z}$, are the eigenvalues of L_0 ; otherwise, the problem has no eigenvalues.

6 Conclusions

In this study, we discuss some spectral and scattering problems of an impulsive Sturm–Liouville boundary value problem on the semi axis. Although there are various studies about the spectral analysis of these problems, much of them are on the whole axis. Moreover, the method we use to investigate the eigenvalues and spectral singularities is quite different from other papers. By using a transfer matrix we introduce the sets of eigenvalues and spectral singularities, and under sufficient conditions, we guarantee the finiteness of these sets.

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