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# An asymptotically sharp coefficients estimate for harmonic $K$ -quasiconformal mappings

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## Abstract

By using the improved Hübner inequalities, in this paper we obtain an asymptotically sharp lower bound estimate for the coefficients of harmonic  $K$ -quasiconformal self-mappings of the unit disk  $\mathbb{D}$  which keep the origin fixed. The result partly improves the former results given by (Partyka and Sakan in *Ann. Acad. Sci. Fenn., Math.* 30:167-182, 2005) and (Zhu and Zeng in *J. Comput. Anal. Appl.* 13:1081-1087, 2011). Furthermore, using some estimate for the derivative of the boundary function of a harmonic  $K$ -quasiconformal self-mapping  $w$  of  $\mathbb{D}$  which keeps the origin fixed, we obtain an upper bound estimate for the coefficients of  $w$ .

**MSC:** Primary 30C62; secondary 30C20; 30F15

**Keywords:** Heinz inequality; Hübner inequalities; coefficients estimate; harmonic quasiconformal mapping

## 1 Introduction

Let  $\mathbb{D} = \{z : |z| < 1\}$  denote the unit disk,  $w(z)$  be a harmonic mapping defined in  $\mathbb{D}$ . Then  $w(z)$  can be presented as  $w(z) = h(z) + \overline{g(z)}$ , where

$$h(z) = \sum_{n=0}^{\infty} a_n z^n \quad \text{and} \quad g(z) = \sum_{n=1}^{\infty} b_n z^n \quad (1)$$

are both analytic in  $\mathbb{D}$ . By Lewy's theorem [3], we know that  $w(z)$  is locally univalent and sense-preserving in  $\mathbb{D}$  if and only if its Jacobian satisfies the following inequality:

$$J_f(z) = |w_z(z)|^2 - |w_{\bar{z}}(z)|^2 = |h'(z)|^2 - |g'(z)|^2 > 0$$

for all  $z \in \mathbb{D}$ . One of the basic properties for harmonic self-mappings of  $\mathbb{D}$  is the Heinz inequality [4].

**Lemma A** *Let  $w$  map the unit disk harmonically onto itself with  $w(0) = 0$ . Then*

$$|w_z(0)|^2 + |w_{\bar{z}}(0)|^2 \geq c \quad (2)$$

for some absolute constant  $c > 0$ .

Subsequently, in 1982, Hall [5] obtained the sharp lower bound of  $c$ .

**Theorem B** *Let  $w(z) = h(z) + \overline{g(z)} = \sum_{n=1}^{\infty} a_n z^n + \overline{\sum_{n=1}^{\infty} b_n z^n}$  be a univalent harmonic mapping of the unit disk onto itself, then its coefficients satisfy the inequality*

$$|a_1|^2 + |b_1|^2 \geq \frac{27}{4\pi^2}. \tag{3}$$

The lower bound  $\frac{27}{4\pi^2}$  is the best possible.

Let

$$p(r, x - \varphi) = \frac{1 - r^2}{2\pi(1 - 2r \cos(x - \varphi) + r^2)}$$

denote the Poisson kernel, then every bounded harmonic mapping  $w$  defined in  $\mathbb{D}$  has the following representation:

$$w(z) = P[f](z) = \int_0^{2\pi} p(r, x - \varphi) f(e^{ix}) dx, \tag{4}$$

where  $z = re^{i\varphi} \in \mathbb{D}$  and  $f$  is a bounded integrable function defined on the unit circle  $\mathbf{T} := \partial\mathbb{D}$ .

Suppose that  $w(z)$  is a sense-preserving univalent harmonic mapping of  $\mathbb{D}$  onto a domain  $\Omega \subseteq \mathbb{C}$ . Then  $w(z)$  is a harmonic  $K$ -quasiconformal mapping if and only if

$$K(w) := \sup_{z \in \mathbb{D}} \frac{|w_z(z)| + |w_{\bar{z}}(z)|}{|w_z(z)| - |w_{\bar{z}}(z)|} \leq K.$$

Under the additional assumption that  $w(z)$  is a  $K$ -quasiconformal mapping, in 2005 Partyka and Sakan [1] obtained an asymptotically sharp variant of Heinz’s inequality as follows (see also [2]).

**Theorem C** *Let  $w(z)$  be a harmonic  $K$ -quasiconformal mapping of  $\mathbb{D}$  onto itself satisfying  $w(0) = 0$ . Then the inequality*

$$|\partial_z w(z)|^2 + |\partial_{\bar{z}} w(z)|^2 \geq \frac{1}{4} \left(1 + \frac{1}{K}\right)^2 \max \left\{ \frac{4}{\pi^2}, L_K^2 \right\} \tag{5}$$

holds for every  $z \in \mathbb{D}$ , where

$$L_K := \frac{2}{\pi} \int_0^{\frac{1}{\sqrt{2}}} \frac{d(\Phi_{1/K}(s)^2)}{s\sqrt{1-s^2}} \tag{6}$$

is a strictly decreasing function of  $K$ . For  $L > 0$ ,  $\Phi_L(s)$  is the Hersch-Pfluger distortion function defined by the equalities  $\Phi_L(s) := \mu^{-1}(\mu(s)/L)$ ,  $0 < s < 1$ ;  $\Phi_L(0) := 0$ ,  $\Phi_L(1) := 1$ , where  $\mu(s)$  stands for the module of Grötzsch’s extremal domain  $\mathbb{D} \setminus [0, s]$ .

In 2010, Qiu and Ren [6] improved the Hübner inequalities as follows.

**Theorem D** For all  $s \in (0, 1)$  and  $K \in (1, \infty)$ , we have

$$4^{1-K} s^K \leq \Phi_{1/K}(s) < 4^{D(s)(1-K)} s^K \tag{7}$$

and

$$s^{1/K} \leq \Phi_K(s) < 4^{(1-s^2)^{\frac{3}{4}}(1-1/K)} s^{1/K}, \tag{8}$$

where  $D(s) = (1 - s)(1 + s)^{1/\ln 4}$ .

A sense-preserving harmonic mapping of  $\mathbb{D}$  onto itself can be represented as the Poisson extension of the boundary function  $f(e^{it}) = e^{i\gamma(t)}$ , where  $\gamma(t)$  is a continuous non-decreasing function with  $\gamma(2\pi) - \gamma(0) = 2\pi$  and  $\gamma(t + 2\pi) = \gamma(t) + 2\pi$  (cf. [7, 8]). The coefficients  $a_n$  and  $b_n$  have an alternative interpretation as Fourier coefficients of the periodic function  $e^{i\gamma(t)}$ , and so Heinz's lemma can be viewed as a statement about Fourier series.

In this paper, assuming that  $w(z)$  is a harmonic  $K$ -quasiconformal mapping of  $\mathbb{D}$  onto itself satisfying  $w(0) = 0$ , by using Theorem D we obtain a sharp lower bound for its coefficients as follows:

$$|a_1|^2 + |b_1|^2 \geq B_1(K) := 2 - 2^{2(1-1/K)(2+2^{5/4})} \frac{2K^2 \Gamma(\frac{2}{K})}{(K + 1) \Gamma^2(\frac{1}{K})} \tag{9}$$

which satisfies  $\lim_{K \rightarrow 1^+} B_1(K) = 1$ , where  $\Gamma$  is the gamma function.

For  $n \geq 2$  we have

$$|a_n|^2 + |b_n|^2 \geq B_n(K), \tag{10}$$

where

$$B_n(K) := \chi(K) + \frac{2^{2(1-1/K)(2+2^{5/4})} \Gamma(1 + \frac{2}{K})(n - 1 - \frac{1}{K})!}{\Gamma^2(\frac{1}{K})(n + \frac{1}{K}) \frac{1}{K} (n - 1 + \frac{1}{K})!}, \tag{11}$$

$$\left(n - 1 - \frac{1}{K}\right)! := \left(n - 1 - \frac{1}{K}\right) \left(n - 2 - \frac{1}{K}\right) \cdots \left(1 - \frac{1}{K}\right),$$

$$\left(n - 1 + \frac{1}{K}\right)! := \left(n - 1 + \frac{1}{K}\right) \left(n - 2 + \frac{1}{K}\right) \cdots \left(1 + \frac{1}{K}\right),$$

and

$$\chi(K) := 2 - \frac{2^{2(1-1/K)(2+2^{5/4})} \Gamma(1 + \frac{2}{K})}{\Gamma^2(1 + \frac{1}{K})} \tag{12}$$

is a decreasing function of  $K$  with  $\chi(1) = 0$ .

Assume that  $w(z) = P[f](z)$  is a harmonic  $K$ -quasiconformal mapping of  $\mathbb{D}$  onto itself with the boundary function  $f(e^{it}) = e^{i\gamma(t)}$ , satisfying  $w(0) = 0$ . In Theorem 3.2 of [9], Parityka and Sakan proved that the following inequalities:

$$\frac{2^{5(1-K^2)/2}}{(K^2 + K - 1)^K} \leq |f'(z)| \leq K^{3K} 2^{5(K - \frac{1}{K})/2} \tag{13}$$

hold for a.e.  $z = e^{it} \in \mathbf{T}$ . Applying the above inequalities we obtain an upper bound for the coefficients of a harmonic  $K$ -quasiconformal self-mapping  $w(z)$  of  $\mathbb{D}$  satisfying  $w(0) = 0$  as follows:

$$|a_n|^2 + |b_n|^2 \leq A_n(K) := \frac{16}{n^2 \pi^2} K^{6K} 2^{5(K-1/K)}. \tag{14}$$

Furthermore we show that (9) and (10) are sharp as  $K \rightarrow 1$ .

### 2 Auxiliary results

**Lemma 1** *Let  $K > 1$  be a constant. Then the equality*

$$\int_0^\pi \sin^{\frac{2}{K}}(t) \cos(2nt) dt = \frac{\pi}{4^{\frac{1}{K}}} \frac{(-1)^n \Gamma(1 + \frac{2}{K})}{\Gamma(1 + \frac{1}{K} - n) \Gamma(1 + \frac{1}{K} + n)} \tag{15}$$

*holds for all nonnegative integer numbers  $n = 0, 1, 2, \dots$*

**Lemma 2** *Let  $\varphi(t) := |\cos \frac{t}{2}|^{\frac{3}{2}} + |\sin \frac{t}{2}|^{\frac{3}{2}}$ , for any  $t \in [0, 2\pi]$ . Then*

$$\max_{0 \leq t \leq 2\pi} \varphi(t) = \varphi\left(\frac{\pi}{2}\right) = \sqrt[4]{2}. \tag{16}$$

**Lemma 3** *Let  $w = P[f](z)$  be a harmonic  $K$ -quasiconformal self-mapping of  $\mathbb{D}$  with the boundary function  $f(e^{it}) = e^{i\gamma(t)}$ . For every  $z_1 = e^{i(s+t)}, z_2 = e^{i(s-t)} \in \mathbf{T}$ , let  $\theta = \gamma(s+t) - \gamma(s-t)$ . Then  $f(z_1) = e^{i\theta} f(z_2)$  and the inequalities*

$$2^{10-10K} \sin^{2K}(t) \leq \sin^2\left(\frac{\theta}{2}\right) \leq 2^{2(1-1/K)(1+2^{5/4})} \sin^{2/K}(t) \tag{17}$$

*hold for every  $0 \leq s < 2\pi, 0 \leq t \leq \pi$ .*

*Proof* According to the quasi-invariance of the harmonic measure (see (1.9) in [1]), we have

$$\Phi_{1/K}\left(\cos \frac{t}{2}\right) \leq \cos \frac{\theta}{4} \leq \Phi_K\left(\cos \frac{t}{2}\right) \tag{18}$$

for every  $0 \leq s < 2\pi, 0 \leq t \leq \pi$ , and  $\theta = \gamma(s+t) - \gamma(s-t)$ . Since  $\Phi_K^2(x) + \Phi_{1/K}^2(\sqrt{1-x^2}) = 1$  holds for every  $0 \leq x \leq 1$ , this shows that

$$\Phi_{1/K}\left(\sin \frac{t}{2}\right) \leq \sin \frac{\theta}{4} \leq \Phi_K\left(\sin \frac{t}{2}\right). \tag{19}$$

Using the Hübner inequalities, (7) and (8), we see that  $4^{1-K} s^K \leq \Phi_{1/K}(s) < 4^{D(s)(1-K)} s^K$  and  $s^{1/K} \leq \Phi_K(s) < 4^{(1-s^2)^{\frac{3}{4}}(1-1/K)} s^{1/K}$ . Applying (18), (19), and the above two inequalities, we have

$$\sin^2\left(\frac{\theta}{2}\right) \geq 4\Phi_{1/K}^2\left(\sin \frac{t}{2}\right)\Phi_{1/K}^2\left(\cos \frac{t}{2}\right) \geq 2^{10(1-K)} \sin^{2K} t$$

and

$$\sin^2\left(\frac{\theta}{2}\right) \leq 4\Phi_K^2\left(\sin\frac{t}{2}\right)\Phi_K^2\left(\cos\frac{t}{2}\right) \leq 2^{2(1-1/K)[2(|\cos(\frac{t}{2})|^{\frac{3}{2}} + |\sin(\frac{t}{2})|^{\frac{3}{2}})+1]} \sin^{\frac{2}{K}}(t).$$

By using Lemma 2 we see that

$$\left|\cos\frac{t}{2}\right|^{\frac{3}{2}} + \left|\sin\frac{t}{2}\right|^{\frac{3}{2}} \leq \sqrt[4]{2}.$$

This implies that

$$2^{10-10K} \sin^{2K}(t) \leq \sin^2\left(\frac{\theta}{2}\right) \leq 2^{2(1-1/K)(1+2^{5/4})} \sin^{2/K}(t)$$

hold for every  $0 \leq s < 2\pi, 0 \leq t \leq \pi$ , and  $\theta = \gamma(s + t) - \gamma(s - t)$ .

This completes the proof. □

### 3 Main results

**Theorem 1** *Given  $K > 1$ , let  $w(z) = P[f](z) = h(z) + \overline{g(z)}$  be a harmonic  $K$ -quasiconformal self-mapping of  $\mathbb{D}$  satisfying  $w(0) = 0$  with the boundary function  $f(e^{it}) = e^{i\gamma(t)}$ , where*

$$h(z) = \sum_{n=1}^{\infty} a_n z^n \quad \text{and} \quad g(z) = \sum_{n=1}^{\infty} b_n z^n \tag{20}$$

are both analytic in  $\mathbb{D}$ . Then

$$|a_1|^2 + |b_1|^2 \geq B_1(K),$$

where  $B_1(K)$  is given by (9) and satisfies  $\lim_{K \rightarrow 1^+} B_1(K) = 1$ . For  $n \geq 2$ ,

$$|a_n|^2 + |b_n|^2 \geq B_n(K),$$

where  $B_n(K)$  is given by (11) and satisfies  $\lim_{n \rightarrow \infty} \lim_{K \rightarrow 1^+} B_n(K) = 0$ .

*Proof* Since  $w(z) = P[f](z) = \sum_{n=1}^{\infty} a_n z^n + \overline{\sum_{n=1}^{\infty} b_n z^n}$ , using Parseval’s relation (cf. [7]) we have

$$\frac{1}{2\pi} \int_0^{2\pi} e^{i[\gamma(s+t) - \gamma(s-t)]} ds = \sum_{n=1}^{\infty} (|a_n|^2 e^{2int} + |b_n|^2 e^{-2int})$$

for arbitrary  $t \in \mathbb{R}$ . Taking real parts, we arrive at the formula

$$1 - 2J(t) = \sum_{n=1}^{\infty} (|a_n|^2 + |b_n|^2) \cos(2nt), \tag{21}$$

where

$$J(t) = \frac{1}{2\pi} \int_0^{2\pi} \sin^2\left(\frac{\gamma(s + t) - \gamma(s - t)}{2}\right) ds.$$

Since  $w(z)$  is a harmonic  $K$ -quasiconformal mapping, by Lemma 3 we have

$$2^{10-10K} \sin^{2K}(t) \leq J(t) \leq 2^{2(1-1/K)(1+2^{5/4})} \sin^{2/K}(t). \tag{22}$$

Hence  $(|a_n|^2 + |b_n|^2) \int_0^\pi \cos(2nt)(1 + \cos(2nt)) dt = \int_0^\pi (1 - 2J(t))(1 + \cos(2nt)) dt$ . Using (15) we also obtain

$$\begin{aligned} |a_n|^2 + |b_n|^2 &= \frac{2}{\pi} \left( \pi - 2 \int_0^\pi J(t)(1 + \cos(2nt)) dt \right) \\ &\geq \frac{2}{\pi} \left( \pi - 2 \cdot 2^{2(1-1/K)(1+2^{5/4})} \int_0^\pi \sin^{2/K}(t)(1 + \cos(2nt)) dt \right) \\ &= 2 - 2^{2(1-1/K)(2+2^{5/4})} \frac{\Gamma(1 + \frac{2}{K})}{\Gamma^2(1 + \frac{1}{K})} - \frac{2^{2(1-1/K)(2+2^{5/4})}(-1)^n \Gamma(1 + \frac{2}{K})}{\Gamma(1 + \frac{1}{K} - n)\Gamma(1 + \frac{1}{K} + n)} \\ &:= \chi(K) + \frac{2^{2(1-1/K)(2+2^{5/4})}(-1)^{n+1} \Gamma(1 + \frac{2}{K})}{\Gamma(1 + \frac{1}{K} - n)\Gamma(1 + \frac{1}{K} + n)}. \end{aligned}$$

For  $n = 1$ , using the formula  $\Gamma(z + 1) = z\Gamma(z)$  and simplifying the above result we obtain the following inequality:

$$|a_1|^2 + |b_1|^2 \geq B_1(K) := 2 - 2^{2(1-1/K)(2+2^{5/4})} \frac{2K^2 \Gamma(\frac{2}{K})}{(K + 1)\Gamma^2(\frac{1}{K})}.$$

By computation we know that  $B_1(K)$  is a decreasing function of  $K$  and satisfies

$$\lim_{K \rightarrow 1^+} B_1(K) = 1.$$

The above estimate is sharp. Consider the conformal mapping  $w(z) = e^{ix}z$ , where  $x \in \mathbb{R}$  is a real number. Then we have  $|a_1| + |b_1| = 1$ .

For  $n \geq 2$ , we have

$$\begin{aligned} \Gamma\left(1 + \frac{1}{K} - n\right) &= \frac{\Gamma(\frac{1}{K})}{(1 + \frac{1}{K} - n)(2 + \frac{1}{K} - n) \cdots (\frac{1}{K} - 1)} = \frac{(-1)^{n-1} \Gamma(\frac{1}{K})}{(n - 1 - \frac{1}{K})!}, \\ \Gamma\left(1 + \frac{1}{K} + n\right) &= \left(n + \frac{1}{K}\right) \left(n + \frac{1}{K} - 1\right) \cdots \left(\frac{1}{K}\right) \Gamma\left(\frac{1}{K}\right) = \frac{1}{K} \Gamma\left(\frac{1}{K}\right) \left(n + \frac{1}{K}\right)!, \end{aligned}$$

then

$$|a_n|^2 + |b_n|^2 \geq \chi(K) + \frac{2^{2(1-1/K)(2+2^{5/4})} \Gamma(1 + \frac{2}{K})(n - 1 - \frac{1}{K})!}{\Gamma^2(\frac{1}{K})(n + \frac{1}{K}) \frac{1}{K} (n - 1 + \frac{1}{K})!} := B_n(K).$$

By calculating we see that  $\chi(K)$  is a decreasing function of  $K$  with  $\chi(1) = 0$ . The function  $B_n(K)$  is a continuous function of  $K$  with  $\lim_{K \rightarrow 1^+} B_n(K) = \frac{2}{(n+1)n(n-1)}$ . This implies that  $B_n(K) > 0$  holds for all  $n \geq 2$  and some  $K > 1$ .

The proof is completed. □

**Remark 1** By computation we obtain

$$B_1(K) > \frac{27}{4\pi^2}$$

for all  $1 \leq K \leq 1.05174$ . This shows that under the additional assumption that  $w$  is a  $K$ -quasiconformal mapping, the lower bound of the inequality (3) can be improved.

By the definition of the Gamma function we see that  $\Gamma(-n) = \infty$  holds for all nonnegative integer numbers  $n$ . According to the proof of Theorem 1 we know that for all  $n \geq 2$ ,  $\lim_{K \rightarrow 1^+} \Gamma(1 + \frac{1}{K} - n) = \infty$ . Therefore

$$\lim_{K \rightarrow 1^+} B_n(K) = 0$$

holds for all  $n \geq 2$ .

Let  $t = 0$  in equation (21). Then we have  $\sum_{n=1}^{\infty} (|a_n|^2 + |b_n|^2) = 1$ . The sharp coefficient estimate of  $a_1$  and  $b_1$  shows that if  $K \rightarrow 1^+$  then  $|a_1|^2 + |b_1|^2 \geq B_1(K) \rightarrow 1$ . This shows that under the assumptions of Theorem 1 if additionally  $w(z)$  is a conformal self-mapping of  $\mathbb{D}$  satisfying  $w(0) = 0$ , then all the coefficients  $b_n$  for  $n \geq 1$  and  $a_n$  for  $n \geq 2$  are zeros and  $|a_1| = 1$ , that is,  $w(z) = e^{i\theta} z$  for some  $\theta \in \mathbb{R}$ .

**Remark 2** In [1] the authors showed that an asymptotically sharp inequality holds for all  $z$  in  $\mathbb{D}$ . Our Theorem 1, however, gives an estimate at  $z = 0$  only. In this sense, Theorem 1 partly improves the former results.

Theorem 2 shows that  $n^2(|a_n|^2 + |b_n|^2)$  is less than or equal to a positive number determined by  $K$ .

**Theorem 2** *Under the assumption of Theorem 1, the coefficients of  $w(z)$  satisfy the following inequality:*

$$|a_n|^2 + |b_n|^2 \leq \frac{16}{n^2 \pi^2} K^{6K} 2^{5(K-1/K)}, \quad n = 1, 2, \dots$$

*Proof* For every  $z = re^{i\theta} \in \mathbb{D}$ ,

$$w(re^{i\theta}) = \sum_{n=1}^{\infty} a_n r^n e^{in\theta} + \sum_{n=1}^{\infty} \bar{b}_n r^n e^{-in\theta},$$

hence

$$a_n r^n = \frac{1}{2\pi} \int_0^{2\pi} w(re^{i\theta}) e^{-in\theta} d\theta, \quad n = 1, 2, \dots,$$

$$\bar{b}_n r^n = \frac{1}{2\pi} \int_0^{2\pi} w(re^{i\theta}) e^{in\theta} d\theta, \quad n = 1, 2, \dots$$

For every  $n$  we set  $a_n = |a_n| e^{i\alpha_n}$ ,  $b_n = |b_n| e^{i\beta_n}$ , and  $\theta_n = \frac{\alpha_n + \beta_n}{2n}$ . Then

$$\begin{aligned} (|a_n| + |b_n|) r^n &= \left| \frac{1}{2\pi} \int_0^{2\pi} w(re^{i\theta}) [e^{-i\alpha_n} e^{-in\theta} + e^{i\beta_n} e^{in\theta}] d\theta \right| \\ &= \left| \frac{1}{2\pi} \int_0^{2\pi} w(re^{i\theta}) [e^{-in(\theta+\theta_n)} + e^{in(\theta+\theta_n)}] d\theta \right| \\ &= \left| \frac{1}{\pi} \int_0^{2\pi} w(re^{i\theta}) \cos n(\theta + \theta_n) d\theta \right|. \end{aligned}$$

Integrating by parts we have

$$(|a_n| + |b_n|)r^n = \left| \frac{1}{n\pi} \int_0^{2\pi} w_\theta(re^{i\theta}) \sin n(\theta + \theta_n) d\theta \right|. \tag{23}$$

In Theorem 2.8 of [10], Kalaj proved that the radial limits of  $w_\theta$  and  $w_r$  exist almost everywhere and

$$\lim_{r \rightarrow 1^-} \partial_\theta w(re^{i\theta}) = \frac{df(e^{i\theta})}{d\theta}$$

for almost every  $z = e^{i\theta} \in \mathbf{T}$ . Here  $f$  is the boundary function of  $w$ . Hence, letting  $r \rightarrow 1^-$  and using (13), (23) we see that

$$|a_n| + |b_n| \leq \frac{1}{n\pi} \int_0^{2\pi} |f'(e^{i\theta})| |\sin n(\theta + \theta_n)| d\theta = \frac{4K^{3K} 2^{5(K-1/K)/2}}{n\pi}.$$

It shows that  $|a_n|^2 + |b_n|^2 \leq (|a_n| + |b_n|)^2 \leq \frac{16K^{6K} 2^{5(K-1/K)}}{n^2\pi^2} := A_n(K)$ .

The proof is completed. □

**Competing interests**

The author declares that she has no competing interests.

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