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# A note on the roots of some special type polynomials

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## Abstract

In this study, we investigate the polynomials for  $n \geq 2$  and positive integers  $k$  and a positive real number  $a$ , with the initial values  $G_0(x) = -a$ ,  $G_1(x) = x - a$

$$G_{n+2}^{(k)}(x) = x^k G_{n+1}^{(k)}(x) + G_n^{(k)}(x).$$

We give some fundamental properties related to them. Also, we obtain asymptotic results for the roots of polynomials  $G_n^{(k)}(x)$ .

**MSC:** 11B39; 11B37

**Keywords:** Fibonacci polynomials; Binet formula; generating function

## 1 Introduction

The polynomials defined by Catalan, for  $n \geq 0$ , as follows

$$F_{n+2}(x) = xF_{n+1}(x) + F_n(x); \quad F_1(x) = 1, \quad F_2(x) = x \quad (1)$$

are called Fibonacci polynomials and denoted by  $F_n(x)$ , [1]. The Fibonacci-type polynomials  $G_n(x)$ ,  $n \geq 0$ , are defined by

$$G_{n+2}(x) = xG_{n+1}(x) + G_n(x), \quad (2)$$

where  $G_0(x)$  and  $G_1(x)$  are seed polynomials. There are several studies about the properties of zeros of polynomials  $G_n(x)$ . However, there are no general formulas for zeros of Fibonacci-type polynomials. In [2, 3], the authors studied the limiting behavior of the maximal real roots of polynomials  $G_n(x)$  with the initial values  $G_0(x) = -1$ ,  $G_1(x) = x - 1$ . In [4], the authors generalized Moore's result for these polynomials. They considered the initial values  $G_0(x) = a$ ,  $G_1(x) = x + b$ , where  $a$  and  $b$  are integer numbers. In [5], the author determined the absolute values of complex zeros of these polynomials. In [6], Ricci studied this problem in the case  $a = 1$  and  $b = 1$ . In [7], Tewodros investigated the convergence of maximal real roots of different Fibonacci-type polynomials given by the following relation:

$$G_{n+2}^{(k)}(x) = x^k G_{n+1}^{(k)}(x) + G_n^{(k)}(x), \quad n \geq 0, \quad (3)$$

where  $k$  is a positive integer number. The initial values of the recursive relation (3) are  $G_0^{(k)}(x) = -1$  and  $G_1^{(k)}(x) = x - 1$ . In this study, firstly, we investigate some fundamental properties of Fibonacci-type polynomials. We give some combinatorial identities related to equation (3). Then, we investigate the limit of maximal real roots of these polynomials. We notice that Tewodros [7] studied a special case  $a = 1$  of the polynomials we investigate.

## 2 Some fundamental properties of polynomials $G_n^{(k)}(x)$

In this section, we give some fundamental properties of polynomials  $G_n^{(k)}(x)$ , for  $n \geq 0$ , defined by the recursive formula as follows:

$$G_{n+2}^{(k)}(x) = x^k G_{n+1}^{(k)}(x) + G_n^{(k)}(x); \quad G_0^{(k)}(x) = -a; \quad G_1^{(k)}(x) = x - a. \quad (4)$$

The characteristic equation for (4) is  $t^2 - x^k t - 1 = 0$  and its roots are

$$\alpha(x) = \frac{x^k + \sqrt{x^{2k} + 4}}{2}$$

and

$$\beta(x) = \frac{x^k - \sqrt{x^{2k} + 4}}{2}.$$

Note that  $\alpha(x)\beta(x) = -1$ ,  $\alpha(x) + \beta(x) = x^k$  and  $\alpha(x) - \beta(x) = \sqrt{x^{2k} + 4}$ . For relation (4), the Binet formula is

$$G_n^{(k)}(x) = A(x)\alpha^n(x) + B(x)\beta^n(x), \quad (5)$$

where

$$A(x) = \frac{2(x - a) + ax^k - a\sqrt{x^{2k} + 4}}{2\sqrt{x^{2k} + 4}}, \quad B(x) = \frac{-2(x - a) - ax^k - a\sqrt{x^{2k} + 4}}{2\sqrt{x^{2k} + 4}}. \quad (6)$$

**Proposition 2.1** For  $n \geq 0$ , the generating function for polynomials  $G_n^{(k)}(x)$  is

$$H_r^{(k)}(x, t) = \sum_{n \geq 0} G_{n+r}^{(k)}(x)t^n = \begin{cases} \frac{G_r^{(k)}(x) + G_{r-1}^{(k)}(x)t}{1 - x^k t - t^2}, & r = 1, 2, 3, \dots, \\ \frac{t(ax^k + x - a)}{1 - x^k t - t^2}, & r = 0. \end{cases} \quad (7)$$

*Proof* Let  $H_r^{(k)}(x, t)$  be the generating function for polynomials  $G_{n+r}^{(k)}(x)$ . So, we write

$$H_r^{(k)}(x, t) = \sum_{n \geq 0} G_{n+r}^{(k)}(x)t^n. \quad (8)$$

If we multiply both sides of equation (8) by  $x^k t$  and  $t^2$ , respectively, then we can get

$$x^k t H_r^{(k)}(x, t) = x^k G_r^{(k)}(x)t^1 + x^k G_{r+1}^{(k)}(x)t^2 + x^k G_{r+2}^{(k)}(x)t^3 + \dots + x^k G_{r+n-1}^{(k)}(x)t^n + \dots$$

and

$$t^2 H_r^{(k)}(x, t) = G_r^{(k)}(x)t^2 + G_{r+1}^{(k)}(x)t^3 + G_{r+2}^{(k)}(x)t^4 + \dots + G_{r+n-2}^{(k)}(x)t^n + \dots.$$

The last two equations give us the following equation:

$$\begin{aligned}
 H_r^{(k)}(x, t) - x^k t H_r^{(k)}(x, t) - t^2 H_r^{(k)}(x, t) &= G_r^{(k)}(x) t^0 + (G_{r+1}^{(k)}(x) - x^k G_r^{(k)}(x)) t \\
 &+ (G_{r+2}^{(k)}(x) - x^k G_{r+1}^{(k)}(x) - G_r^{(k)}(x)) t^2 + \dots \\
 &+ (G_{n+r}^{(k)}(x) - x^k G_{n+r-1}^{(k)}(x) - G_{n+r-2}^{(k)}(x)) t^n + \dots
 \end{aligned}$$

If we use the recurrence relation and simplify it, we write

$$H_r^{(k)}(x, t) - x^k t H_r^{(k)}(x, t) - t^2 H_r^{(k)}(x, t) = G_r^{(k)}(x) t^0 + (G_{r+1}^{(k)}(x) - x^k G_r^{(k)}(x)) t,$$

i.e.,

$$H_r^{(k)}(x, t) = \begin{cases} \frac{G_r^{(k)}(x) + G_{r-1}^{(k)}(x) t}{1 - x^k t - t^2}, & r = 1, 2, 3, \dots, \\ \frac{t(ax^k + x - a)}{1 - x^k t - t^2}, & r = 0. \end{cases}$$

Thus, the proof is completed. □

Let us give the well-known formula, which is called the Cassini-like formula, without proof.

**Proposition 2.2** (Cassini-like) *For  $n \geq 0$ , we have*

$$G_{n-1}^{(k)}(x) G_{n+1}^{(k)}(x) - [G_n^{(k)}(x)]^2 = (-1)^{n-1} [A(x)B(x)], \tag{9}$$

where

$$A(x) = \frac{2(x - a) + ax^k - a\sqrt{x^{2k} + 4}}{2\sqrt{x^{2k} + 4}}$$

and

$$B(x) = \frac{-2(x - a) - ax^k - a\sqrt{x^{2k} + 4}}{2\sqrt{x^{2k} + 4}}.$$

In the following propositions, we give some sums formulas related to polynomials  $G_n^{(k)}(x)$ .

**Proposition 2.3** *For  $N \geq 0$ , we have*

$$H_0^{(k)}(x, 1) - H_{N+1}^{(k)}(x, 1) = \sum_{r=0}^N G_r^{(k)}(x) = \frac{2a - x - ax^k + G_{N+1}^{(k)}(x) + G_N^{(k)}(x)}{x^k}. \tag{10}$$

*Proof* Proof of formula (10) follows now immediately from (7). □

**Proposition 2.4** *For  $N \geq 0$ , we have the following sum formulas:*

$$\sum_{r=0}^N G_{2r}^{(k)}(x) = \frac{-x^{k+1} - ax^k(x^k - 1) - G_{2N}^{(k)}(x) + G_{2N+2}^{(k)}(x)}{x^{2k}} \tag{11}$$

and

$$\sum_{r=0}^N G_{2r+1}^{(k)}(x) = \frac{ax^k - G_{2N+1}^{(k)}(x) + G_{2N+3}^{(k)}(x)}{x^{2k}}. \quad (12)$$

*Proof* From the Binet formula, we can write

$$\sum_{r=0}^N G_{2r}^{(k)}(x) = \left(\frac{x-a+a\beta}{\alpha-\beta}\right) \sum_{r=0}^N \alpha^{2r} - \left(\frac{x-a+a\alpha}{\alpha-\beta}\right) \sum_{r=0}^N \beta^{2r}, \quad (13)$$

where  $\alpha = \alpha(x)$ ,  $\beta = \beta(x)$ . If we substitute the equations

$$\sum_{r=0}^N \alpha^{2r} = \frac{1-\alpha^{2N+2}}{1-\alpha^2}, \quad \sum_{r=0}^N \beta^{2r} = \frac{1-\beta^{2N+2}}{1-\beta^2}$$

and

$$(1-\alpha^2)(1-\beta^2) = -x^{2k}$$

into equation (13), then we can get

$$\sum_{r=0}^N G_{2r}^{(k)}(x) = \left(\frac{x-a+a\beta}{\alpha-\beta}\right) \frac{1-\alpha^{2N+2}}{1-\alpha^2} - \left(\frac{x-a+a\alpha}{\alpha-\beta}\right) \frac{1-\beta^{2N+2}}{1-\beta^2}.$$

If we rearrange the last equation, then we have

$$\begin{aligned} \sum_{r=0}^N G_{2r}^{(k)}(x) &= \frac{-a(\alpha-\beta) + (x-a)(\alpha^2-\beta^2) + a(\alpha^3-\beta^3)}{(1-\alpha^2)(1-\beta^2)(\alpha-\beta)} \\ &\quad - \frac{[(x-a+a\beta)\alpha^{2N+2} - (x-a+a\alpha)\beta^{2N+2}]}{(1-\alpha^2)(1-\beta^2)(\alpha-\beta)} \\ &\quad + \frac{\alpha^2\beta^2[(x-a+a\beta)\alpha^{2N} - (x-a+a\alpha)\beta^{2N}]}{(1-\alpha^2)(1-\beta^2)(\alpha-\beta)}. \end{aligned}$$

By taking aid of the Binet formula, we can write

$$\begin{aligned} \sum_{r=0}^N G_{2r}^{(k)}(x) &= \frac{-a + (x-a)(\alpha+\beta) + a(\alpha^2 + \alpha\beta + \beta^2)}{(1-\alpha^2)(1-\beta^2)} \\ &\quad + \frac{G_{2N}^{(k)}(x)}{(1-\alpha^2)(1-\beta^2)} - \frac{G_{2N+2}^{(k)}(x)}{(1-\alpha^2)(1-\beta^2)}. \end{aligned}$$

If we substitute  $\alpha + \beta = x^k$ ,  $\alpha\beta = -1$ ,  $(1-\alpha^2)(1-\beta^2) = -x^{2k}$  into the last equation, we obtain the following equation:

$$\sum_{r=0}^N G_{2r}^{(k)}(x) = \frac{x^{k+1} - ax^k + ax^{2k} - G_{2N+2}^{(k)}(x) + G_{2N}^{(k)}(x)}{-x^{2k}}.$$

Thus, the proof is completed. Similarly, the second part of the proposition can be seen.  $\square$

### 3 Asymptotic behaviors of the maximal roots for polynomials $G_n^{(k)}(x)$

In this section, firstly for  $k = 2$ , we investigate the roots of polynomials  $G_n^{(k)}(x)$ . After that, we generalize the obtained results for all positive real numbers  $k$ . When  $k = 2$ , we write

$$G_{n+2}^{(2)}(x) = x^2 G_{n+1}^{(2)}(x) + G_n^{(2)}(x), \tag{14}$$

where

$$G_0^{(2)}(x) = -a, \quad G_1^{(2)}(x) = x - a$$

and  $a$  is a positive real number. Now, we can give the following lemma to be used the later.

**Lemma 3.1** *If  $r$  is a maximal root of a function  $f$  with positive leading coefficient, then  $f(x) > 0$  for all  $x > r$ . Conversely, if  $f(x) > 0$  for all  $x \geq t$ , then  $r < t$ . If  $f(s) < 0$ , then  $s < r$  [2].*

**Lemma 3.2** *For  $n \geq 2$ ,  $G_n^{(2)}(x)$  has at least one real root on the interval  $(a, a + 1)$  and  $g_n \in (a, a + 1)$ , where  $g_n$  is the maximal root of polynomial  $G_n^{(2)}(x)$ .*

*Proof* Some of polynomials  $G_n^{(2)}(x)$  are as follows:

$$\begin{aligned} G_2^{(2)}(x) &= x^3 - ax^2 - a, \\ G_3^{(2)}(x) &= x^5 - ax^4 - ax^2 + x - a, \\ G_4^{(2)}(x) &= x^7 - ax^6 - ax^4 + 2x^3 - 2ax^2 - a, \\ &\vdots \end{aligned}$$

Note that polynomials  $G_n^{(2)}(x)$  are monic polynomials with degree  $n$  and constant term  $-a$ . If we write for  $x = a$ , then we have

$$\begin{aligned} G_1^{(2)}(a) &= 0, \\ G_2^{(2)}(a) &= -a < 0, \\ G_3^{(2)}(a) &= -a^3 = a^2 G_2^{(2)}(a) < 0, \\ G_4^{(2)}(a) &= -a^5 - a \leq -a^5 = a^2 G_3^{(2)}(a) < 0, \\ &\vdots \end{aligned}$$

For  $k \geq 2$ , if we suppose  $G_k^{(2)}(a) \leq a^2 G_{k-1}^{(2)}(a) < 0$ , then by using the recursive relation (14), we get

$$G_{k+1}^{(2)}(a) = a^2 G_k^{(2)}(a) + G_{k-1}^{(2)}(a) < 0.$$

Thus, for  $x = a$ , we get  $G_n^{(2)}(x) < 0$ . Similarly, when  $x = a + 1$ , we have  $G_n^{(2)}(x) > 0$ . Therefore,  $G_n^{(2)}(x)$  has at least one real root on the interval  $(a, a + 1)$ , and we write  $g_n \in (a, a + 1)$  for the maximal root of  $G_n^{(2)}(x)$ , which results easily from Lemma 3.1 and the recursive relation for  $G_n^{(2)}(x)$ . □

Let  $g_n$  denote the maximal root of polynomial  $G_n^{(2)}(x)$  for every  $n \in \mathbb{N}$ . Then we can give the following proposition to illustrate the monotonicity of  $\{g_{2n-1}\}$  and  $\{g_{2n}\}$ .

**Proposition 3.3** *The sequence  $\{g_{2n-1}\}$  is a monotonically increasing sequence and the sequence  $\{g_{2n}\}$  is a monotonically decreasing sequence.*

*Proof* Firstly, we consider polynomials  $G_n^{(2)}(x)$  with odd indices. By a direct computation, we get  $G_3^{(2)}(a) = -a^3 < 0$ ,  $g_3 > a$ ,  $a = g_1$ . Assume that  $g_1 < g_3 < g_5 < \dots < g_{2k-3} < g_{2k-1}$ . We can write  $G_{2k-3}^{(2)}(g_{2k-1}) > 0$ . Thus, it can be easily seen that

$$G_{n+k}^{(2)}(g_n) = (-1)^{k+1} G_{n-k}^{(2)}(g_n). \tag{15}$$

By using equation (15), we can write

$$G_{2k+1}^{(2)}(g_{2k-1}) = G_{(2k-1)+2}^{(2)}(g_{2k-1}) = -G_{(2k-1)-2}^{(2)}(g_{2k-1}) = -G_{2k-3}^{(2)}(g_{2k-1}). \tag{16}$$

So, from equation (16) we write

$$G_{2k+1}^{(2)}(g_{2k-1}) < 0. \tag{17}$$

Therefore, polynomials  $G_{2k+1}^{(2)}(x)$  must have a root greater than  $g_{2k-1}$ . So, we get

$$g_{2k+1} > g_{2k-1}. \tag{18}$$

After that we consider polynomials  $G_n^{(2)}(x)$  with even indices. From the recursive relation (14), we can obtain

$$G_{2k+1}^{(2)}(g_{2k-1}) = g_{2k-1}^2 G_{2k}^{(2)}(g_{2k-1}) + G_{2k-1}^{(2)}(g_{2k-1}). \tag{19}$$

Since  $G_{2k-1}^{(2)}(g_{2k-1}) = 0$ , by using Lemma 3.1, we can get  $G_{2k+1}^{(2)}(g_{2k-1}) < 0$ . Thus, we get

$$g_{2k-1} < g_{2k}. \tag{20}$$

Again, by using Lemma 3.1, we can write

$$G_{2k-1}^{(2)}(g_{2k}) > 0. \tag{21}$$

From the recursive relation (14), we can write

$$G_{2k}^{(2)}(g_{2k}) = g_{2k}^2 G_{2k-1}^{(2)}(g_{2k}) + G_{2k-2}^{(2)}(g_{2k}). \tag{22}$$

From equation (22), we can get

$$-g_{2k}^2 G_{2k-1}^{(2)}(g_{2k}) = G_{2k-2}^{(2)}(g_{2k}) < 0.$$

So, we have  $g_{2k} < g_{2k-2}$ . Thus,  $\{g_{2n-1}\}$  is a monotonically increasing sequence and bounded above by the number  $a + 1$ . Similarly,  $\{g_{2n}\}$  is a monotonically decreasing sequence and

bounded below by the number  $a$ . If we denote the  $\lim_{x \rightarrow \infty} g_{2n-1}$  by  $g_{\text{odd}}$  and  $\lim_{x \rightarrow \infty} g_{2n}$  by  $g_{\text{even}}$ , then we can write  $g_{\text{odd}} = g_{\text{even}}$ .  $\square$

**Proposition 3.4** For polynomials  $G_{2n-1}^{(2)}(x)$  and  $G_{2n}^{(2)}(x)$ , the sequences  $\{g_{2n-1}\}$  and  $\{g_{2n}\}$  converge to the following number  $\zeta$ :

$$\zeta = \frac{\sqrt{(1-a^2)^2 + 8a^2} - (1-a^2)}{2a}. \tag{23}$$

*Proof* Using the Binet formula of relation (14), for all  $[a, a + 1]$ , we can see that  $\alpha(x) \geq \alpha(a) > 1$  and  $|\beta(x)| = \frac{1}{\alpha(x)} \leq \frac{1}{\alpha(a)}$ . Thus, we get

$$\lim_{n \rightarrow \infty} \alpha^n(x) = +\infty; \quad \lim_{n \rightarrow \infty} \beta^n(x) = 0. \tag{24}$$

If we write  $n = 2k - 1$  and  $x = g_{2k-1}$  in equation (5), then we have

$$A(g_{2k-1})\alpha^{2k-1}(g_{2k-1}) + B(g_{2k-1})\beta^{2k-1}(g_{2k-1}) = 0. \tag{25}$$

And from equation (25) we write

$$A(g_{2k-1}) = -B(g_{2k-1}) \left( \frac{\beta^{2k-1}(g_{2k-1})}{\alpha^{2k-1}(g_{2k-1})} \right). \tag{26}$$

$A(x)$  and  $B(x)$  are continuous on the interval  $[a, a + 1]$ , this implies that  $|A(x)|$  and  $|B(x)|$  are bounded below and above on  $[a, a + 1]$ . So, since  $a \geq 1$ , we get

$$\lim_{k \rightarrow \infty} A(g_{2k-1}) = A(g_{\text{odd}}) = 0. \tag{27}$$

From Binet formula (5), we have

$$\lim_{k \rightarrow \infty} g_{2k-1} = \frac{\sqrt{(1-a^2)^2 + 8a^2} - (1-a^2)}{2a}. \tag{28}$$

Also, by the aid of similar discussion, if we take  $n = 2k$  and  $x = g_{2k}$ , then we find that

$$\lim_{k \rightarrow \infty} g_{2k} = \frac{\sqrt{(1-a^2)^2 + 8a^2} - (1-a^2)}{2a}.$$

That is,

$$\zeta = \frac{\sqrt{(1-a^2)^2 + 8a^2} - (1-a^2)}{2a}. \tag{29}$$

Notice that if we take  $a = 1$  in equation (29), then our result coincides with the result of Tewodros [7].  $\square$

For  $\zeta$  numbers in equation (23), from Proposition 3.4 we can deduce the following result.

**Corollary 3.5** For every positive integer  $a$ , we have

$$a < \zeta < a + 1. \tag{30}$$

Now, we give a proposition for the maximal real roots of  $G_n^{(k)}(x)$  without proof.

**Proposition 3.6** The maximal real roots of  $G_n^{(k)}(x)$  provide the following equation:

$$g - 2a + ag^k - a^2g^{k-1} = 0, \tag{31}$$

where the numbers  $g = g_n = g_n(k)$  are the maximal real roots of  $G_n^{(k)}(x)$ , that is,

$$ag_n = a^2 - g_n^{2-k} + 2ag_n^{1-k}, \tag{32}$$

which implies

$$\frac{a}{1 + a(a + 1)^{k-1}} < g_n(k) - a < \frac{a}{1 + a^k},$$

whenever  $k > 2$ .

$$\frac{a - 1}{a(a + 1)} < \frac{2a - g_n(2)}{ag_n(2)} = g_n(2) - a < \frac{1}{a + 1}$$

and

$$\lim_{k \rightarrow \infty} g_n(k) = a,$$

whenever  $a > 1$  for every  $n \in \mathbb{N}$ .

*Proof* The proof can be easily seen as being similar to the proof of Proposition 3.4 □

**Competing interests**

The authors declare that they have no competing interests.

**Authors' contributions**

All authors completed the paper together. All authors read and approved the final manuscript.

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