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# Some criteria for boundedness and compactness of the Hardy operator with some special kernels

Alois Kufner, Komil Kuliev and Ryskul Oinarov\*

\*Correspondence: o\_ryskul@mail.ru  
Astana, Republic of Kazakhstan

## Abstract

We present necessary and sufficient conditions for boundedness and compactness of Hardy operator (1.4) with kernel (1.3) for  $1 < p \leq q < \infty$ .

**MSC:** Primary 26D10; 26D15; secondary 47B07; 47B34; 47B38

**Keywords:** inequalities; Hardy-type inequalities; weights; Hardy operator; compact operator

## 1 Introduction

First, let us recall that the weighted Lebesgue space  $L^r(w)$ , with  $r \geq 1$  and  $w$  a weight function on  $(0, \infty)$ , is defined as a set of all functions  $f = f(x)$  such that

$$\int_0^\infty |f(x)|^r w(x) dx < \infty.$$

We will investigate the *Hardy-type inequality*

$$\left( \int_0^\infty \left| \int_0^x k(x,t)f(t) dt \right|^q u(x) dx \right)^{\frac{1}{q}} \leq C \left( \int_0^\infty |f(x)|^p v(x) dx \right)^{\frac{1}{p}} \quad (1.1)$$

with  $1 < p, q < \infty$  and  $u, v$  weight functions on  $(0, \infty)$ .

This inequality was investigated by many authors. For  $k(x, t) \equiv 1$ , we obtain the ‘classical’ Hardy inequality

$$\left( \int_0^\infty \left| \int_0^x f(t) dt \right|^q u(x) dx \right)^{\frac{1}{q}} \leq C \left( \int_0^\infty |f(x)|^p v(x) dx \right)^{\frac{1}{p}}, \quad (1.2)$$

the case  $k(x, t) = a(x)b(t)$  can be easily reduced to the classical case with modified weights  $U(x) = |a(x)|^q u(x)$  and  $V(x) = |b(x)|^{-p} v(x)$  instead of  $u$  and  $v$ , respectively. Stepanov [1] has investigated ‘convolutionary’ kernels like  $(x - t)^\alpha$ , and probably the most general approach is connected with the name of Oinarov who investigated positive kernels  $k$  such that

$$k(x, t) \approx k(x, z) + k(z, t), \quad t < z < x$$

(see [2]) and also several more general kernels (see [3, 4]). Also, inequalities with modified or generalized kernels have been investigated; let us mention the recent book [5] where multiple Hardy-type inequalities with the so-called product kernels are considered. See also [6] and [7] for further details.

Here we consider kernels  $k(x, t)$  of the type

$$k(x, t) = \sum_{i=1}^m a_i(x)b_i(t) \tag{1.3}$$

and we want to find conditions on the weight functions  $u, v$  and on the functions  $a_i, b_i$ , for which the integral operator

$$(kf)(x) := \int_0^x k(x, t)f(t) dt \tag{1.4}$$

maps the space  $L^p(v)$  continuously into  $L^q(u)$ .

**Remark 1.1** The case of kernel (1.3) with special functions  $b_i(t) = t^{i-1}$  was investigated by Rychkov [8] for  $p = q = 2$ . Such kernels appear for general  $p, q$  by the investigation of higher-order Hardy inequalities; see [9].

Now, let us denote, for given  $a_i, b_i, u$  and  $v$ ,

$$A_i(x) = a_i(x)u^{1/q}(x), \quad B_i(t) = b_i(t)v^{-1/p}(t). \tag{1.5}$$

Then we can rewrite inequality (1.1) [for functions  $f$ ] as the unweighted inequality

$$\left( \int_0^\infty \left| \int_0^x K(x, t)g(t) dt \right|^q dx \right)^{\frac{1}{q}} \leq C \left( \int_0^\infty |g(x)|^p dx \right)^{\frac{1}{p}} \tag{1.6}$$

[for functions  $g(x) = f(x)v^{1/p}(x)$ ] with

$$K(x, t) = \sum_{i=1}^m A_i(x)B_i(t). \tag{1.7}$$

**Remark 1.2** If  $m = 1$ , we have  $K(x, t) = A(x)B(t)$ , and we can rewrite (1.6) as

$$\left( \int_0^\infty \left| \int_0^x B(t)g(t) dt \right|^q |A(x)|^q dx \right)^{\frac{1}{q}} \leq C \left( \int_0^\infty |g(x)|^p dx \right)^{\frac{1}{p}},$$

which is in fact the classical (weighted) Hardy inequality

$$\left( \int_0^\infty \left| \int_0^x h(t) dt \right|^q |A(x)|^q dx \right)^{\frac{1}{q}} \leq C \left( \int_0^\infty |h(x)|^p |B(x)|^{-p} dx \right)^{\frac{1}{p}} \tag{1.8}$$

[for the function  $h(x) = g(x)B(x)$ ] with the weight functions  $|A(x)|^q$  and  $|B(x)|^{-p}$ .

It is well known (see, e.g., [6] or [7]) that for the case  $1 < p \leq q < \infty$ , inequality (1.8) holds for all functions  $h \geq 0$  if and only if the so-called Muckenhoupt-Bradley condition

$$\sup_{z>0} A_M(z) < \infty$$

is satisfied, where

$$\begin{aligned} A_M(z) &= \left( \int_z^\infty |A(x)|^q dx \right)^{1/q} \left( \int_0^z [|B(x)|^{-p}]^{1-p'} dx \right)^{1/p'} \\ &= \left( \int_z^\infty |A(x)|^q dx \right)^{1/q} \left( \int_0^z |B(x)|^{p'} dx \right)^{1/p'} \end{aligned} \tag{1.9}$$

with  $p' = p/(p - 1)$ .

It follows from (1.9) that we need the integrability of  $|A(x)|^q$  on  $(z, \infty)$  and of  $|B(x)|^{p'}$  on  $(0, z)$  for all  $z > 0$ , i.e.,

$$A \in L^q(z, \infty) \quad \text{and} \quad B \in L^{p'}(0, z)$$

[where we denote by  $L^r(\alpha, \beta)$  the classical Lebesgue spaces of functions defined on  $(\alpha, \beta)$ ].

In accordance with this remark, we suppose throughout the paper that the functions  $A_i, B_i$  from (1.7) satisfy

$$A_i \in L^q(z, \infty) \quad \text{and} \quad B_i \in L^{p'}(0, z) \tag{1.10}$$

for all  $z > 0$  and  $i = 1, 2, \dots, m$ .

## 2 Sufficient conditions

For  $p > 1$  and  $u, v$  weight functions on  $(0, \infty)$ , let us define

$$A_M(x; u, v) = A_M(x) := \left( \int_x^\infty u(t) dt \right)^{\frac{1}{q}} \left( \int_0^x v^{1-p'}(t) dt \right)^{\frac{1}{p'}}. \tag{2.1}$$

**Remark 2.1** Let us recall that the condition

$$\sup_{x>0} A_M(x) < \infty$$

is necessary and sufficient for the validity of the classical Hardy inequality (1.2) for  $p \leq q$ .

It is easy to find a sufficient condition for (1.1) to hold, if we consider the ‘partial’ operators

$$(k_i f)(x) := \int_0^x a_i(x) b_i(t) f(t) dt \tag{2.2}$$

as operators from  $L^p(v)$  into  $L^q(u)$ .

**Theorem 2.2** Let  $1 < p \leq q < \infty$ . For  $A_M(x)$  defined by (2.1), denote

$$A_{M,i}(x) := A_M(x; u|a_i|^q, v|b_i|^{-p}), \quad i = 1, 2, \dots, m, \tag{2.3}$$

where  $a_i, b_i$  are the functions in (1.3). Then the Hardy-type inequality (1.1) with kernel  $k(x, t)$  from (1.3) holds if the weight functions  $u, v$  satisfy for  $i = 1, 2, \dots, m$  the conditions

$$\sup_{x>0} A_{M,i}(x) < \infty. \tag{2.4}$$

*Proof* Conditions (2.4) guarantee the validity of the Hardy inequality

$$\left( \int_0^\infty \left| \int_0^x g(t) dt \right|^q u(x) |a_i(x)|^q dx \right)^{\frac{1}{q}} \leq C_{1,i} \left( \int_0^\infty |g(t)|^p v(t) |b_i(t)|^{-p} dt \right)^{\frac{1}{p}}$$

for functions  $g$ ; if we take  $g(t) = f(t)b_i(t)$ , we can rewrite the foregoing Hardy inequality as

$$\left( \int_0^\infty \left| \int_0^x a_i(x)b_i(t)f(t) dt \right|^q u(x) dx \right)^{\frac{1}{q}} \leq C_{1,i} \left( \int_0^\infty |f(t)|^p v(t) dt \right)^{\frac{1}{p}}.$$

Now, using (1.3), the Minkowski and the last inequality, we obtain

$$\begin{aligned} \left( \int_0^\infty \left| \int_0^x k(x, t)f(t) dt \right|^q u(x) dx \right)^{\frac{1}{q}} &= \left( \int_0^\infty \left| \sum_{i=1}^m \int_0^x a_i(x)b_i(t)f(t) dt \right|^q u(x) dx \right)^{\frac{1}{q}} \\ &\leq \sum_{i=1}^m \left( \int_0^\infty \left| \int_0^x a_i(x)b_i(t)f(t) dt \right|^q u(x) dx \right)^{\frac{1}{q}} \\ &\leq \sum_{i=1}^m C_{1,i} \left( \int_0^\infty |f(t)|^p v(t) dt \right)^{\frac{1}{p}}, \end{aligned}$$

*i.e.*, we have derived inequality (1.1). □

**Remark 2.3** Let us mention that the expression  $A_{M,i}(x)$  in (2.3) is nothing else than the expression  $A_M(x)$  from (1.9), where we replace  $A, B$  by  $A_i, B_i$ , respectively, with  $A_i, B_i$  from (1.5).

### 3 Necessary conditions

First let us introduce some auxiliary notions.

**Definition 3.1** We will say that the system (matrix)  $\{D_{ij}\}_{i,j=1}^m$  of real numbers  $D_{ij}$  satisfies the ellipticity condition if there exists a constant  $C_E > 0$  such that

$$\sum_{i,j=1}^m D_{ij} \xi_i \xi_j \geq C_E \sum_{i=1}^m D_{ii} \xi_i^2 \quad \text{for all } \xi = \{\xi_i\}_{i=1}^m \in \mathbb{R}^m.$$

**Remark 3.2** (i) The ellipticity condition is equivalent to the positive definiteness of the quadratic form

$$\sum_{i,j=1}^m \tilde{D}_{i,j} \xi_i \xi_j \quad \text{with } \xi = \{\xi_i\}_{i=1}^m \in \mathbb{R}^m,$$

where

$$\tilde{D}_{i,j} = \begin{cases} (1-c)D_{i,i}, & i = j, \\ D_{i,j}, & i \neq j \end{cases}$$

with some  $0 < c < 1$ .

(ii) A sufficient condition for the ellipticity to be satisfied is

$$D_{i,j} \leq (1-c)\sqrt{D_{i,i}D_{j,j}} \quad \text{for } i \neq j.$$

Indeed, if we denote  $M^- = \{(i,j) : D_{i,j}\xi_i\xi_j < 0, 1 \leq i, j \leq m\}$ , then

$$\begin{aligned} \sum_{i,j=1}^m D_{i,j}\xi_i\xi_j &\geq \sum_{i=1}^m D_{i,i}\xi_i^2 - \sum_{(i,j) \in M^-} |D_{i,j}||\xi_i||\xi_j| \\ &\geq \sum_{i=1}^m D_{i,i}\xi_i^2 - \sum_{(i,j) \in M^-} (1-c)\sqrt{D_{i,i}D_{j,j}}|\xi_i||\xi_j| \\ &\geq \sum_{i=1}^m D_{i,i}\xi_i^2 - \sum_{(i,j) \in M^-} \frac{(1-c)}{2}(D_{i,i}\xi_i^2 + D_{j,j}\xi_j^2) \\ &\geq c \sum_{i=1}^m D_{i,i}\xi_i^2. \end{aligned}$$

To find necessary conditions for (1.1) to hold, we consider three cases.

(I) The case  $p = 2, 1 < q < \infty$ . Denote for this case

$$A_2 := \sup_{z>0} \left( \int_z^\infty \left( \int_0^z k^2(x,t)v^{-1}(t) dt \right)^{\frac{q}{2}} u(x) dx \right)^{\frac{1}{q}}.$$

**Theorem 3.3** *Let  $p = 2$  and  $1 < q < \infty$ . Then the following condition*

$$A_2 < \infty \tag{3.1}$$

*is necessary for inequality (1.1) to hold.*

*Proof* For  $z > 0$  and for  $\{B_i\}_{i=1}^m$  from (1.5) let  $\{B_{i_k}\}_{k=1}^n$  be an arbitrary maximal linearly independent subsystem of  $\{B_i\}_{i=1}^m$  in  $L^2(0, z)$ , which, for simplicity, we denote by  $\{B_i\}_{i=1}^n$ . Using the Gram-Schmidt method of orthogonalization to the system  $\{B_i\}_{i=1}^n$  in  $L^2(0, z)$ , we obtain a system  $\{B_{i,z}\}_{i=1}^n$  such that

$$B_i(t) = \sum_{j=1}^n \beta_{i,j}(z) B_{j,z}(t)$$

for  $t \in (0, z)$  and  $i = 1, 2, \dots, m$ . Using this we rewrite the kernel in (1.6) in the form

$$\begin{aligned} K(x, t) &= \sum_{i=1}^m A_i(x) B_i(t) \\ &= \sum_{i=1}^m A_i(x) \sum_{j=1}^n \beta_{i,j}(z) B_{j,z}(t) \\ &= \sum_{j=1}^n B_{j,z}(t) \sum_{i=1}^m \beta_{i,j}(z) A_i(x) \\ &= \sum_{j=1}^n A_{j,z}(x) B_{j,z}(t) = K_z(x, t), \end{aligned}$$

for  $t \in (0, z)$ , where  $A_{j,z}(x) = \sum_{i=1}^m \beta_{i,j}(z) A_i(x)$ .

Let  $1 \leq j \leq n$ , then choosing  $f_{j,z}(t) = \chi_{(0,z)}(t) B_{j,z}(t) \|B_{j,z}\|_{L^2(0,z)}^{-1}$  and using the orthogonality of the system  $\{B_{i,z}\}_{i=1}^n$ , we estimate the left-hand side of (1.6) as

$$\begin{aligned} \|Kf_{j,z}\|_{L^q} &= \left( \int_0^\infty \left| \int_0^x K(x, t) f_{j,z}(t) dt \right|^q dx \right)^{\frac{1}{q}} \\ &\geq \left( \int_z^\infty \left| \int_0^z K(x, t) f_{j,z}(t) dt \right|^q dx \right)^{\frac{1}{q}} \\ &= \left( \int_z^\infty \left| \int_0^z K_z(x, t) B_{j,z}(t) dt \right|^q dx \right)^{\frac{1}{q}} \|B_{j,z}\|_{L^2(0,z)}^{-1} \\ &= \left( \int_z^\infty \left| \sum_{i=1}^n A_{i,z}(x) \int_0^z B_{i,z}(t) B_{j,z}(t) dt \right|^q dx \right)^{\frac{1}{q}} \|B_{j,z}\|_{L^2(0,z)}^{-1} \\ &= \left( \int_z^\infty |A_{j,z}(x)|^q dx \right)^{\frac{1}{q}} \left( \int_0^z |B_{j,z}(t)|^2 dt \right)^{\frac{1}{2}}. \end{aligned} \tag{3.2}$$

This estimate together with (1.6) implies

$$\begin{aligned} nC &= C \sum_{j=1}^n \|f_{j,z}\|_{L^2} \geq \sum_{j=1}^n \|Kf_{j,z}\|_{L^q} \\ &\geq \sum_{j=1}^n \left( \int_z^\infty |A_{j,z}(x)|^q dx \right)^{\frac{1}{q}} \left( \int_0^z |B_{j,z}(t)|^2 dt \right)^{\frac{1}{2}} \\ &:= A_2^*(z). \end{aligned} \tag{3.3}$$

Using the Minkowski inequality, we estimate  $A_2^*(z)$  in the form

$$\begin{aligned} A_2^*(z) &= \sum_{j=1}^n \left( \int_z^\infty \left[ \left( \int_0^z |A_{j,z}(x) B_{j,z}(t)|^2 dt \right)^{\frac{1}{2}} \right]^q dx \right)^{\frac{1}{q}} \\ &\geq \left( \int_z^\infty \left[ \sum_{j=1}^n \left( \int_0^z |A_{j,z}(x) B_{j,z}(t)|^2 dt \right)^{\frac{1}{2}} \right]^q dx \right)^{\frac{1}{q}} \end{aligned}$$

$$\begin{aligned}
 &\geq \left( \int_z^\infty \left( \int_0^z \left| \sum_{j=1}^n A_{j,z}(x) B_{j,z}(t) \right|^2 dt \right)^{\frac{q}{2}} dx \right)^{\frac{1}{q}} \\
 &= \left( \int_z^\infty \left( \int_0^z \left| \sum_{j=1}^n \sum_{i=1}^m A_i(x) \beta_{i,j}(z) B_{j,z}(t) \right|^2 dt \right)^{\frac{q}{2}} dx \right)^{\frac{1}{q}} \\
 &= \left( \int_z^\infty \left( \int_0^z \left| \sum_{i=1}^m \sum_{j=1}^n A_i(x) \beta_{i,j}(z) B_{j,z}(t) \right|^2 dt \right)^{\frac{q}{2}} dx \right)^{\frac{1}{q}} \\
 &= \left( \int_z^\infty \left( \int_0^z \left| \sum_{i=1}^m A_i(x) B_i(t) \right|^2 dt \right)^{\frac{q}{2}} dx \right)^{\frac{1}{q}} \\
 &= \left( \int_z^\infty \left( \int_0^z k^2(x, t) v^{-1}(t) dt \right)^{\frac{q}{2}} u(x) dx \right)^{\frac{1}{q}}. \tag{3.4}
 \end{aligned}$$

Since  $z > 0$  is arbitrary and inequality (1.1) (i.e., inequality (1.6)) holds, we conclude that  $C$  is finite and we get (3.1).  $\square$

Moreover, we can show that condition (2.4) is also necessary if we add some assumptions. For this purpose, denote

$$D_{i,j}(z) = \int_0^z B_i(t) B_j(t) dt = \int_0^z b_i(t) b_j(t) v^{-1}(t) dt, \tag{3.5}$$

where  $B_i(t) = b_i(t) v^{-1/2}(t)$ .

**Definition 3.4** We say that the condition  $E_2$  is satisfied if there exists a constant  $C_E$  such that for every  $z > 0$  the system  $\{D_{i,j}(z)\}_{i,j=1}^m$  satisfies the ellipticity condition.

**Theorem 3.5** Let  $p = 2$  and  $1 < q < \infty$ . Suppose that the system  $\{D_{i,j}(z)\}_{i,j=1}^m$  from (3.5) satisfies the condition  $E_2$ . Then (sufficient) condition (2.4) is necessary for (1.1) to hold.

*Proof* Using Theorem 3.3, formula (3.4), the condition  $E_2$  and the Minkowski inequality, we obtain

$$\begin{aligned}
 \sum_{j=1}^n \|Kf_{j,z}\|_{L^q} &\geq \left( \int_z^\infty \left( \int_0^z k^2(x, t) v^{-1}(t) dt \right)^{\frac{q}{2}} u(x) dx \right)^{\frac{1}{q}} \\
 &= \left( \int_z^\infty \left( \int_0^z \sum_{i,j=1}^m a_i(x) a_j(x) b_i(t) b_j(t) v^{-1}(t) dt \right)^{\frac{q}{2}} u(x) dx \right)^{\frac{1}{q}} \\
 &= \left( \int_z^\infty \left( \sum_{i,j=1}^m D_{i,j}(z) A_i(x) A_j(x) \right)^{\frac{q}{2}} dx \right)^{\frac{1}{q}} \\
 &\geq \sqrt{C_E} \left( \int_z^\infty \left( \sum_{i=1}^m D_{i,i}(z) A_i(x)^2 \right)^{\frac{q}{2}} dx \right)^{\frac{1}{q}} \\
 &= \sqrt{C_E} \left( \int_z^\infty \left( \sum_{i=1}^m A_i(x)^2 \int_0^z B_i(t)^2 dt \right)^{\frac{q}{2}} dx \right)^{\frac{1}{q}}
 \end{aligned}$$

$$\begin{aligned}
 &\geq \sqrt{C_E} \left( \int_z^\infty |A_i(x)|^q dx \right)^{\frac{1}{q}} \left( \int_0^z B_i(t)^2 dt \right)^{\frac{1}{2}} \\
 &= \sqrt{C_E} \left( \int_z^\infty |a_i(x)|^q u(x) dx \right)^{\frac{1}{q}} \left( \int_0^z b_i^2(t) v^{-1}(t) dt \right)^{\frac{1}{2}} \\
 &= \sqrt{C_E} A_{M,i}(z)
 \end{aligned} \tag{3.6}$$

for arbitrary  $i : 1 \leq i \leq m$ . Consequently, from (3.3) and (3.6), we get (2.4). The theorem is proved.  $\square$

(II) The case  $1 < p < 2, 1 < q < \infty$ . Let us denote

$$D_{i,l}^j(z) = \int_0^z \tilde{B}_i^j(t) \tilde{B}_l^j(t) dt, \quad 1 \leq i, j, l \leq m,$$

where  $\tilde{B}_i^j(t) := B_i(t)|B_j(t)|^{\frac{p'-2}{2}}$  belongs to  $L^2(0, z)$  since

$$\int_0^z |\tilde{B}_i^j(t)|^2 dt \leq \left( \int_0^z |B_i(t)|^{p'} dt \right)^{\frac{2}{p'}} \left( \int_0^z |B_j(t)|^{p'} dt \right)^{\frac{p'-2}{2p'}} < \infty.$$

**Definition 3.6** We will say that the condition  $E_p$  is satisfied if there exists a constant  $C_E > 0$  such that for every  $z > 0$  the system  $\{D_{i,l}^j(z)\}_{i,l=1}^m$  satisfies the ellipticity condition for  $j = 1, 2, \dots, m$ .

**Theorem 3.7** Let  $1 < p < 2$  and  $1 < q < \infty$ . If the condition  $E_p$  holds, then (2.4) is necessary for inequality (1.1) to hold.

*Proof* Let  $z > 0$  and let  $1 \leq j \leq m$ . Let  $\{\tilde{B}_{i_k}^j\}_{k=1}^n$  be a maximal linearly independent subsystem of  $\{\tilde{B}_i^j\}_{i=1}^m$  in  $L^2(0, z)$ , which, for simplicity, we denote by  $\{\tilde{B}_i^j\}_{i=1}^n$ . Thus, using the method of orthogonalization, we get an orthogonal system  $\{\tilde{B}_{i,z}^j\}_{i=1}^n$  in  $L^2(0, z)$  such that

$$\tilde{B}_i^j(t) = \sum_{l=1}^n \beta_{i,l}^j(z) \tilde{B}_{l,z}^j(t), \quad i = 1, 2, \dots, m. \tag{3.7}$$

If we denote  $g(t) = \tilde{B}_{l,z}^j(t) \|\tilde{B}_{l,z}^j\|_{L^2(0,z)}^{-1}$ ,  $c_j = \|B_j\|_{L^{p'}(0,z)}^{\frac{p-2}{2p-2}}$  and choose the following test function in (1.6) as

$$f_{l,z}^j(t) = \chi_{(0,z)}(t) c_j |B_j(t)|^{\frac{p'-2}{2}} g(t),$$

then the left- and right-hand sides are estimated in the forms:

$$\begin{aligned}
 \|f_{l,z}^j\|_{L^p} &= c_j \left( \int_0^z |B_j(t)|^{\frac{(p'-2)p}{2}} |g(t)|^p dt \right)^{\frac{1}{p}} \\
 &\leq c_j \left( \int_0^z |B_j(t)|^{p'} dt \right)^{\frac{2-p}{2p}} \left( \int_0^z |g(t)|^2 dt \right)^{\frac{1}{2}} \\
 &= 1,
 \end{aligned} \tag{3.8}$$



and

$$\begin{aligned} \|Kf_{l,z}^j\|_{L^q} &= \left( \int_0^\infty \left| \int_0^x K(x,t)f_{l,z}^j(t) dt \right|^q dx \right)^{\frac{1}{q}} \\ &\geq \left( \int_z^\infty \left| \int_0^z K(x,t)f_{l,z}^j(t) dt \right|^q dx \right)^{\frac{1}{q}} \\ &= c_j \left( \int_z^\infty \left| \int_0^z \tilde{K}^j(x,t)g(t) dt \right|^q dx \right)^{\frac{1}{q}}, \end{aligned} \tag{3.9}$$

where  $\tilde{K}^j(x,t) = \sum_{i=1}^m A_i(x)\tilde{B}_{i,l}^j(t)$ .

Using (3.7) we rewrite the kernel  $\tilde{K}^j(x,t)$  in the form

$$\begin{aligned} \tilde{K}^j(x,t) &= \sum_{i=1}^m A_i(x) \left[ \sum_{l=1}^n \beta_{i,l}^j(z)\tilde{B}_{l,z}^j(t) \right] \\ &= \sum_{l=1}^n A_{l,z}^j(x)\tilde{B}_{l,z}^j(t) = \tilde{K}_z^j(x,t), \end{aligned}$$

where  $A_{l,z}^j(x) = \sum_{i=1}^m A_i(x)\beta_{i,l}^j(z)$ .

Then we have from (3.8), (3.9) and (1.6) that

$$c_j \left( \int_z^\infty \left| \int_0^z \tilde{K}_z^j(x,t)g(t) dt \right|^q dx \right)^{\frac{1}{q}} \leq \|Kf_{l,z}^j\|_{L^q} \leq C. \tag{3.10}$$

Now, repeating the proofs of the foregoing theorems with respect to (3.10) with the kernel  $\tilde{K}_z^j(x,t)$ , we can also get a similar estimate as in (3.2) in the form

$$nC \geq \sum_{l=1}^n \|Kf_{l,z}^j\|_{L^q} \geq c_j \sum_{l=1}^n \left( \int_z^\infty |A_{l,z}^j(x)|^q dx \right)^{\frac{1}{q}} \left( \int_0^z |\tilde{B}_{l,z}^j(t)|^2 dt \right)^{\frac{1}{2}}. \tag{3.11}$$

Then supposing the condition  $E_p$ , we also obtain the following estimate:

$$\begin{aligned} \sum_{l=1}^n \|Kf_{l,z}^j\|_{L^q} &\geq c_j \sum_{l=1}^n \left( \int_z^\infty |A_{l,z}^j(x)|^q dx \right)^{\frac{1}{q}} \left( \int_0^z |B_{l,z}^j(t)|^2 dt \right)^{\frac{1}{2}} \\ &\vdots \\ &\geq c_j \left( \int_z^\infty \left( \sum_{i,l=1}^m D_{i,l}^j(z)A_i(x)A_l(x) \right)^{\frac{q}{2}} dx \right)^{\frac{1}{q}} \\ &\geq c_j \sqrt{C_E} \left( \int_z^\infty \left( \sum_{i=1}^m D_{i,i}^j(z)A_i(x)^2 \right)^{\frac{q}{2}} dx \right)^{\frac{1}{q}} \\ &\geq c_j \sqrt{C_E} \left( \int_z^\infty |A_j(x)|^q dx \right)^{\frac{1}{q}} \left( \int_0^z |B_j(t)|^{p'} dt \right)^{\frac{1}{2}}, \end{aligned}$$

i.e.,

$$\begin{aligned} \sum_{l=1}^n \|Kf_{l,z}^j\|_{L^q} &\geq c_j \sqrt{C_E} \left( \int_z^\infty |A_j(x)|^q dx \right)^{\frac{1}{q}} \left( \int_0^z |B_j(t)|^{p'} dt \right)^{\frac{1}{2}} \\ &\geq \sqrt{C_E} \left( \int_z^\infty |a_j(x)|^q u(x) dx \right)^{\frac{1}{q}} \left( \int_0^z |b_j(t)|^{p'} v^{1-p'}(t) dt \right)^{\frac{1}{p'}} \\ &= \sqrt{C_E} A_{M,j}(z), \end{aligned} \tag{3.12}$$

which holds for  $1 \leq j \leq m$  and  $z > 0$ .

Using this estimate and (3.11), we finally get that

$$\frac{\sqrt{C_E}}{n} \sup_{z>0} A_{M,j}(z) \leq C.$$

The proof is complete. □

(III) The case  $1 < p < \infty, 2 \leq q < \infty$ . Another approach how to investigate inequality (1.1) is based on the following lemma (for details, see [7]).

**Lemma 3.8** *Let  $1 < p, q < \infty$ . Then inequality (1.6) holds for all  $f \in L^p$  if and only if the conjugate inequality*

$$\left( \int_0^\infty |K^*g(x)|^{p'} dx \right)^{\frac{1}{p'}} \leq C \left( \int_0^\infty |g(x)|^q dx \right)^{\frac{1}{q}} \tag{3.13}$$

holds for all  $g \in L^q$ , where

$$K^*g(x) := \int_x^\infty K(t,x)g(t) dt \tag{3.14}$$

and  $K(t,x) = \sum_{i=1}^m A_i(t)B_i(x)$ .

The lemma enables to replace the investigation of inequality (1.6) by the investigation of inequality (3.13).

Denote

$$D_{i,l}^j(z) = \int_z^\infty \tilde{A}_i^j(t) \tilde{A}_l^j(t) dt, \quad 1 \leq i, j, l \leq m, \tag{3.15}$$

where  $\tilde{A}_l^j(t) := A_l(t)|A_j(t)|^{\frac{q-2}{z}}$  belongs to  $L^2(z, \infty)$  since

$$\int_z^\infty |\tilde{A}_i^j(t)|^2 dt \leq \left( \int_z^\infty |A_i(t)|^q dt \right)^{\frac{2}{q}} \left( \int_z^\infty |A_j(t)|^q dt \right)^{\frac{q-2}{2q}} < \infty.$$

**Definition 3.9** We will say that the condition  $E_{q'}$  is satisfied if there exists a constant  $C_E > 0$  and for every  $z > 0$  such that the system  $\{D_{i,l}^j(z)\}_{i,l=1}^m$  satisfies the ellipticity condition for  $j = 1, 2, \dots, m$ .

**Theorem 3.10** *Let  $1 < p < \infty$  and  $2 \leq q < \infty$ . If the condition  $E_{q'}$  holds for the system from (3.15), then (2.4) is necessary for inequality (1.6) to hold.*

*Proof* Let  $z > 0$  and let  $1 \leq j \leq m$ . Let  $\{\tilde{A}_{i_k}^j\}_{k=1}^n$  be a maximal linearly independent subsystem of  $\{\tilde{A}_i^j\}_{i=1}^m$  in  $L^2(z, \infty)$ , which, for simplicity, we denote by  $\{\tilde{A}_i^j\}_{i=1}^n$ . Thus, using the method of orthogonalization, we get an orthogonal system  $\{\tilde{A}_{i,z}^j\}_{i=1}^n$  in  $L^2(z, \infty)$  such that

$$\tilde{A}_i^j(t) = \sum_{l=1}^n \alpha_{i,l}^j(z) \tilde{A}_{l,z}^j(t), \quad i = 1, 2, \dots, m. \tag{3.16}$$

If we denote  $g(t) = \tilde{A}_{l,z}^j(t) \|\tilde{A}_{l,z}^j\|_{L^2(z,\infty)}^{-1}$ ,  $c_j = \|A_j\|_{L^q(z,\infty)}^{\frac{q'-2}{2q'-2}}$  and choose the test function in the form

$$f_{l,z}^j(t) = \chi_{(z,\infty)}(t) c_j |A_j(t)|^{\frac{q-2}{2}} g(t),$$

then the left- and right-hand sides are similarly estimated in the forms:

$$\|f_{l,z}^j\|_{L^{q'}} \leq 1, \tag{3.17}$$

and

$$\|K^* f_{l,z}^j\|_{L^{p'}} \geq c_j \left( \int_0^z \left| \int_z^\infty \tilde{K}^j(t,x) g(t) dt \right|^{p'} dx \right)^{\frac{1}{p'}}, \tag{3.18}$$

where  $\tilde{K}^j(t,x) = \sum_{i=1}^m \tilde{A}_i^j(t) B_i(x)$ .

Using (3.7) we can rewrite the kernel  $\tilde{K}^j(t,x)$  in the form

$$\begin{aligned} \tilde{K}^j(t,x) &= \sum_{i=1}^m B_i(x) \left[ \sum_{l=1}^n \alpha_{i,l}^j(z) \tilde{A}_{l,z}^j(t) \right] \\ &= \sum_{l=1}^n \tilde{A}_{l,z}^j(t) B_{l,z}^j(x) = \tilde{K}_z^j(t,x), \end{aligned}$$

where  $B_{l,z}^j(x) = \sum_{i=1}^m B_i(x) \alpha_{i,l}^j(z)$ .

Then we have from (3.17), (3.18) and (3.13) that

$$c_j \left( \int_0^z \left| \int_z^\infty \tilde{K}_z^j(t,x) g(t) dt \right|^{p'} dx \right)^{\frac{1}{p'}} \leq C. \tag{3.19}$$

Now repeating the proof of the foregoing theorem with respect to (3.19) with the kernel  $\tilde{K}_z^j(t,x)$ , we can also get a similar estimate as in (3.11) in the form

$$nC \geq \sum_{l=1}^n \|K^* f_{l,z}^j\|_{L^{p'}} \geq c_j \sum_{l=1}^n \left( \int_0^z |B_{l,z}^j(t)|^{p'} dt \right)^{\frac{1}{p'}} \left( \int_z^\infty |\tilde{A}_{l,z}^j(x)|^2 dx \right)^{\frac{1}{2}}. \tag{3.20}$$

Then, supposing that the condition  $E_{q'}$  is satisfied, we also obtain the following estimate:

$$\begin{aligned} \sum_{l=1}^n \|K^* f_{l,z}^j\|_{L^{p'}} &\geq c_j \sum_{l=1}^n \left( \int_0^z |B_{l,z}^j(t)|^{p'} dt \right)^{\frac{1}{p'}} \left( \int_z^\infty |\tilde{A}_{l,z}^j(x)|^2 dx \right)^{\frac{1}{2}} \\ &\vdots \\ &\geq c_j \left( \int_z^\infty \left( \sum_{i,l=1}^m D_{i,l}^j(z) B_i(x) B_l(x) \right)^{\frac{p'}{2}} dx \right)^{\frac{1}{p'}} \\ &\geq c_j \sqrt{C_E} \left( \int_z^\infty \left( \sum_{i=1}^m D_{i,i}^j(z) |B_i(x)|^2 \right)^{\frac{p'}{2}} dx \right)^{\frac{1}{p'}} \\ &\geq c_j \sqrt{C_E} \left( \int_z^\infty |B_j(x)|^{p'} dx \right)^{\frac{1}{p'}} \left( \int_0^z |A_j(t)|^q dt \right)^{\frac{1}{2}}, \end{aligned}$$

i.e.,

$$\begin{aligned} \sum_{l=1}^n \|K^* f_{l,z}^j\|_{L^{p'}} &\geq c_j \sqrt{C_E} \left( \int_z^\infty |A_j(x)|^q dx \right)^{\frac{1}{q}} \left( \int_0^z |B_j(t)|^{p'} dt \right)^{\frac{1}{2}} \\ &\geq \sqrt{C_E} \left( \int_z^\infty |a_j(x)|^q u(x) dx \right)^{\frac{1}{q}} \left( \int_0^z |b_j(t)|^{p'} v^{1-p'}(t) dt \right)^{\frac{1}{2}} \\ &= \sqrt{C_E} A_{M,j}(z), \end{aligned} \tag{3.21}$$

which holds for all  $1 \leq j \leq m$  and  $z > 0$ .

Using this estimate and (3.20), we finally get that

$$\frac{\sqrt{C_E}}{n} \sup_{z>0} A_{M,j}(z) \leq C.$$

The proof is complete. □

#### 4 Criteria of compactness

As far as the compactness of the imbedding  $k : L^p(v) \rightarrow L^q(u)$  is concerned, we have the following.

**Theorem 4.1** *Let  $1 < p \leq q < \infty$ . Let us suppose that the functions  $A_{M,i}(x)$  from (2.4) satisfy*

$$\lim_{x \rightarrow 0^+} A_{M,i}(x) = \lim_{x \rightarrow \infty} A_{M,i}(x) = 0, \quad i = 1, 2, \dots, m. \tag{4.1}$$

*Then the operator  $k$  from (1.4) maps  $L^p(v)$  into  $L^q(u)$  compactly.*

*Proof* Conditions (4.1) guarantee that the operators of  $k_i$  from  $L^p(v)$  into  $L^q(u)$  are compact (see, e.g., [7]). Since  $k = \sum_{i=1}^m k_i$ , the compactness of  $k$  follows. □

**Theorem 4.2** *Let  $1 < p \leq 2$  and  $1 < q < \infty$ . Let the condition  $E_p$  be satisfied. If the operator  $k$  from (1.4) is compact from  $L^p(v)$  to  $L^q(u)$ , then conditions (2.4) and (4.1) are satisfied.*

*Proof* Let us suppose that operator (1.4) is compact. Then it is bounded, and, by using Theorems 3.3 and 3.7, we get (2.4) and also the compactness of the operator

$$Kf(x) = \int_0^x \sum_{i=1}^m A_i(x) B_i(t) f(t) dt \tag{4.2}$$

as an operator from  $L^p$  to  $L^q$ .

Let  $z > 0$  and  $1 \leq j, l \leq m$ . Moreover, we choose the function  $f_{l,z}^j(t)$  as in the proof of Theorem 3.7. Let  $h \in L^{p'}$  be arbitrary, then using (3.8) we have

$$\begin{aligned} \left| \int_0^\infty h(t) f_{l,z}^j(t) dt \right| &\leq \left( \int_0^z |h(t)|^{p'} dt \right)^{\frac{1}{p'}} \left( \int_0^z |f_{l,z}^j(t)|^p dt \right)^{\frac{1}{p}} \\ &\leq \left( \int_0^z |h(t)|^{p'} dt \right)^{\frac{1}{p'}}, \end{aligned}$$

from which it follows that

$$\int_0^\infty h(t) f_{j,z}(t) dt \rightarrow 0$$

as  $z \rightarrow 0+$ , i.e., the class of functions  $f_{l,z}^j$  weakly converges to zero in  $L^p$  as  $z \rightarrow 0+$ .

This and the compactness of operator (4.2) imply that the class of images  $Kf_{l,z}^j$  strongly converges to zero in  $L^q$  as  $z \rightarrow 0+$ , i.e.,

$$\|Kf_{l,z}^j\|_{L^q} \rightarrow 0 \quad \text{as } z \rightarrow 0+. \tag{4.3}$$

Analogously as in the proof of Theorem 3.7, (3.12) can also be obtained, i.e.,

$$\sum_{l=1}^n \|Kf_{l,z}^j\|_{L^q} \geq \sqrt{C_E} A_{M,j}(z), \tag{4.4}$$

which with (4.3) implies that  $\lim_{z \rightarrow 0+} A_{M,j}(z) = 0$  for all  $1 \leq j \leq m$ .

Now we show that  $\lim_{z \rightarrow \infty} A_{M,j}(z) = 0$  for all  $1 \leq j \leq m$ . The compactness of operator (4.2) follows from the compactness of the conjugate operator  $K^*$  (3.14) from  $L^{q'}$  to  $L^{p'}$ .

Let  $h \in L^{q'}$  and choose  $h_z(t) = \chi_{(z,\infty)}(t)h(t)/\|h\|_{L^{q'}(z,\infty)}$ . It can be shown as in foregoing cases that the class of functions  $\{h_z, z \in (0, \infty)\}$  weakly converges to zero in  $L^{q'}$  as  $z \rightarrow \infty$ . Then the class of images  $K^*h_z$  strongly converges to zero in  $L^{p'}$  as  $z \rightarrow \infty$ , i.e.,

$$\|K^*h_z\|_{L^{p'}} \rightarrow 0 \quad \text{as } z \rightarrow \infty. \tag{4.5}$$

Using the dual principle of  $L^{p'}$ , we have

$$\begin{aligned} \|K^*h_z\|_{L^{p'}} &= \sup_{\substack{f \in L^p \\ \|f\|_{L^p} \leq 1}} \int_0^\infty f(t) K^*h_z(t) dt \\ &= \sup_{\substack{f \in L^p \\ \|f\|_{L^p} \leq 1}} \int_0^\infty h_z(x) \left( \int_0^x K(x,t) f(t) dt \right) dx. \end{aligned} \tag{4.6}$$

Now, choosing  $f_{l,z}^j$  as in the proof of Theorem 3.7 instead of  $f$  in (4.6), we have

$$\begin{aligned} \|K^* h_z\|_{L^{p'}} &\geq \int_z^\infty h_z(x) \int_0^x \sum_{i=1}^m A_i(x) \tilde{B}_i^j(t) g(t) dt dx \\ &= \int_z^\infty h_z(x) \int_0^z \sum_{i=1}^m A_{i,z}^j(x) \tilde{B}_{i,z}^j(t) g(t) dt dx \\ &\geq c_j \int_z^\infty h_z(x) A_{i,z}^j(x) dx \int_0^z |\tilde{B}_{i,z}^j(t)|^2 dt \|\tilde{B}_{i,z}^j\|_{L^2(0,z)}^{-1} \\ &\geq c_j \int_z^\infty h_z(x) A_{i,z}^j(x) dx \left( \int_0^z |\tilde{B}_{i,z}^j(t)|^2 dt \right)^{\frac{1}{2}}. \end{aligned} \tag{4.7}$$

Since the operator  $K$  is bounded from  $L^p$  to  $L^q$ , i.e., (3.10) is satisfied, from which we will have that  $A_{i,z}^j \in L^q(z, \infty)$ ,  $z > 0$ . Then, choosing the function  $h_{i,z}^j = A_{i,z}^j |A_{i,z}^j|^{q-2} \chi_{(z, \infty)}$ ,  $h_{i,z}^j(t) := h_z(t)$  and from (4.7), we have

$$\|K^* h_{i,z}^j\|_{L^{p'}} \geq c_j \left( \int_z^\infty |A_{i,z}^j(x)|^q dx \right)^{\frac{1}{q}} \left( \int_0^z |\tilde{B}_{i,z}^j(t)|^2 dt \right)^{\frac{1}{2}}.$$

As in the proof of Theorem 3.7, by estimating the right-hand side, we obtain also that

$$\sum_{l=1}^n \|K^* h_{l,z}^j\|_{L^{p'}} \geq \sqrt{C_E} A_{M,j}(z).$$

Consequently, from this and (4.5) we have that  $\lim_{z \rightarrow \infty} A_{M,j}(z) = 0$  for all  $1 \leq j \leq m$ .

The theorem is proved. □

**Theorem 4.3** *Let  $1 < p < \infty$  and  $2 \leq q < \infty$ . Let the condition  $E_{q'}$  be satisfied. If operator (1.4) is compact from  $L^p(v)$  to  $L^q(u)$ , then conditions (2.4) and (4.1) are satisfied.*

*Proof* First we show that  $\lim_{z \rightarrow \infty} A_{M,j}(z) = 0$ , for which we use the compactness of the dual operator  $K^*$  and the proof of Theorem 3.10. Then it can be shown that the class of functions  $f_{l,z}^j$  also weakly converges to zero in  $L^{q'}$  as  $z \rightarrow \infty$  and

$$\|K^* f_{l,z}^j\|_{L^q} \rightarrow 0 \quad \text{as } z \rightarrow \infty. \tag{4.8}$$

Analogously as in the proof of Theorem 3.10, (3.21) can be obtained, i.e.,

$$\sum_{l=1}^n \|K^* f_{l,z}^j\|_{L^{p'}} \geq \sqrt{C_E} A_{M,j}(z)$$

which with (4.8) implies that  $\lim_{z \rightarrow \infty} A_{M,j}(z) = 0$  for all  $1 \leq j \leq m$ .

To prove  $\lim_{z \rightarrow 0^+} A_{M,j}(z) = 0$ , we use the duality principle as in the proof of the foregoing theorem. The formulation of the corresponding proof is left to the reader as an exercise. □

**Corollary 4.4** *Let  $1 < p \leq 2$  and  $p \leq q < \infty$ . Let us suppose that the condition  $E_p$  is satisfied. Then operator (1.4) from  $L^p(v)$  into  $L^q(u)$  is bounded and compact if and only if (2.4) and (4.1) are satisfied, respectively.*

**Corollary 4.5** *Let  $2 \leq q < \infty$  and  $1 < p \leq q$ . Let us suppose that the condition  $E_{q'}$  is satisfied. Then operator (1.4) from  $L^p(v)$  into  $L^q(u)$  is bounded and compact if and only if (2.4) and (4.1) are satisfied, respectively.*

**Corollary 4.6** *Let  $1 < p \leq q < \infty$ . Let us suppose that the conditions  $E_p$  and  $E_{q'}$  are satisfied. Then operator (1.4) from  $L^p(v)$  into  $L^q(u)$  is bounded and compact if and only if (2.4) and (4.1) are satisfied, respectively.*

#### Competing interests

The authors declare that they have no competing interests.

#### Authors' contributions

All authors contributed in all parts in equal extent, and read and approved the final manuscript.

#### Acknowledgements

The first author was supported by RVO: 67985840. The second author was supported by Leverhulme Trust; grant No. RPG-167 and Wales Institute of Mathematical & Computational Sciences. The third author was supported by the Scientific Committee of Ministry of Education and Science of the Republic of Kazakhstan, grant No.1529/GF, on priority area 'Intellectual potential of the country'.

Received: 17 January 2013 Accepted: 17 June 2013 Published: 3 July 2013

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doi:10.1186/1029-242X-2013-310

**Cite this article as:** Kufner et al.: Some criteria for boundedness and compactness of the Hardy operator with some special kernels. *Journal of Inequalities and Applications* 2013 2013:310.

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