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An eigenvalue localization set for tensors and its applications

Jianxing Zhao*  and Caili Sang

*Correspondence:
zjx810204@163.com
College of Data Science and
Information Engineering, Guizhou
Minzu University, Guiyang, Guizhou
550025, P.R. China

Abstract

A new eigenvalue localization set for tensors is given and proved to be tighter than those presented by Li *et al.* (Linear Algebra Appl. 481:36-53, 2015) and Huang *et al.* (J. Inequal. Appl. 2016:254, 2016). As an application of this set, new bounds for the minimum eigenvalue of \mathcal{M} -tensors are established and proved to be sharper than some known results. Compared with the results obtained by Huang *et al.*, the advantage of our results is that, without considering the selection of nonempty proper subsets S of $N = \{1, 2, \dots, n\}$, we can obtain a tighter eigenvalue localization set for tensors and sharper bounds for the minimum eigenvalue of \mathcal{M} -tensors. Finally, numerical examples are given to verify the theoretical results.

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Keywords: \mathcal{M} -tensors; nonnegative tensors; minimum eigenvalue; localization set

1 Introduction

For a positive integer n , $n \geq 2$, N denotes the set $\{1, 2, \dots, n\}$. \mathbb{C} (respectively, \mathbb{R}) denotes the set of all complex (respectively, real) numbers. We call $\mathcal{A} = (a_{i_1 \dots i_m})$ a complex (real) tensor of order m dimension n , denoted by $\mathbb{C}^{[m, n]}$ ($\mathbb{R}^{[m, n]}$), if

$$a_{i_1 \dots i_m} \in \mathbb{C}(\mathbb{R}),$$

where $i_j \in N$ for $j = 1, 2, \dots, m$. \mathcal{A} is called reducible if there exists a nonempty proper index subset $\mathbb{J} \subset N$ such that

$$a_{i_1 i_2 \dots i_m} = 0, \quad \forall i_1 \in \mathbb{J}, \forall i_2, \dots, i_m \notin \mathbb{J}.$$

If \mathcal{A} is not reducible, then we call \mathcal{A} irreducible [3].

Given a tensor $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{C}^{[m, n]}$, if there are $\lambda \in \mathbb{C}$ and $x = (x_1, x_2, \dots, x_n)^T \in \mathbb{C} \setminus \{0\}$ such that

$$\mathcal{A}x^{m-1} = \lambda x^{[m-1]},$$

then λ is called an eigenvalue of \mathcal{A} and x an eigenvector of \mathcal{A} associated with λ , where $\mathcal{A}x^{m-1}$ is an n dimension vector whose i th component is

$$(\mathcal{A}x^{m-1})_i = \sum_{i_2, \dots, i_m \in N} a_{ii_2 \dots i_m} x_{i_2} \cdots x_{i_m}$$

and

$$x^{[m-1]} = (x_1^{m-1}, x_2^{m-1}, \dots, x_n^{m-1})^T.$$

If λ and x are all real, then λ is called an H -eigenvalue of \mathcal{A} and x an H -eigenvector of \mathcal{A} associated with λ ; see [4, 5]. Moreover, the spectral radius $\rho(\mathcal{A})$ of \mathcal{A} is defined as

$$\rho(\mathcal{A}) = \max\{|\lambda| : \lambda \in \sigma(\mathcal{A})\},$$

where $\sigma(\mathcal{A})$ is the spectrum of \mathcal{A} , that is, $\sigma(\mathcal{A}) = \{\lambda : \lambda \text{ is an eigenvalue of } \mathcal{A}\}$; see [3, 6].

A real tensor \mathcal{A} is called an \mathcal{M} -tensor if there exist a nonnegative tensor \mathcal{B} and a positive number $\alpha > \rho(\mathcal{B})$ such that $\mathcal{A} = \alpha\mathcal{I} - \mathcal{B}$, where \mathcal{I} is called the unit tensor with its entries

$$\delta_{i_1 \dots i_m} = \begin{cases} 1 & \text{if } i_1 = \dots = i_m, \\ 0 & \text{otherwise.} \end{cases}$$

Denote by $\tau(\mathcal{A})$ the minimal value of the real part of all eigenvalues of an \mathcal{M} -tensor \mathcal{A} . Then $\tau(\mathcal{A}) > 0$ is an eigenvalue of \mathcal{A} with a nonnegative eigenvector. If \mathcal{A} is irreducible, then $\tau(\mathcal{A})$ is the unique eigenvalue with a positive eigenvector [7–9].

Recently, many people have focused on locating eigenvalues of tensors and using obtained eigenvalue inclusion theorems to determine the positive definiteness of an even-order real symmetric tensor or to give the lower and upper bounds for the spectral radius of nonnegative tensors and the minimum eigenvalue of \mathcal{M} -tensors. For details, see [1, 2, 10–14].

In 2015, Li *et al.* [1] proposed the following Brauer-type eigenvalue localization set for tensors.

Theorem 1 ([1], Theorem 6) *Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{C}^{[m, n]}$. Then*

$$\sigma(\mathcal{A}) \subseteq \Delta(\mathcal{A}) = \bigcup_{i, j \in N, j \neq i} \Delta_i^j(\mathcal{A}),$$

where

$$\Delta_i^j(\mathcal{A}) = \{z \in \mathbb{C} : |(z - a_{i \dots i})(z - a_{j \dots j}) - a_{ij \dots j} a_{ji \dots i}| \leq |z - a_{j \dots j}| r_i^j(\mathcal{A}) + |a_{ij \dots j}| r_j^i(\mathcal{A})\},$$

$$r_i(\mathcal{A}) = \sum_{\delta_{ii_2 \dots i_m} = 0} |a_{ii_2 \dots i_m}|, \quad r_i^j(\mathcal{A}) = \sum_{\substack{\delta_{ii_2 \dots i_m} = 0, \\ \delta_{ji_2 \dots i_m} = 0}} |a_{ii_2 \dots i_m}| = r_i(\mathcal{A}) - |a_{ij \dots j}|.$$

To reduce computations, Huang *et al.* [2] presented an S -type eigenvalue localization set by breaking N into disjoint subsets S and \bar{S} , where \bar{S} is the complement of S in N .

Theorem 2 ([2], Theorem 3.1) *Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{C}^{[m, n]}$, S be a nonempty proper subset of N , \bar{S} be the complement of S in N . Then*

$$\sigma(\mathcal{A}) \subseteq \Delta^S(\mathcal{A}) = \left(\bigcup_{i \in S, j \in \bar{S}} \Delta_i^j(\mathcal{A}) \right) \cup \left(\bigcup_{i \in \bar{S}, j \in S} \Delta_i^j(\mathcal{A}) \right).$$

Based on Theorem 2, Huang *et al.* [2] obtained the following lower and upper bounds for the minimum eigenvalue of \mathcal{M} -tensors.

Theorem 3 ([2], Theorem 3.6) *Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{R}^{[m, n]}$ be an \mathcal{M} -tensor, S be a nonempty proper subset of N , \bar{S} be the complement of S in N . Then*

$$\min \left\{ \min_{i \in S} \max_{j \in \bar{S}} L_{ij}(\mathcal{A}), \min_{i \in \bar{S}} \max_{j \in S} L_{ij}(\mathcal{A}) \right\} \leq \tau(\mathcal{A}) \leq \max \left\{ \max_{i \in S} \min_{j \in \bar{S}} L_{ij}(\mathcal{A}), \max_{i \in \bar{S}} \min_{j \in S} L_{ij}(\mathcal{A}) \right\},$$

where

$$L_{ij}(\mathcal{A}) = \frac{1}{2} \left\{ a_{i \dots i} + a_{j \dots j} - r_i^j(\mathcal{A}) - \left[(a_{i \dots i} - a_{j \dots j} - r_i^j(\mathcal{A}))^2 - 4a_{ij \dots j} r_j(\mathcal{A}) \right]^{\frac{1}{2}} \right\}.$$

The main aim of this paper is to give a new eigenvalue inclusion set for tensors and prove that this set is tighter than those in Theorems 1 and 2 without considering the selection of S . And then we use this set to obtain new lower and upper bounds for the minimum eigenvalue of \mathcal{M} -tensors and prove that new bounds are sharper than those in Theorem 3.

2 Main results

Now, we give a new eigenvalue inclusion set for tensors and establish the comparison between this set with those in Theorems 1 and 2.

Theorem 4 *Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{C}^{[m, n]}$. Then*

$$\sigma(\mathcal{A}) \subseteq \Delta^\cap(\mathcal{A}) = \bigcup_{i \in N} \bigcap_{j \in N, j \neq i} \Delta_i^j(\mathcal{A}).$$

Proof For any $\lambda \in \sigma(\mathcal{A})$, let $x = (x_1, \dots, x_n)^T \in \mathbb{C}^n \setminus \{0\}$ be an associated eigenvector, i.e.,

$$\mathcal{A}x^{m-1} = \lambda x^{[m-1]}. \quad (1)$$

Let $|x_p| = \max\{|x_i| : i \in N\}$. Then $|x_p| > 0$. For any $j \in N, j \neq p$, then from (1) we have

$$\lambda x_p^{m-1} = \sum_{\substack{\delta_{pi_2 \dots i_m} = 0, \\ \delta_{ji_2 \dots i_m} = 0}} a_{pi_2 \dots i_m} x_{i_2} \cdots x_{i_m} + a_{p \dots p} x_p^{m-1} + a_{pj \dots j} x_j^{m-1}$$

and

$$\lambda x_j^{m-1} = \sum_{\substack{\delta_{ji_2 \dots i_m} = 0, \\ \delta_{pi_2 \dots i_m} = 0}} a_{ji_2 \dots i_m} x_{i_2} \cdots x_{i_m} + a_{j \dots j} x_j^{m-1} + a_{jp \dots p} x_p^{m-1},$$

equivalently,

$$(\lambda - a_{p \dots p})x_p^{m-1} - a_{pj \dots j}x_j^{m-1} = \sum_{\substack{\delta_{pi_2 \dots i_m}=0, \\ \delta_{ji_2 \dots i_m}=0}} a_{pi_2 \dots i_m}x_{i_2} \cdots x_{i_m} \quad (2)$$

and

$$(\lambda - a_{j \dots j})x_j^{m-1} - a_{jp \dots p}x_p^{m-1} = \sum_{\substack{\delta_{ji_2 \dots i_m}=0, \\ \delta_{pi_2 \dots i_m}=0}} a_{ji_2 \dots i_m}x_{i_2} \cdots x_{i_m}. \quad (3)$$

Solving x_p^{m-1} from (2) and (3), we get

$$\begin{aligned} & ((\lambda - a_{p \dots p})(\lambda - a_{j \dots j}) - a_{pj \dots j}a_{jp \dots p})x_p^{m-1} \\ &= (\lambda - a_{j \dots j}) \sum_{\substack{\delta_{pi_2 \dots i_m}=0, \\ \delta_{ji_2 \dots i_m}=0}} a_{pi_2 \dots i_m}x_{i_2} \cdots x_{i_m} + a_{pj \dots j} \sum_{\substack{\delta_{ji_2 \dots i_m}=0, \\ \delta_{pi_2 \dots i_m}=0}} a_{ji_2 \dots i_m}x_{i_2} \cdots x_{i_m}. \end{aligned}$$

Taking absolute values and using the triangle inequality yields

$$\begin{aligned} & |(\lambda - a_{p \dots p})(\lambda - a_{j \dots j}) - a_{pj \dots j}a_{jp \dots p}| |x_p|^{m-1} \\ & \leq |\lambda - a_{j \dots j}| r_p^j(\mathcal{A}) |x_p|^{m-1} + |a_{pj \dots j}| r_j^p(\mathcal{A}) |x_p|^{m-1}. \end{aligned}$$

Furthermore, by $|x_p| > 0$, we have

$$|(\lambda - a_{p \dots p})(\lambda - a_{j \dots j}) - a_{pj \dots j}a_{jp \dots p}| \leq |\lambda - a_{j \dots j}| r_p^j(\mathcal{A}) + |a_{pj \dots j}| r_j^p(\mathcal{A}),$$

which implies that $\lambda \in \Delta_p^j(\mathcal{A})$. From the arbitrariness of j , we have $\lambda \in \bigcap_{j \in N, j \neq p} \Delta_p^j(\mathcal{A})$. Furthermore, we have $\lambda \in \bigcup_{i \in N} \bigcap_{j \in N, j \neq i} \Delta_i^j(\mathcal{A})$. The conclusion follows. \square

Next, a comparison theorem is given for Theorems 1, 2 and 4.

Theorem 5 Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{C}^{[m, n]}$, S be a nonempty proper subset of N . Then

$$\Delta^\cap(\mathcal{A}) \subseteq \Delta^S(\mathcal{A}) \subseteq \Delta(\mathcal{A}).$$

Proof By Theorem 3.2 in [2], $\Delta^S(\mathcal{A}) \subseteq \Delta(\mathcal{A})$. Here, only $\Delta^\cap(\mathcal{A}) \subseteq \Delta^S(\mathcal{A})$ is proved. Let $z \in \Delta^\cap(\mathcal{A})$, then there exists some $i_0 \in N$ such that $z \in \Delta_{i_0}^j(\mathcal{A}), \forall j \in N, j \neq i_0$. Let \bar{S} be the complement of S in N . If $i_0 \in S$, then taking $j \in \bar{S}$, obviously, $z \in \bigcup_{i_0 \in S, j \in \bar{S}} \Delta_{i_0}^j(\mathcal{A}) \subseteq \Delta^S(\mathcal{A})$. If $i_0 \in \bar{S}$, then taking $j \in S$, obviously, $z \in \bigcup_{i_0 \in \bar{S}, j \in S} \Delta_{i_0}^j(\mathcal{A}) \subseteq \Delta^S(\mathcal{A})$. The conclusion follows. \square

Remark 1 Theorem 5 shows that the set $\Delta^\cap(\mathcal{A})$ in Theorem 4 is tighter than those in Theorems 1 and 2, that is, $\Delta^\cap(\mathcal{A})$ can capture all eigenvalues of \mathcal{A} more precisely than $\Delta(\mathcal{A})$ and $\Delta^S(\mathcal{A})$.

In the following, we give new lower and upper bounds for the minimum eigenvalue of \mathcal{M} -tensors.

Theorem 6 Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{R}^{[m, m]}$ be an irreducible \mathcal{M} -tensor. Then

$$\min_{i \in N} \max_{j \neq i} L_{ij}(\mathcal{A}) \leq \tau(\mathcal{A}) \leq \max_{i \in N} \min_{j \neq i} L_{ij}(\mathcal{A}).$$

Proof Let $x = (x_1, x_2, \dots, x_n)^T$ be an associated positive eigenvector of \mathcal{A} corresponding to $\tau(\mathcal{A})$, i.e.,

$$\mathcal{A}x^{m-1} = \tau(\mathcal{A})x^{[m-1]}. \quad (4)$$

(I) Let $x_q = \min\{x_i : i \in N\}$. For any $j \in N, j \neq q$, we have by (4) that

$$\tau(\mathcal{A})x_q^{m-1} = \sum_{\substack{\delta_{qi_2 \dots i_m} = 0, \\ \delta_{ji_2 \dots i_m} = 0}} a_{qi_2 \dots i_m} x_{i_2} \cdots x_{i_m} + a_{q \dots q} x_q^{m-1} + a_{qj \dots j} x_j^{m-1}$$

and

$$\tau(\mathcal{A})x_j^{m-1} = \sum_{\substack{\delta_{ji_2 \dots i_m} = 0, \\ \delta_{qi_2 \dots i_m} = 0}} a_{ji_2 \dots i_m} x_{i_2} \cdots x_{i_m} + a_{j \dots j} x_j^{m-1} + a_{jq \dots q} x_q^{m-1},$$

equivalently,

$$(\tau(\mathcal{A}) - a_{q \dots q})x_q^{m-1} - a_{qj \dots j}x_j^{m-1} = \sum_{\substack{\delta_{qi_2 \dots i_m} = 0, \\ \delta_{ji_2 \dots i_m} = 0}} a_{qi_2 \dots i_m} x_{i_2} \cdots x_{i_m} \quad (5)$$

and

$$(\tau(\mathcal{A}) - a_{j \dots j})x_j^{m-1} - a_{jq \dots q}x_q^{m-1} = \sum_{\substack{\delta_{ji_2 \dots i_m} = 0, \\ \delta_{qi_2 \dots i_m} = 0}} a_{ji_2 \dots i_m} x_{i_2} \cdots x_{i_m}. \quad (6)$$

Solving x_q^{m-1} by (5) and (6), we get

$$\begin{aligned} & ((\tau(\mathcal{A}) - a_{q \dots q})(\tau(\mathcal{A}) - a_{j \dots j}) - a_{qj \dots j}a_{jq \dots q})x_q^{m-1} \\ &= (\tau(\mathcal{A}) - a_{j \dots j}) \sum_{\substack{\delta_{qi_2 \dots i_m} = 0, \\ \delta_{ji_2 \dots i_m} = 0}} a_{qi_2 \dots i_m} x_{i_2} \cdots x_{i_m} + a_{qj \dots j} \sum_{\substack{\delta_{ji_2 \dots i_m} = 0, \\ \delta_{qi_2 \dots i_m} = 0}} a_{ji_2 \dots i_m} x_{i_2} \cdots x_{i_m}. \end{aligned}$$

From Theorem 2.1 in [9], we have $\tau(\mathcal{A}) \leq \min_{i \in N} a_{i \dots i}$ and

$$\begin{aligned} & ((a_{q \dots q} - \tau(\mathcal{A}))(a_{j \dots j} - \tau(\mathcal{A})) - a_{qj \dots j}a_{jq \dots q})x_q^{m-1} \\ &= (a_{j \dots j} - \tau(\mathcal{A})) \sum_{\substack{\delta_{qi_2 \dots i_m} = 0, \\ \delta_{ji_2 \dots i_m} = 0}} |a_{qi_2 \dots i_m}| x_{i_2} \cdots x_{i_m} + |a_{qj \dots j}| \sum_{\substack{\delta_{ji_2 \dots i_m} = 0, \\ \delta_{qi_2 \dots i_m} = 0}} |a_{ji_2 \dots i_m}| x_{i_2} \cdots x_{i_m}. \end{aligned}$$

Hence,

$$\begin{aligned} & \left((a_{q\dots q} - \tau(\mathcal{A}))(a_{j\dots j} - \tau(\mathcal{A})) - |a_{qj\dots j}| |a_{jq\dots q}| \right) x_q^{m-1} \\ & \geq (a_{j\dots j} - \tau(\mathcal{A})) \sum_{\substack{\delta_{qi_2\dots i_m}=0, \\ \delta_{ji_2\dots i_m}=0}} |a_{qi_2\dots i_m}| x_q^{m-1} + |a_{qj\dots j}| \sum_{\substack{\delta_{ji_2\dots i_m}=0, \\ \delta_{qi_2\dots i_m}=0}} |a_{ji_2\dots i_m}| x_q^{m-1}. \end{aligned}$$

From $x_q > 0$, we have

$$\begin{aligned} & (a_{q\dots q} - \tau(\mathcal{A}))(a_{j\dots j} - \tau(\mathcal{A})) - |a_{qj\dots j}| |a_{jq\dots q}| \\ & \geq (a_{j\dots j} - \tau(\mathcal{A})) \sum_{\substack{\delta_{qi_2\dots i_m}=0, \\ \delta_{ji_2\dots i_m}=0}} |a_{qi_2\dots i_m}| + |a_{qj\dots j}| \sum_{\substack{\delta_{ji_2\dots i_m}=0, \\ \delta_{qi_2\dots i_m}=0}} |a_{ji_2\dots i_m}| \\ & = (a_{j\dots j} - \tau(\mathcal{A})) r_q^j(\mathcal{A}) + |a_{qj\dots j}| r_j^q(\mathcal{A}), \end{aligned}$$

equivalently,

$$(a_{q\dots q} - \tau(\mathcal{A}))(a_{j\dots j} - \tau(\mathcal{A})) - (a_{j\dots j} - \tau(\mathcal{A})) r_q^j(\mathcal{A}) - |a_{qj\dots j}| r_j(\mathcal{A}) \geq 0,$$

that is,

$$\tau(\mathcal{A})^2 - (a_{q\dots q} + a_{j\dots j} - r_q^j(\mathcal{A})) \tau(\mathcal{A}) + a_{q\dots q} a_{j\dots j} - a_{j\dots j} r_q^j(\mathcal{A}) + a_{qj\dots j} r_j(\mathcal{A}) \geq 0.$$

Solving for $\tau(\mathcal{A})$ gives

$$\tau(\mathcal{A}) \leq \frac{1}{2} \left\{ a_{q\dots q} + a_{j\dots j} - r_q^j(\mathcal{A}) - \left[(a_{q\dots q} - a_{j\dots j} - r_q^j(\mathcal{A}))^2 - 4a_{qj\dots j} r_j(\mathcal{A}) \right]^{\frac{1}{2}} \right\} = L_{qj}(\mathcal{A}).$$

For the arbitrariness of j , we have $\tau(\mathcal{A}) \leq \min_{j \neq q} L_{qj}(\mathcal{A})$. Furthermore, we have

$$\tau(\mathcal{A}) \leq \max_{i \in N} \min_{j \neq i} L_{ij}(\mathcal{A}).$$

(II) Let $x_p = \max\{x_i : i \in N\}$. Similar to (I), we have

$$\tau(\mathcal{A}) \geq \min_{i \in N} \max_{j \neq i} L_{ij}(\mathcal{A}).$$

The conclusion follows from (I) and (II). \square

Similar to the proof of Theorem 3.6 in [2], we can extend the results of Theorem 6 to a more general case.

Theorem 7 Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{R}^{[m, m]}$ be an \mathcal{M} -tensor. Then

$$\min_{i \in N} \max_{j \neq i} L_{ij}(\mathcal{A}) \leq \tau(\mathcal{A}) \leq \max_{i \in N} \min_{j \neq i} L_{ij}(\mathcal{A}).$$

By Theorems 3, 6 and 7 in [13], the following comparison theorem is obtained easily.

Theorem 8 Let $\mathcal{A} = (a_{i_1 \dots i_m}) \in \mathbb{R}^{[m, n]}$ be an \mathcal{M} -tensor, S be a nonempty proper subset of N , \bar{S} be the complement of S in N . Then

$$\begin{aligned} \min_{i \in N} R_i(\mathcal{A}) &\leq \min_{j \neq i} L_{ij}(\mathcal{A}) \leq \min \left\{ \min_{i \in S} \max_{j \in \bar{S}} L_{ij}(\mathcal{A}), \min_{i \in \bar{S}} \max_{j \in S} L_{ij}(\mathcal{A}) \right\} \leq \min_{i \in N} \max_{j \neq i} L_{ij}(\mathcal{A}) \\ &\leq \max_{i \in N} \min_{j \neq i} L_{ij}(\mathcal{A}) \leq \max \left\{ \max_{i \in S} \min_{j \in \bar{S}} L_{ij}(\mathcal{A}), \max_{i \in \bar{S}} \min_{j \in S} L_{ij}(\mathcal{A}) \right\}, \end{aligned}$$

where $R_i(\mathcal{A}) = \sum_{i_2, \dots, i_m \in N} a_{ii_2 \dots i_m}$.

Remark 2 Theorem 8 shows that the bounds in Theorem 7 are sharper than those in Theorem 3, Theorem 2.1 of [9] and Theorem 4 of [13] without considering the selection of S , which is also the advantage of our results.

3 Numerical examples

In this section, two numerical examples are given to verify the theoretical results.

Example 1 Let $\mathcal{A} = (a_{ijk}) \in \mathbb{R}^{[3, 4]}$ be an irreducible \mathcal{M} -tensor with elements defined as follows:

$$\begin{aligned} \mathcal{A}(:, :, 1) &= \begin{pmatrix} 62 & -3 & -4 & -2 \\ -4 & -2 & -2 & -1 \\ -3 & -1 & -3 & -3 \\ -3 & -3 & -2 & -2 \end{pmatrix}, & \mathcal{A}(:, :, 2) &= \begin{pmatrix} 0 & -4 & -3 & -3 \\ -1 & 28 & -2 & -2 \\ -1 & -2 & -2 & -4 \\ -2 & -2 & -3 & -1 \end{pmatrix}, \\ \mathcal{A}(:, :, 3) &= \begin{pmatrix} -2 & -1 & -2 & -1 \\ -1 & -1 & -1 & -2 \\ -2 & -4 & 63 & -4 \\ -4 & -4 & -2 & -2 \end{pmatrix}, & \mathcal{A}(:, :, 4) &= \begin{pmatrix} -4 & -2 & -2 & -1 \\ -1 & -2 & -3 & -1 \\ -2 & -3 & -3 & -2 \\ -2 & -2 & -4 & 61 \end{pmatrix}. \end{aligned}$$

By Theorem 2.1 in [9], we have

$$2 = \min_{i \in N} R_i(\mathcal{A}) \leq \tau(\mathcal{A}) \leq \min \left\{ \max_{i \in N} R_i(\mathcal{A}), \min_{i \in N} a_{i \dots i} \right\} = 28.$$

By Theorem 4 in [13], we have

$$\tau(\mathcal{A}) \geq \min_{j \neq i} L_{ij}(\mathcal{A}) = 2.3521.$$

By Theorem 3, we have

$$\begin{aligned} \text{if } S = \{1\}, \bar{S} = \{2, 3, 4\}, \quad & 3.6685 \leq \tau(\mathcal{A}) \leq 24.2948; \\ \text{if } S = \{2\}, \bar{S} = \{1, 3, 4\}, \quad & 3.6685 \leq \tau(\mathcal{A}) \leq 19.7199; \\ \text{if } S = \{3\}, \bar{S} = \{1, 2, 4\}, \quad & 2.3569 \leq \tau(\mathcal{A}) \leq 27.7850; \\ \text{if } S = \{4\}, \bar{S} = \{1, 2, 3\}, \quad & 2.3521 \leq \tau(\mathcal{A}) \leq 27.8536; \\ \text{if } S = \{1, 2\}, \bar{S} = \{3, 4\}, \quad & 2.3569 \leq \tau(\mathcal{A}) \leq 27.7850; \\ \text{if } S = \{1, 3\}, \bar{S} = \{2, 4\}, \quad & 3.6685 \leq \tau(\mathcal{A}) \leq 23.0477; \end{aligned}$$

$$\text{if } S = \{1, 4\}, \bar{S} = \{2, 3\}, \quad 3.6685 \leq \tau(\mathcal{A}) \leq 23.9488.$$

By Theorem 7, we have

$$3.6685 \leq \tau(\mathcal{A}) \leq 19.7199.$$

In fact, $\tau(\mathcal{A}) = 14.4049$. Hence, this example verifies Theorem 8 and Remark 2, that is, the bounds in Theorem 7 are sharper than those in Theorem 3, Theorem 2.1 of [9] and Theorem 4 of [13] without considering the selection of S .

Example 2 Let $\mathcal{A} = (a_{ijkl}) \in \mathbb{R}^{[4,2]}$ be an \mathcal{M} -tensor with elements defined as follows:

$$a_{1111} = 6, \quad a_{1222} = -1, \quad a_{2111} = -2, \quad a_{2222} = 5,$$

other $a_{ijkl} = 0$. By Theorem 7, we have

$$4 \leq \tau(\mathcal{A}) \leq 4.$$

In fact, $\tau(\mathcal{A}) = 4$.

4 Conclusions

In this paper, we give a new eigenvalue inclusion set for tensors and prove that this set is tighter than those in [1, 2]. As an application, we obtain new lower and upper bounds for the minimum eigenvalue of \mathcal{M} -tensors and prove that the new bounds are sharper than those in [2, 9, 13]. Compared with the results in [2], the advantage of our results is that, without considering the selection of S , we can obtain a tighter eigenvalue localization set for tensors and sharper bounds for the minimum eigenvalue of \mathcal{M} -tensors.

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

All authors contributed equally to this work. All authors read and approved the final manuscript.

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