# RESEARCH

**Open Access** 

# The upper bound estimation on the spectral norm of *r*-circulant matrices with the Fibonacci and Lucas numbers

Chengyuan He<sup>\*</sup>, Jiangming Ma, Kunpeng Zhang and Zhenghua Wang

\*Correspondence: chengyuanh@163.com School of Mathematics and Computer Engineering, Xihua University Chengdu, Sichuan, 610039, P.R. China

# Abstract

Let us define  $A = \text{Circ}_r(a_0, a_1, \dots, a_{n-1})$  to be a  $n \times n$  *r*-circulant matrix. The entries in the first row of  $A = \text{Circ}_r(a_0, a_1, \dots, a_{n-1})$  are  $a_i = F_i$ , or  $a_i = L_i$ , or  $a_i = F_i L_i$ , or  $a_i = F_i^2$ , or  $a_i = L_i^2$  ( $i = 0, 1, \dots, n-1$ ), where  $F_i$  and  $L_i$  are the *i*th Fibonacci and Lucas numbers, respectively. This paper gives an upper bound estimation of the spectral norm for *r*-circulant matrices with Fibonacci and Lucas numbers. The result is more accurate than the corresponding results of S Solak and S Shen, and of J Cen, and the numerical examples have provided further proof.

**Keywords:** *r*-circulant matrices; Fibonacci number; Lucas number; spectral norm; estimation

# **1** Introduction

For n > 0, the Fibonacci sequence  $\{F_n\}$  is defined by  $F_{n+1} = F_n + F_{n-1}$ , where  $F_0 = 0$  and  $F_1 = 1$ . If we start by zero, then the sequence is given by

n	0	1	2	3	4	5	6	7	8	(1)
$F_n$	0	1	1	2	3	5	8	13	21	(1)

If we deduce from  $F_{n+1}$  that  $L_{n+1} = L_n + L_{n-1}$ , and let  $L_0 = 2$ ,  $L_1 = 1$ , then we obtain the Lucas sequence  $\{L_n\}$ ,

Furthermore, the sequences  $\{F_n\}$  and  $\{L_n\}$  satisfy the following recursion:

$$F_n + L_n = 2F_{n+1}.\tag{3}$$

**Definition 1.1** A matrix *A* is an *r*-circulant matrix if it is of the form

 $A = \begin{pmatrix} a_0 & a_1 & \cdots & a_{n-2} & a_{n-1} \\ ra_{n-1} & a_0 & \cdots & a_{n-3} & a_{n-2} \\ \cdots & \cdots & \cdots & \cdots \\ ra_2 & ra_3 & \cdots & a_0 & a_1 \\ ra_1 & ra_2 & \cdots & ra_{n-1} & a_0 \end{pmatrix}.$ 



© 2015 He et al.; licensee Springer. This is an Open Access article distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/4.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly credited. Obviously, the elements of this *r*-circulant matrix are determined by its first row elements  $a_0, a_1, \ldots, a_{n-1}$  and the parameter *r*, thus we denote  $A = \text{Circ}_r(a_0, a_1, \ldots, a_{n-1})$ . Especially when r = 1, we obtain  $A = \text{Circ}(a_0, a_1, \ldots, a_{n-1})$ .

**Definition 1.2** A matrix *A* is called a symmetric *r*-circulant matrix if it is of the form

$$A = \begin{pmatrix} a_0 & a_1 & \cdots & a_{n-2} & a_{n-1} \\ a_1 & a_2 & \cdots & a_{n-1} & ra_0 \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ a_{n-2} & a_{n-1} & \cdots & ra_{n-4} & ra_{n-3} \\ a_{n-1} & ra_0 & \cdots & ra_{n-3} & ra_{n-2} \end{pmatrix}$$

Obviously, the elements of this *r*-circulant matrix are determined by its first row elements  $a_0, a_1, \ldots, a_{n-1}$  and the parameter *r*; thus we denote  $A = \text{SCirc}_r(a_0, a_1, \ldots, a_{n-1})$ . Especially when r = 1, we obtain  $A = \text{SCirc}(a_0, a_1, \ldots, a_{n-1})$ .

.

For any  $A = (a_{ij})_{m \times n}$ , the well-known spectral norm of the matrix A is

$$\|A\|_2 = \sqrt{\max_{1 \le i \le n} \lambda_i (A^H A)},$$

in which  $\lambda_i(A^H A)$  is the eigenvalue of  $A^H A$  and  $A^H$  is the conjugate transpose of matrix A.

Define the maximum column length norm  $c_1(\cdot)$  and the maximum row length norm  $r_1(\cdot)$  of any matrix *A* by

$$c_1(A) = \max_j \sqrt{\sum_i |a_{ij}|^2}$$

and

$$r_1(A) = \max_i \sqrt{\sum_j |a_{ij}|^2},$$

respectively.

Let *A*, *B*, and *C* be  $m \times n$  matrices. If  $A = B \circ C$ , then in accordance with [1] we have

$$\|A\|_{2} \le r_{1}(B)c_{1}(C) \tag{4}$$

and

$$\|A\|_2 \le \|B\|_2 \|C\|_2. \tag{5}$$

Here, we define  $B = (b_{ij})_{m \times n}$ ,  $C = (c_{ij})_{m \times n}$ , and we let  $B \circ C$  be the Hadamard product of B and C.

In recent years, many authors (see [2-6]) were concerned with *r*-circulant matrices associated with a number sequence. References [2-4] calculate and estimate the Frobenius norm and the spectral norm of a circulant matrix where the elements of the *r*-circulant matrix are Fibonacci numbers and Lucas numbers; the authors found more accurate results of the upper bound estimated, and the numerical examples also have provided further proof.

**Theorem 1.3** (see [2]) Let  $A = Circ(F_0, F_1, ..., F_{n-1})$  be a circulant matrix, then we have

 $||A||_2 \leq F_n F_{n-1}$ ,

where  $\|\cdot\|_2$  is the spectral norm and  $F_n$  denotes the nth Fibonacci number.

**Theorem 1.4** (see [3]) Let  $A = \text{Circ}(L_0, L_1, \dots, L_{n-1})$  be a circulant matrix, then we have

$$\|A\|_{2} \leq \begin{cases} \sqrt{[F_{n}F_{n-1} + 4F_{n-1}^{2} + 4F_{n-1}F_{n-2} + 4] \times [F_{n}F_{n-1} + 4F_{n-1}^{2} + 4F_{n-1}F_{n-2} + 4]}, & n \text{ odd,} \\ \sqrt{[F_{n}F_{n-1} + 4F_{n-1}^{2} + 4F_{n-1}F_{n-2}] \times [F_{n}F_{n-1} + 4F_{n-1}^{2} + 4F_{n-1}F_{n-2} - 3]}, & n \text{ even,} \end{cases}$$

where  $\|\cdot\|_2$  is the spectral norm, and  $L_n$  and  $F_n$  denote the nth Lucas and Fibonacci numbers, respectively.

**Theorem 1.5** (see [4]) Let  $A = \operatorname{Circ}_r(F_0, F_1, \dots, F_{n-1})$  be a r-circulant matrix, in which  $|r| \ge 1$ , and then

$$||A||_2 \leq |r|F_nF_{n-1},$$

where  $r \in \mathbb{C}$ ,  $\|\cdot\|_2$  is the spectral norm and  $F_n$  denotes the nth Fibonacci number.

**Theorem 1.6** (see [4]) Let  $A = \text{Circ}_r(L_0, L_1, ..., L_{n-1})$  be a *r*-circulant matrix and  $|r| \ge 1$ , then we obtain

$$\|A\|_{2} \leq \begin{cases} \sqrt{(5|r|^{2}F_{n}F_{n-1}+4)(5F_{n}F_{n-1}+1)}, & n \text{ odd,} \\ \sqrt{[5|r|^{2}F_{n}F_{n-1}+4(1-|r|^{2})](5F_{n}F_{n-1}-3)}, & n \text{ even,} \end{cases}$$

where  $r \in \mathbb{C}$ ,  $\|\cdot\|_2$  is the spectral norm, and  $L_n$  and  $F_n$  denote the nth Lucas and Fibonacci numbers, respectively.

#### 2 Main results

**Theorem 2.1** Let  $A = \text{Circ}(F_0, F_1, \dots, F_{n-1})$  be a circulant matrix, then we have

$$||A||_2 \leq \sqrt{(n-1)F_nF_{n-1}},$$

where  $\|\cdot\|_2$  is the spectral norm and  $F_n$  denotes the nth Fibonacci number.

*Proof* Since  $A = \text{Circ}(F_0, F_1, \dots, F_{n-1})$  is a circulant matrix, let the matrices *B* and *C* be

$$B = \begin{pmatrix} F_0 & 1 & \cdots & 1 \\ 1 & F_0 & \cdots & 1 \\ \cdots & \cdots & \cdots & \cdots \\ 1 & 1 & \cdots & F_0 \end{pmatrix}, \qquad C = \begin{pmatrix} F_0 & F_1 & \cdots & F_{n-2} & F_{n-1} \\ F_{n-1} & F_0 & \cdots & F_{n-3} & F_{n-2} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ F_2 & F_3 & \cdots & F_0 & F_1 \\ F_1 & F_2 & \cdots & F_{n-1} & F_0 \end{pmatrix},$$

we get  $A = B \circ C$ .

For

$$r_1(B) = \max_i \sqrt{\sum_j |b_{ij}|^2} = \sqrt{n-1}$$

and

$$c_1(C) = \max_j \sqrt{\sum_i |c_{ij}|^2} = \max_j \sqrt{\sum_{i=1}^n |c_{in}|^2} = \sqrt{\sum_{s=0}^{n-1} F_s^2} = \sqrt{F_n F_{n-1}}.$$

From (4), we have

$$\|A\|_2 \le \sqrt{(n-1)F_nF_{n-1}}.$$

**Corollary 2.2** Let  $A = SCirc(F_0, F_1, ..., F_{n-1})$  be a symmetric circulant matrix, then we have

$$||A||_2 \leq \sqrt{(n-1)F_nF_{n-1}},$$

where  $\|\cdot\|_2$  is the spectral norm and  $F_n$  denotes the nth Fibonacci number.

**Corollary 2.3** Let  $A = \text{Circ}(F_0^2, F_1^2, \dots, F_{n-1}^2)$  be a circulant matrix, then we have

 $||A||_2 \leq (n-1)F_nF_{n-1}$ ,

where  $\|\cdot\|_2$  is the spectral norm and  $F_n$  denotes the nth Fibonacci number.

*Proof* Since  $A = \text{Circ}(F_0^2, F_1^2, \dots, F_{n-1}^2)$  is a circulant matrix, if the matrices  $B = \text{Circ}(F_0, F_1, \dots, F_{n-1})$ , we get  $A = B \circ B$ ; thus from (5) and Theorem 2.1 we obtain

$$||A||_2 \leq (n-1)F_nF_{n-1}.$$

**Theorem 2.4** Let  $A = Circ(L_0, L_1, ..., L_{n-1})$  be a circulant matrix, then we have

$$\|A\|_{2} \leq \begin{cases} \sqrt{5nF_{n}F_{n-1}} + 4n, & n \text{ odd,} \\ \sqrt{5nF_{n}F_{n-1}}, & n \text{ even,} \end{cases}$$

where  $\|\cdot\|_2$  is the spectral norm and  $L_n$  denotes the Lucas number.

*Proof* Since  $A = \text{Circ}(L_0, L_1, ..., L_{n-1})$  is a circulant matrix, let the following matrices be defined:

$$B = \begin{pmatrix} 1 & 1 & \cdots & 1 \\ 1 & 1 & \cdots & 1 \\ \cdots & \cdots & \cdots & \cdots \\ 1 & 1 & \cdots & 1 \end{pmatrix}, \qquad C = \begin{pmatrix} L_0 & L_1 & \cdots & L_{n-2} & L_{n-1} \\ L_{n-1} & L_0 & \cdots & L_{n-3} & L_{n-2} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ L_2 & L_3 & \cdots & L_0 & L_1 \\ L_1 & L_2 & \cdots & L_{n-1} & L_0 \end{pmatrix},$$

then  $A = B \circ C$ .

We have

$$r_1(B) = \max_i \sqrt{\sum_j |b_{ij}|^2} = \sqrt{n}$$

and

$$c_1(C) = \max_j \sqrt{\sum_i |c_{ij}|^2} = \sqrt{\sum_{i=1}^n |c_{in}|^2} = \sqrt{\sum_{s=0}^{n-1} L_s^2} = \sqrt{\sum_{s=0}^{n-1} (F_s + 2F_{s-1})^2}.$$

Here

$$\sum_{s=0}^{n-1} F_s^2 = F_n F_{n-1}, \qquad \sum_{s=0}^{n-1} F_s F_{s-1} = \begin{cases} F_{n-1}^2, & n \text{ odd,} \\ F_{n-1}^2 - 1, & n \text{ even,} \end{cases} \qquad \sum_{s=0}^{n-1} F_{s-1}^2 = F_{n-1} F_{n-2} + 1,$$

thus

$$c_{1}(C) = \begin{cases} \sqrt{5F_{n}F_{n-1} + 4}, & n \text{ odd,} \\ \sqrt{5F_{n}F_{n-1}}, & n \text{ even,} \end{cases}$$

and from (4) we obtain

$$||A||_{2} \leq \begin{cases} \sqrt{5nF_{n}F_{n-1}} + 4n, & n \text{ odd,} \\ \sqrt{5nF_{n}F_{n-1}}, & n \text{ even.} \end{cases}$$

**Corollary 2.5** Let  $A = SCirc(L_0, L_1, ..., L_{n-1})$  be a symmetric circulant matrix, then we have

$$\|A\|_{2} \leq \begin{cases} \sqrt{5nF_{n}F_{n-1}} + 4n, & n \text{ odd,} \\ \\ \sqrt{5nF_{n}F_{n-1}}, & n \text{ even,} \end{cases}$$

where  $\|\cdot\|_2$  is the spectral norm, and  $L_n$  and  $F_n$  denote the nth Lucas and Fibonacci numbers, respectively.

**Corollary 2.6** Let  $A = \text{Circ}(L_0^2, L_1^2, \dots, L_{n-1}^2)$  be circulant matrices, then

$$\|A\|_{2} \leq \begin{cases} 5nF_{n}F_{n-1} + 4n, & n \text{ odd,} \\ \\ 5nF_{n}F_{n-1}, & n \text{ even,} \end{cases}$$

where  $\|\cdot\|_2$  is the spectral norm, and  $L_n$  and  $F_n$  denote the nth Lucas and Fibonacci numbers, respectively.

*Proof* Since  $A = \text{Circ}(L_0^2, L_1^2, ..., L_{n-1}^2)$  is a circulant matrix, if the matrices  $B = \text{Circ}(L_0, L_1, ..., L_{n-1})$ , we get  $A = B \circ B$ ; thus from (5) and Theorem 2.4, we obtain

$$\|A\|_{2} \leq \begin{cases} 5nF_{n}F_{n-1} + 4n, & n \text{ odd,} \\ \\ 5nF_{n}F_{n-1}, & n \text{ even.} \end{cases}$$

**Corollary 2.7** Let  $A = \text{Circ}(F_0L_0, F_1L_1, \dots, F_{n-1}L_{n-1})$  be circulant matrices, then

$$\|A\|_{2} \leq \begin{cases} \sqrt{(n-1)nF_{n}F_{n-1}(5F_{n}F_{n-1}+4)}, & n \text{ odd,} \\ \sqrt{5(n-1)n}F_{n}F_{n-1}, & n \text{ even,} \end{cases}$$

where  $\|\cdot\|_2$  is the spectral norm, and  $L_n$  and  $F_n$  denote the nth Lucas and Fibonacci numbers, respectively.

*Proof* Since  $A = \text{Circ}(F_0L_0, F_1L_1, \dots, F_{n-1}L_{n-1})$  is a circulant matrix, if the matrices  $B = \text{Circ}(F_0, F_1, \dots, F_{n-1})$  and  $C = \text{Circ}(L_0, L_1, \dots, L_{n-1})$ , we get  $A = B \circ C$ ; thus from (5), Theorems 2.1, and 2.4, we obtain

$$||A||_{2} \leq \begin{cases} \sqrt{(n-1)nF_{n}F_{n-1}(5F_{n}F_{n-1}+4)}, & n \text{ odd,} \\ \sqrt{5(n-1)n}F_{n}F_{n-1}, & n \text{ even.} \end{cases}$$

**Theorem 2.8** Let  $A = \operatorname{Circ}_r(F_0, F_1, \dots, F_{n-1})$  be a *r*-circulant matrix, in which  $|r| \ge 1$ , and then

$$||A||_2 \le \sqrt{(n-1)|r|^2 F_n F_{n-1}},$$

where  $r \in \mathbb{C}$ ,  $\|\cdot\|_2$  is the spectral norm and  $F_n$  denotes the nth Fibonacci number.

*Proof* Since  $A = \text{Circ}_r(F_0, F_1, \dots, F_{n-1})$  is a *r*-circulant matrix, let *B* and *C*, respectively, be

$$B = \begin{pmatrix} F_0 & 1 & 1 & \cdots & 1 \\ r & F_0 & 1 & \cdots & 1 \\ r & r & F_0 & \cdots & 1 \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ r & r & r & \cdots & F_0 \end{pmatrix}, \qquad C = \begin{pmatrix} F_0 & F_1 & F_2 & \cdots & F_{n-1} \\ F_{n-1} & F_0 & F_1 & \cdots & F_{n-2} \\ F_{n-2} & F_{n-1} & F_0 & \cdots & F_{n-3} \\ \cdots & \cdots & \cdots & \cdots \\ F_1 & F_2 & F_3 & \cdots & F_0 \end{pmatrix},$$

then  $A = B \circ C$ .

For

$$r_1(B) = \max_i \sqrt{\sum_j |b_{ij}|^2} = \sqrt{(n-1)|r|^2}$$

and

$$c_1(C) = \max_j \sqrt{\sum_i |c_{ij}|^2} = \sqrt{\sum_{i=1}^n |c_{in}|^2} = \sqrt{\sum_{s=0}^{n-1} F_s^2} = \sqrt{F_n F_{n-1}},$$

from (4), we have

$$||A||_2 \le \sqrt{(n-1)|r|^2 F_n F_{n-1}}.$$

**Corollary 2.9** Let  $A = \text{SCirc}_r(F_0, F_1, \dots, F_{n-1})$  be a symmetric *r*-circulant matrix, in which  $|r| \ge 1$ , and then

$$||A||_2 \leq \sqrt{(n-1)|r|^2 F_n F_{n-1}},$$

where  $r \in \mathbb{C}$ ,  $\|\cdot\|_2$  is the spectral norm and  $F_n$  denotes the nth Fibonacci number.

**Corollary 2.10** Let  $A = \text{Circ}_r(F_0^2, F_1^2, \dots, F_{n-1}^2)$  be a r-circulant matrix, while  $|r| \ge 1$ , then we obtain

$$||A||_2 \le (n-1)|r|F_nF_{n-1},$$

where  $r \in \mathbb{C}$ ,  $\|\cdot\|_2$  is the spectral norm and  $F_n$  denotes the Fibonacci number.

*Proof* Since  $A = \operatorname{Circ}_r(F_0^2, F_1^2, \dots, F_{n-1}^2)$  is a *r*-circulant matrix, if the matrices  $B = \operatorname{Circ}_r(F_0, F_1, \dots, F_{n-1})$  and  $C = \operatorname{Circ}(F_0, F_1, \dots, F_{n-1})$ , we get  $A = B \circ C$ ; thus from (5), Theorems 2.1, and 2.8, we obtain

$$||A||_2 \le (n-1)|r|F_nF_{n-1}.$$

**Corollary 2.11** Let  $A = \text{Circ}_r(F_0L_0, F_1L_1, \dots, F_{n-1}L_{n-1})$  be a r-circulant matrix, while  $|r| \ge 1$ , then we obtain

$$\|A\|_{2} \leq \begin{cases} \sqrt{(n-1)n|r|^{2}F_{n}F_{n-1}(5F_{n}F_{n-1}+4)}, & n \text{ odd,} \\ F_{n}F_{n-1}\sqrt{5|r|^{2}(n-1)n}, & n \text{ even,} \end{cases}$$

where  $r \in \mathbb{C}$ ,  $\|\cdot\|_2$  is the spectral norm, and  $L_n$  and  $F_n$  denote the nth Lucas and Fibonacci numbers, respectively.

*Proof* Since  $A = \text{Circ}_r(F_0L_0, F_1L_1, \dots, F_{n-1}L_{n-1})$  is a *r*-circulant matrix, if the matrices  $B = \text{Circ}_r(F_0, F_1, \dots, F_{n-1})$  and  $C = \text{Circ}(L_0, L_1, \dots, L_{n-1})$ , we get  $A = B \circ C$ ; thus from (5), Theorems 2.4, and 2.8, we obtain

$$\|A\|_{2} \leq \begin{cases} \sqrt{(n-1)n|r|^{2}F_{n}F_{n-1}(5F_{n}F_{n-1}+4)}, & n \text{ odd,} \\ F_{n}F_{n-1}\sqrt{5|r|^{2}(n-1)n}, & n \text{ even.} \end{cases}$$

**Theorem 2.12** Let  $A = \text{Circ}_r(L_0, L_1, ..., L_{n-1})$  be a *r*-circulant matrix and  $|r| \ge 1$ , then we obtain

$$\|A\|_{2} \leq \begin{cases} \sqrt{(n-1)|r|^{2}+1} \times \sqrt{5F_{n}F_{n-1}+4}, & n \text{ odd,} \\ \sqrt{(n-1)|r|^{2}+1} \times \sqrt{5F_{n}F_{n-1}}, & n \text{ even,} \end{cases}$$

where  $r \in \mathbb{C}$ ,  $\|\cdot\|_2$  is the spectral norm, and  $L_n$  and  $F_n$  denote the nth Lucas and Fibonacci numbers, respectively.

*Proof* Since  $A = \text{Circ}_r(L_0, L_1, \dots, L_{n-1})$  is a *r*-circulant matrix, let *B* and *C*, respectively, be

$$B = \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ r & 1 & 1 & \cdots & 1 \\ r & r & 1 & \cdots & 1 \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ r & r & r & \cdots & 1 \end{pmatrix}, \qquad C = \begin{pmatrix} L_0 & L_1 & \cdots & L_{n-2} & L_{n-1} \\ L_{n-1} & L_0 & \cdots & L_{n-3} & L_{n-2} \\ \cdots & \cdots & \cdots & \cdots \\ L_2 & L_3 & \cdots & L_0 & L_1 \\ L_1 & L_2 & \cdots & L_{n-1} & L_0 \end{pmatrix},$$

and then  $A = B \circ C$ .

We have

$$r_1(B) = \max_i \sqrt{\sum_j |b_{ij}|^2} = \sqrt{(n-1)|r|^2 + 1}$$

and

$$c_1(C) = \max_j \sqrt{\sum_i |c_{ij}|^2} = \sqrt{\sum_{i=1}^n |c_{in}|^2} = \sqrt{\sum_{s=0}^{n-1} L_s^2} = \sqrt{\sum_{s=0}^{n-1} (F_s + 2F_{s-1})^2},$$

in which

$$\sum_{s=0}^{n-1} F_s^2 = F_n F_{n-1}, \qquad \sum_{s=0}^{n-1} F_{s-1} F_s = \begin{cases} F_{n-1}^2, & n \text{ odd,} \\ F_{n-1}^2 - 1, & n \text{ even,} \end{cases} \qquad \sum_{s=0}^{n-1} F_{s-1}^2 = F_{n-1} F_{n-2} + 1,$$

and we get

$$c_{1}(C) = \begin{cases} \sqrt{5F_{n}F_{n-1} + 4}, & n \text{ odd,} \\ \sqrt{5F_{n}F_{n-1}}, & n \text{ even.} \end{cases}$$

From (4), we further infer

$$\|A\|_{2} \leq \begin{cases} \sqrt{(n-1)|r|^{2}+1} \times \sqrt{5F_{n}F_{n-1}+4}, & n \text{ odd,} \\ \sqrt{(n-1)|r|^{2}+1} \times \sqrt{5F_{n}F_{n-1}}, & n \text{ even.} \end{cases}$$

**Corollary 2.13** Let  $A = \text{SCirc}_r(L_0, L_1, \dots, L_{n-1})$  be a symmetric r-circulant matrix and  $|r| \ge 1$ , then we obtain

$$\|A\|_{2} \leq \begin{cases} \sqrt{(n-1)|r|^{2}+1} \times \sqrt{5F_{n}F_{n-1}+4}, & n \text{ odd,} \\ \sqrt{(n-1)|r|^{2}+1} \times \sqrt{5F_{n}F_{n-1}}, & n \text{ even,} \end{cases}$$

where  $r \in \mathbb{C}$ ,  $\|\cdot\|_2$  is the spectral norm, and  $L_n$  and  $F_n$  denote the nth Lucas and Fibonacci numbers, respectively.

**Corollary 2.14** Let  $A = \text{Circ}_r(L_0^2, L_1^2, \dots, L_{n-1}^2)$  be a r-circulant matrix and  $|r| \ge 1$ , then

$$\|A\|_{2} \leq \begin{cases} (5F_{n}F_{n-1}+4)\sqrt{n[(n-1)|r|^{2}+1]}, & n \text{ odd,} \\ \\ 5F_{n}F_{n-1}\sqrt{n[(n-1)|r|^{2}+1]}, & n \text{ even,} \end{cases}$$

where  $r \in \mathbb{C}$ ,  $\|\cdot\|_2$  is the spectral norm, and  $L_n$  and  $F_n$  denote the nth Lucas and Fibonacci numbers, respectively.

*Proof* Since  $A = \operatorname{Circ}_r(L_0^2, L_1^2, \dots, L_{n-1}^2)$  is a *r*-circulant matrix, if the matrices  $B = \operatorname{Circ}(L_0, L_1, \dots, L_{n-1})$  and  $C = \operatorname{Circ}_r(L_0, L_1, \dots, L_{n-1})$ , we get  $A = B \circ C$ ; thus from (5), Theorems 2.4, and 2.12, we obtain

$$\|A\|_{2} \leq \begin{cases} (5F_{n}F_{n-1}+4)\sqrt{n[(n-1)|r|^{2}+1]}, & n \text{ odd,} \\ 5F_{n}F_{n-1}\sqrt{n[(n-1)|r|^{2}+1]}, & n \text{ even.} \end{cases}$$

# 3 Examples

**Example 1** Let  $A = \text{Circ}(F_0, F_1, \dots, F_{n-1})$  be a circulant matrix, in which  $F_i$  ( $i = 0, 1, \dots, n-1$ ) denotes the Fibonacci number.

From Table 1, it is easy to find that the upper bounds for the spectral norm, of Theorem 2.1 are more accurate than Theorem 1.3 when  $n \ge 4$ .

**Example 2** Let  $A = \text{Circ}(L_0, L_1, ..., L_{n-1})$  be a circulant matrix, where  $L_i$  (i = 0, 1, ..., n - 1) denotes the Lucas sequence.

Let  $n \ge 3$ , and it is easy to find that the upper bounds for the spectral norm of Theorem 2.4 are more accurate than Theorem 1.4 (see Table 2).

**Example 3** Let  $A = \text{Circ}_2(F_0, F_1, \dots, F_{n-1})$  be a 2-circulant matrix, in which  $F_i$  ( $i = 0, 1, \dots, n-1$ ) denotes the Fibonacci number.

Let  $n \ge 4$ , and it is easy to find that the upper bounds for the spectral norm of Theorem 2.8 are more precise than Theorem 1.5 (see Table 3).

n	Theorem 2.1	Theorem 1.3	Third column Second column
2	1	1	$\frac{1}{1} = 1$
3	2	2	$\frac{2}{2} = 1$
4	$3\sqrt{2}$	6	$\frac{6}{3\sqrt{2}} = \sqrt{2}$
5	$\sqrt{60}$	15	$\frac{15}{\sqrt{60}} \approx 1.936$
6	$\sqrt{200}$	40	$\frac{40}{\sqrt{200}} = 2\sqrt{2}$
n	$\sqrt{(n-1)F_nF_{n-1}}$	$F_nF_{n-1}$	$\frac{F_n F_{n-1}}{\sqrt{(n-1)F_n F_{n-1}}} = \sqrt{\frac{F_n F_{n-1}}{n-1}}$

### Table 1 Numerical results of $a_i = F_i$ , r = 1

#### Table 2 Numerical results of $a_i = L_i$ , r = 1

n	Theorem 2.4	Theorem 1.4	<u>Third column</u> Second column
1	2	2	$\frac{2}{2} = 1$
2	$\sqrt{10}$	$\sqrt{10}$	$\frac{\sqrt{10}}{\sqrt{10}} = 1$
3	$\sqrt{42}$	$\sqrt{154}$	$\frac{\sqrt{154}}{\sqrt{42}} \approx 1.915$
4	$\sqrt{120}$	$\sqrt{810}$	$\frac{\sqrt{810}}{\sqrt{120}} \approx 2.598$
5	$\sqrt{395}$	√6,004	$\frac{\sqrt{6,004}}{\sqrt{395}} \approx 3.899$
6	√ <u>1,200</u>	√39,400	$\frac{\sqrt{39,400}}{\sqrt{1,200}} \approx 5.730$
n			$\sqrt{n^{-1}(5F_nF_{n-1}+1)}$ n odd,
			$\sqrt{n^{-1}(5F_nF_{n-1}-3)}$ n even

n	Theorem 2.8	Theorem 1.5	Third column Second column
2	2	2	$\frac{2}{2} = 1$
3	4	4	$\frac{4}{4} = 1$
4	$6\sqrt{2}$	12	$\frac{12}{6\sqrt{2}} = \sqrt{2}$
5	$4\sqrt{15}$	$\sqrt{30}$	$\frac{30}{4\sqrt{15}} \approx 1.936$
6	$20\sqrt{2}$	80	$\frac{80}{20\sqrt{2}} = 2\sqrt{2}$
n	$\sqrt{(n-1) r ^2 F_n F_{n-1}}$	$ r F_nF_{n-1}$	$\sqrt{(n-1)^{-1}F_nF_{n-1}}$

Table 3 Numerical results of  $a_i = F_i$ , r = 2

## Table 4 Numerical results of $a_i = L_i$ , r = 2

n	Theorem 2.12	Theorem 1.6	Third column Second column
1	2	2	$\frac{2}{2} = 1$
2	5	4	$\frac{4}{5} = \frac{4}{5}$
3	$3\sqrt{14}$	2√231	$\frac{2\sqrt{231}}{3\sqrt{14}} \approx 2.708$
4	$\sqrt{390}$	54	$\frac{54}{\sqrt{390}} \approx 2.734$
5	$\sqrt{1,343}$	152	$\frac{152}{\sqrt{1.343}} \approx 4.418$
6	10\sqrt{42}	394	$\frac{394}{10\sqrt{342}} \approx 6.080$

**Example 4** Let  $A = \text{Circ}_2(L_0, L_1, ..., L_{n-1})$  be a 2-circulant matrix where  $L_i$  (i = 0, 1, ..., n-1) denotes the Lucas sequence.

It can be seen from Table 4 that the upper bounds for the spectral norm of Theorem 2.12 are more precise than Theorem 1.6 when  $n \ge 3$ .

#### **Competing interests**

The authors declare that they have no competing interests.

#### Authors' contributions

All authors contributed equally to the writing of this paper. All authors read and approved the final manuscript.

#### Acknowledgements

Project supported by Applied Fundamental Research Plan of Sichuan Province (No. 2013JY0178).

#### Received: 25 September 2014 Accepted: 12 February 2015 Published online: 28 February 2015

#### References

- 1. Mathias, R: The spectral norm of a nonnegative matrix. Linear Algebra Appl. 131, 269-284 (1990)
- Solak, S: On the norms of circulant matrices with the Fibonacci and Lucas numbers. Appl. Math. Comput. 160, 125-132 (2005)
- 3. Solak, S: Erratum to 'On the norms of circulant matrices with the Fibonacci and Lucas numbers' [Appl. Math. Comput. 160 (2005) 125-132]. Appl. Math. Comput. 190, 1855-1856 (2007)
- Shen, S, Cen, J: On the bounds for the norms of r-circulant matrices with the Fibonacci and Lucas numbers. Appl. Math. Comput. 216, 2891-2897 (2010)
- 5. Yazlik, Y, Taskara, N: On the norms of an *r*-circulant matrix with the generalized *k*-Horadam numbers. J. Inequal. Appl. **2013**, 394 (2013)
- Bozkurt, D, Tam, T-Y: Determinants and inverses of r-circulant matrices associated with a number sequence. Linear Multilinear Algebra (2014). doi:10.1080/03081087.2014.941291