# The upper bound estimation on the spectral norm of $r$-circulant matrices with the Fibonacci and Lucas numbers 

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#### Abstract

Let us define $A=\operatorname{Circ}_{r}\left(a_{0}, a_{1}, \ldots, a_{n-1}\right)$ to be a $n \times n r$-circulant matrix. The entries in the first row of $A=\operatorname{Circ}_{r}\left(a_{0}, a_{1}, \ldots, a_{n-1}\right)$ are $a_{i}=F_{i}$, or $a_{i}=L_{i}$, or $a_{i}=F_{i} L_{i}$, or $a_{i}=F_{i}^{2}$, or $a_{i}=L_{i}^{2}(i=0,1, \ldots, n-1)$, where $F_{i}$ and $L_{i}$ are the $i$ th Fibonacci and Lucas numbers, respectively. This paper gives an upper bound estimation of the spectral norm for $r$-circulant matrices with Fibonacci and Lucas numbers. The result is more accurate than the corresponding results of S Solak and S Shen, and of J Cen, and the numerical examples have provided further proof.


Keywords: r-circulant matrices; Fibonacci number; Lucas number; spectral norm; estimation

## 1 Introduction

For $n>0$, the Fibonacci sequence $\left\{F_{n}\right\}$ is defined by $F_{n+1}=F_{n}+F_{n-1}$, where $F_{0}=0$ and $F_{1}=1$. If we start by zero, then the sequence is given by

$$
\begin{array}{ccccccccccc}
n & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & \cdots  \tag{1}\\
F_{n} & 0 & 1 & 1 & 2 & 3 & 5 & 8 & 13 & 21 & \cdots
\end{array}
$$

If we deduce from $F_{n+1}$ that $L_{n+1}=L_{n}+L_{n-1}$, and let $L_{0}=2, L_{1}=1$, then we obtain the Lucas sequence $\left\{L_{n}\right\}$,

$$
\begin{array}{ccccccccccc}
n & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & \cdots  \tag{2}\\
L_{n} & 2 & 1 & 3 & 4 & 7 & 11 & 18 & 29 & 47 & \ldots
\end{array}
$$

Furthermore, the sequences $\left\{F_{n}\right\}$ and $\left\{L_{n}\right\}$ satisfy the following recursion:

$$
\begin{equation*}
F_{n}+L_{n}=2 F_{n+1} . \tag{3}
\end{equation*}
$$

Definition 1.1 A matrix $A$ is an $r$-circulant matrix if it is of the form

$$
A=\left(\begin{array}{ccccc}
a_{0} & a_{1} & \cdots & a_{n-2} & a_{n-1} \\
r a_{n-1} & a_{0} & \cdots & a_{n-3} & a_{n-2} \\
\cdots & \cdots & \cdots & \cdots & \cdots \\
r a_{2} & r a_{3} & \cdots & a_{0} & a_{1} \\
r a_{1} & r a_{2} & \cdots & r a_{n-1} & a_{0}
\end{array}\right) .
$$

Obviously, the elements of this $r$-circulant matrix are determined by its first row elements $a_{0}, a_{1}, \ldots, a_{n-1}$ and the parameter $r$, thus we denote $A=\operatorname{Circ}_{r}\left(a_{0}, a_{1}, \ldots, a_{n-1}\right)$. Especially when $r=1$, we obtain $A=\operatorname{Circ}\left(a_{0}, a_{1}, \ldots, a_{n-1}\right)$.

Definition 1.2 A matrix $A$ is called a symmetric $r$-circulant matrix if it is of the form

$$
A=\left(\begin{array}{ccccc}
a_{0} & a_{1} & \cdots & a_{n-2} & a_{n-1} \\
a_{1} & a_{2} & \cdots & a_{n-1} & r a_{0} \\
\cdots & \cdots & \cdots & \cdots & \cdots \\
a_{n-2} & a_{n-1} & \cdots & r a_{n-4} & r a_{n-3} \\
a_{n-1} & r a_{0} & \cdots & r a_{n-3} & r a_{n-2}
\end{array}\right) .
$$

Obviously, the elements of this $r$-circulant matrix are determined by its first row elements $a_{0}, a_{1}, \ldots, a_{n-1}$ and the parameter $r$; thus we denote $A=\operatorname{Sirc}_{r}\left(a_{0}, a_{1}, \ldots, a_{n-1}\right)$. Especially when $r=1$, we obtain $A=\operatorname{SCirc}\left(a_{0}, a_{1}, \ldots, a_{n-1}\right)$.

For any $A=\left(a_{i j}\right)_{m \times n}$, the well-known spectral norm of the matrix $A$ is

$$
\|A\|_{2}=\sqrt{\max _{1 \leq i \leq n} \lambda_{i}\left(A^{H} A\right)}
$$

in which $\lambda_{i}\left(A^{H} A\right)$ is the eigenvalue of $A^{H} A$ and $A^{H}$ is the conjugate transpose of matrix $A$.
Define the maximum column length norm $c_{1}(\cdot)$ and the maximum row length norm $r_{1}(\cdot)$ of any matrix $A$ by

$$
c_{1}(A)=\max _{j} \sqrt{\sum_{i}\left|a_{i j}\right|^{2}}
$$

and

$$
r_{1}(A)=\max _{i} \sqrt{\sum_{j}\left|a_{i j}\right|^{2}}
$$

respectively.
Let $A, B$, and $C$ be $m \times n$ matrices. If $A=B \circ C$, then in accordance with [1] we have

$$
\begin{equation*}
\|A\|_{2} \leq r_{1}(B) c_{1}(C) \tag{4}
\end{equation*}
$$

and

$$
\begin{equation*}
\|A\|_{2} \leq\|B\|_{2}\|C\|_{2} . \tag{5}
\end{equation*}
$$

Here, we define $B=\left(b_{i j}\right)_{m \times n}, C=\left(c_{i j}\right)_{m \times n}$, and we let $B \circ C$ be the Hadamard product of $B$ and $C$.

In recent years, many authors (see [2-6]) were concerned with $r$-circulant matrices associated with a number sequence. References [2-4] calculate and estimate the Frobenius norm and the spectral norm of a circulant matrix where the elements of the $r$-circulant matrix are Fibonacci numbers and Lucas numbers; the authors found more accurate results of the upper bound estimated, and the numerical examples also have provided further proof.

Theorem 1.3 (see [2]) Let $A=\operatorname{Circ}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$ be a circulant matrix, then we have

$$
\|A\|_{2} \leq F_{n} F_{n-1},
$$

where $\|\cdot\|_{2}$ is the spectral norm and $F_{n}$ denotes the nth Fibonacci number.

Theorem 1.4 (see [3]) Let $A=\operatorname{Circ}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$ be a circulant matrix, then we have

$$
\|A\|_{2} \leq \begin{cases}\sqrt{\left[F_{n} F_{n-1}+4 F_{n-1}^{2}+4 F_{n-1} F_{n-2}+4\right] \times\left[F_{n} F_{n-1}+4 F_{n-1}^{2}+4 F_{n-1} F_{n-2}+4\right]}, & n \text { odd } \\ \sqrt{\left[F_{n} F_{n-1}+4 F_{n-1}^{2}+4 F_{n-1} F_{n-2}\right] \times\left[F_{n} F_{n-1}+4 F_{n-1}^{2}+4 F_{n-1} F_{n-2}-3\right]}, & \text { neven }\end{cases}
$$

where $\|\cdot\|_{2}$ is the spectral norm, and $L_{n}$ and $F_{n}$ denote the nth Lucas and Fibonacci numbers, respectively.

Theorem 1.5 (see [4]) Let $A=\operatorname{Circ}_{r}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$ be a $r$-circulant matrix, in which $|r| \geq 1$, and then

$$
\|A\|_{2} \leq|r| F_{n} F_{n-1}
$$

where $r \in \mathbb{C},\|\cdot\|_{2}$ is the spectral norm and $F_{n}$ denotes the nth Fibonacci number.

Theorem 1.6 (see [4]) Let $A=\operatorname{Circ}_{r}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$ be a $r$-circulant matrix and $|r| \geq 1$, then we obtain

$$
\|A\|_{2} \leq \begin{cases}\sqrt{\left(5|r|^{2} F_{n} F_{n-1}+4\right)\left(5 F_{n} F_{n-1}+1\right)}, & \text { nodd } \\ \sqrt{\left[5|r|^{2} F_{n} F_{n-1}+4\left(1-|r|^{2}\right)\right]\left(5 F_{n} F_{n-1}-3\right)}, & \text { n even }\end{cases}
$$

where $r \in \mathbb{C},\|\cdot\|_{2}$ is the spectral norm, and $L_{n}$ and $F_{n}$ denote the nth Lucas and Fibonacci numbers, respectively.

## 2 Main results

Theorem 2.1 Let $A=\operatorname{Circ}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$ be a circulant matrix, then we have

$$
\|A\|_{2} \leq \sqrt{(n-1) F_{n} F_{n-1}},
$$

where $\|\cdot\|_{2}$ is the spectral norm and $F_{n}$ denotes the nth Fibonacci number.

Proof Since $A=\operatorname{Circ}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$ is a circulant matrix, let the matrices $B$ and $C$ be

$$
B=\left(\begin{array}{cccc}
F_{0} & 1 & \cdots & 1 \\
1 & F_{0} & \cdots & 1 \\
\cdots & \cdots & \cdots & \cdots \\
1 & 1 & \cdots & F_{0}
\end{array}\right), \quad C=\left(\begin{array}{ccccc}
F_{0} & F_{1} & \cdots & F_{n-2} & F_{n-1} \\
F_{n-1} & F_{0} & \cdots & F_{n-3} & F_{n-2} \\
\cdots & \cdots & \cdots & \cdots & \cdots \\
F_{2} & F_{3} & \cdots & F_{0} & F_{1} \\
F_{1} & F_{2} & \cdots & F_{n-1} & F_{0}
\end{array}\right),
$$

we get $A=B \circ C$.

For

$$
r_{1}(B)=\max _{i} \sqrt{\sum_{j}\left|b_{i j}\right|^{2}}=\sqrt{n-1}
$$

and

$$
c_{1}(C)=\max _{j} \sqrt{\sum_{i}\left|c_{i j}\right|^{2}}=\max _{j} \sqrt{\sum_{i=1}^{n}\left|c_{i n}\right|^{2}}=\sqrt{\sum_{s=0}^{n-1} F_{s}^{2}}=\sqrt{F_{n} F_{n-1}} .
$$

From (4), we have

$$
\|A\|_{2} \leq \sqrt{(n-1) F_{n} F_{n-1}} .
$$

Corollary 2.2 Let $A=\operatorname{SCirc}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$ be a symmetric circulant matrix, then we have

$$
\|A\|_{2} \leq \sqrt{(n-1) F_{n} F_{n-1}},
$$

where $\|\cdot\|_{2}$ is the spectral norm and $F_{n}$ denotes the nth Fibonacci number.
Corollary 2.3 Let $A=\operatorname{Circ}\left(F_{0}^{2}, F_{1}^{2}, \ldots, F_{n-1}^{2}\right)$ be a circulant matrix, then we have

$$
\|A\|_{2} \leq(n-1) F_{n} F_{n-1},
$$

where $\|\cdot\|_{2}$ is the spectral norm and $F_{n}$ denotes the nth Fibonacci number.
Proof Since $A=\operatorname{Circ}\left(F_{0}^{2}, F_{1}^{2}, \ldots, F_{n-1}^{2}\right)$ is a circulant matrix, if the matrices $B=\operatorname{Circ}\left(F_{0}, F_{1}\right.$, $\ldots, F_{n-1}$ ), we get $A=B \circ B$; thus from (5) and Theorem 2.1 we obtain

$$
\|A\|_{2} \leq(n-1) F_{n} F_{n-1} .
$$

Theorem 2.4 Let $A=\operatorname{Circ}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$ be a circulant matrix, then we have

$$
\|A\|_{2} \leq \begin{cases}\sqrt{5 n F_{n} F_{n-1}+4 n}, & n \text { odd } \\ \sqrt{5 n F_{n} F_{n-1}}, & \text { neven }\end{cases}
$$

where $\|\cdot\|_{2}$ is the spectral norm and $L_{n}$ denotes the Lucas number.

Proof Since $A=\operatorname{Circ}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$ is a circulant matrix, let the following matrices be defined:

$$
B=\left(\begin{array}{cccc}
1 & 1 & \cdots & 1 \\
1 & 1 & \cdots & 1 \\
\cdots & \cdots & \cdots & \cdots \\
1 & 1 & \cdots & 1
\end{array}\right), \quad C=\left(\begin{array}{ccccc}
L_{0} & L_{1} & \cdots & L_{n-2} & L_{n-1} \\
L_{n-1} & L_{0} & \cdots & L_{n-3} & L_{n-2} \\
\cdots & \cdots & \cdots & \cdots & \cdots \\
L_{2} & L_{3} & \cdots & L_{0} & L_{1} \\
L_{1} & L_{2} & \cdots & L_{n-1} & L_{0}
\end{array}\right),
$$

then $A=B \circ C$.

We have

$$
r_{1}(B)=\max _{i} \sqrt{\sum_{j}\left|b_{i j}\right|^{2}}=\sqrt{n}
$$

and

$$
c_{1}(C)=\max _{j} \sqrt{\sum_{i}\left|c_{i j}\right|^{2}}=\sqrt{\sum_{i=1}^{n}\left|c_{i n}\right|^{2}}=\sqrt{\sum_{s=0}^{n-1} L_{s}^{2}}=\sqrt{\sum_{s=0}^{n-1}\left(F_{s}+2 F_{s-1}\right)^{2}}
$$

Here

$$
\sum_{s=0}^{n-1} F_{s}^{2}=F_{n} F_{n-1}, \quad \sum_{s=0}^{n-1} F_{s} F_{s-1}=\left\{\begin{array}{ll}
F_{n-1}^{2}, & n \text { odd }, \\
F_{n-1}^{2}-1, & n \text { even },
\end{array} \quad \sum_{s=0}^{n-1} F_{s-1}^{2}=F_{n-1} F_{n-2}+1,\right.
$$

thus

$$
c_{1}(C)= \begin{cases}\sqrt{5 F_{n} F_{n-1}+4}, & n \text { odd } \\ \sqrt{5 F_{n} F_{n-1}}, & n \text { even }\end{cases}
$$

and from (4) we obtain

$$
\|A\|_{2} \leq \begin{cases}\sqrt{5 n F_{n} F_{n-1}+4 n}, & n \text { odd } \\ \sqrt{5 n F_{n} F_{n-1}}, & n \text { even }\end{cases}
$$

Corollary 2.5 Let $A=\operatorname{SCirc}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$ be a symmetric circulant matrix, then we have

$$
\|A\|_{2} \leq \begin{cases}\sqrt{5 n F_{n} F_{n-1}+4 n}, & \text { nodd } \\ \sqrt{5 n F_{n} F_{n-1}}, & \text { neven }\end{cases}
$$

where $\|\cdot\|_{2}$ is the spectral norm, and $L_{n}$ and $F_{n}$ denote the nth Lucas and Fibonacci numbers, respectively.

Corollary 2.6 Let $A=\operatorname{Circ}\left(L_{0}^{2}, L_{1}^{2}, \ldots, L_{n-1}^{2}\right)$ be circulant matrices, then

$$
\|A\|_{2} \leq \begin{cases}5 n F_{n} F_{n-1}+4 n, & n \text { odd } \\ 5 n F_{n} F_{n-1}, & n \text { even }\end{cases}
$$

where $\|\cdot\|_{2}$ is the spectral norm, and $L_{n}$ and $F_{n}$ denote the nth Lucas and Fibonacci numbers, respectively.

Proof Since $A=\operatorname{Circ}\left(L_{0}^{2}, L_{1}^{2}, \ldots, L_{n-1}^{2}\right)$ is a circulant matrix, if the matrices $B=\operatorname{Circ}\left(L_{0}, L_{1}\right.$, $\ldots, L_{n-1}$ ), we get $A=B \circ B$; thus from (5) and Theorem 2.4, we obtain

$$
\|A\|_{2} \leq \begin{cases}5 n F_{n} F_{n-1}+4 n, & n \text { odd } \\ 5 n F_{n} F_{n-1}, & n \text { even }\end{cases}
$$

Corollary 2.7 Let $A=\operatorname{Circ}\left(F_{0} L_{0}, F_{1} L_{1}, \ldots, F_{n-1} L_{n-1}\right)$ be circulant matrices, then

$$
\|A\|_{2} \leq \begin{cases}\sqrt{(n-1) n F_{n} F_{n-1}\left(5 F_{n} F_{n-1}+4\right)}, & n \text { odd } \\ \sqrt{5(n-1) n} F_{n} F_{n-1}, & n \text { even }\end{cases}
$$

where $\|\cdot\|_{2}$ is the spectral norm, and $L_{n}$ and $F_{n}$ denote the nth Lucas and Fibonacci numbers, respectively.

Proof Since $A=\operatorname{Circ}\left(F_{0} L_{0}, F_{1} L_{1}, \ldots, F_{n-1} L_{n-1}\right)$ is a circulant matrix, if the matrices $B=$ $\operatorname{Circ}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$ and $C=\operatorname{Circ}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$, we get $A=B \circ C$; thus from (5), Theorems 2.1, and 2.4, we obtain

$$
\|A\|_{2} \leq \begin{cases}\sqrt{(n-1) n F_{n} F_{n-1}\left(5 F_{n} F_{n-1}+4\right)}, & n \text { odd } \\ \sqrt{5(n-1) n} F_{n} F_{n-1}, & n \text { even }\end{cases}
$$

Theorem 2.8 Let $A=\operatorname{Circ}_{r}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$ be a $r$-circulant matrix, in which $|r| \geq 1$, and then

$$
\|A\|_{2} \leq \sqrt{(n-1)|r|^{2} F_{n} F_{n-1}}
$$

where $r \in \mathbb{C},\|\cdot\|_{2}$ is the spectral norm and $F_{n}$ denotes the nth Fibonacci number.

Proof Since $A=\operatorname{Circ}_{r}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$ is a $r$-circulant matrix, let $B$ and $C$, respectively, be

$$
B=\left(\begin{array}{ccccc}
F_{0} & 1 & 1 & \cdots & 1 \\
r & F_{0} & 1 & \cdots & 1 \\
r & r & F_{0} & \cdots & 1 \\
\cdots & \cdots & \cdots & \cdots & \cdots \\
r & r & r & \cdots & F_{0}
\end{array}\right), \quad C=\left(\begin{array}{ccccc}
F_{0} & F_{1} & F_{2} & \cdots & F_{n-1} \\
F_{n-1} & F_{0} & F_{1} & \cdots & F_{n-2} \\
F_{n-2} & F_{n-1} & F_{0} & \cdots & F_{n-3} \\
\cdots & \cdots & \cdots & \cdots & \cdots \\
F_{1} & F_{2} & F_{3} & \cdots & F_{0}
\end{array}\right),
$$

then $A=B \circ C$.
For

$$
r_{1}(B)=\max _{i} \sqrt{\sum_{j}\left|b_{i j}\right|^{2}}=\sqrt{(n-1)|r|^{2}}
$$

and

$$
c_{1}(C)=\max _{j} \sqrt{\sum_{i}\left|c_{i j}\right|^{2}}=\sqrt{\sum_{i=1}^{n}\left|c_{i n}\right|^{2}}=\sqrt{\sum_{s=0}^{n-1} F_{s}^{2}}=\sqrt{F_{n} F_{n-1}},
$$

from (4), we have

$$
\|A\|_{2} \leq \sqrt{(n-1)|r|^{2} F_{n} F_{n-1}}
$$

Corollary 2.9 Let $A=\operatorname{SCirc}_{r}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$ be a symmetric $r$-circulant matrix, in which $|r| \geq 1$, and then

$$
\|A\|_{2} \leq \sqrt{(n-1)|r|^{2} F_{n} F_{n-1}},
$$

where $r \in \mathbb{C},\|\cdot\|_{2}$ is the spectral norm and $F_{n}$ denotes the nth Fibonacci number.

Corollary 2.10 Let $A=\operatorname{Circ}_{r}\left(F_{0}^{2}, F_{1}^{2}, \ldots, F_{n-1}^{2}\right)$ be a $r$-circulant matrix, while $|r| \geq 1$, then we obtain

$$
\|A\|_{2} \leq(n-1)|r| F_{n} F_{n-1},
$$

where $r \in \mathbb{C},\|\cdot\|_{2}$ is the spectral norm and $F_{n}$ denotes the Fibonacci number.

Proof Since $A=\operatorname{Circ}_{r}\left(F_{0}^{2}, F_{1}^{2}, \ldots, F_{n-1}^{2}\right)$ is a $r$-circulant matrix, if the matrices $B=\operatorname{Circ}_{r}\left(F_{0}\right.$, $\left.F_{1}, \ldots, F_{n-1}\right)$ and $C=\operatorname{Circ}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$, we get $A=B \circ C$; thus from (5), Theorems 2.1, and 2.8 , we obtain

$$
\|A\|_{2} \leq(n-1)|r| F_{n} F_{n-1} .
$$

Corollary 2.11 Let $A=\operatorname{Circ}_{r}\left(F_{0} L_{0}, F_{1} L_{1}, \ldots, F_{n-1} L_{n-1}\right)$ be a $r$-circulant matrix, while $|r| \geq 1$, then we obtain

$$
\|A\|_{2} \leq \begin{cases}\sqrt{(n-1) n|r|^{2} F_{n} F_{n-1}\left(5 F_{n} F_{n-1}+4\right)}, & n \text { odd } \\ F_{n} F_{n-1} \sqrt{5|r|^{2}(n-1) n}, & n \text { even }\end{cases}
$$

where $r \in \mathbb{C},\|\cdot\|_{2}$ is the spectral norm, and $L_{n}$ and $F_{n}$ denote the nth Lucas and Fibonacci numbers, respectively.

Proof Since $A=\operatorname{Circ}_{r}\left(F_{0} L_{0}, F_{1} L_{1}, \ldots, F_{n-1} L_{n-1}\right)$ is a $r$-circulant matrix, if the matrices $B=$ $\operatorname{Circ}_{r}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$ and $C=\operatorname{Circ}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$, we get $A=B \circ C$; thus from (5), Theorems 2.4, and 2.8, we obtain

$$
\|A\|_{2} \leq \begin{cases}\sqrt{(n-1) n|r|^{2} F_{n} F_{n-1}\left(5 F_{n} F_{n-1}+4\right)}, & n \text { odd } \\ F_{n} F_{n-1} \sqrt{5|r|^{2}(n-1) n}, & n \text { even }\end{cases}
$$

Theorem 2.12 Let $A=\operatorname{Circ}_{r}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$ be a $r$-circulant matrix and $|r| \geq 1$, then we obtain

$$
\|A\|_{2} \leq \begin{cases}\sqrt{(n-1)|r|^{2}+1} \times \sqrt{5 F_{n} F_{n-1}+4}, & n \text { odd } \\ \sqrt{(n-1)|r|^{2}+1} \times \sqrt{5 F_{n} F_{n-1}}, & n \text { even }\end{cases}
$$

where $r \in \mathbb{C},\|\cdot\|_{2}$ is the spectral norm, and $L_{n}$ and $F_{n}$ denote the nth Lucas and Fibonacci numbers, respectively.

Proof Since $A=\operatorname{Circ}_{r}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$ is a $r$-circulant matrix, let $B$ and $C$, respectively, be

$$
B=\left(\begin{array}{ccccc}
1 & 1 & 1 & \cdots & 1 \\
r & 1 & 1 & \cdots & 1 \\
r & r & 1 & \cdots & 1 \\
\cdots & \cdots & \cdots & \cdots & \cdots \\
r & r & r & \cdots & 1
\end{array}\right), \quad C=\left(\begin{array}{ccccc}
L_{0} & L_{1} & \cdots & L_{n-2} & L_{n-1} \\
L_{n-1} & L_{0} & \cdots & L_{n-3} & L_{n-2} \\
\cdots & \cdots & \cdots & \cdots & \cdots \\
L_{2} & L_{3} & \cdots & L_{0} & L_{1} \\
L_{1} & L_{2} & \cdots & L_{n-1} & L_{0}
\end{array}\right) \text {, }
$$

and then $A=B \circ C$.
We have

$$
r_{1}(B)=\max _{i} \sqrt{\sum_{j}\left|b_{i j}\right|^{2}}=\sqrt{(n-1)|r|^{2}+1}
$$

and

$$
c_{1}(C)=\max _{j} \sqrt{\sum_{i}\left|c_{i j}\right|^{2}}=\sqrt{\sum_{i=1}^{n}\left|c_{i n}\right|^{2}}=\sqrt{\sum_{s=0}^{n-1} L_{s}^{2}}=\sqrt{\sum_{s=0}^{n-1}\left(F_{s}+2 F_{s-1}\right)^{2}},
$$

in which

$$
\sum_{s=0}^{n-1} F_{s}^{2}=F_{n} F_{n-1}, \quad \sum_{s=0}^{n-1} F_{s-1} F_{s}=\left\{\begin{array}{ll}
F_{n-1}^{2}, & n \text { odd }, \\
F_{n-1}^{2}-1, & n \text { even },
\end{array} \quad \sum_{s=0}^{n-1} F_{s-1}^{2}=F_{n-1} F_{n-2}+1,\right.
$$

and we get

$$
c_{1}(C)= \begin{cases}\sqrt{5 F_{n} F_{n-1}+4}, & n \text { odd } \\ \sqrt{5 F_{n} F_{n-1}}, & n \text { even }\end{cases}
$$

From (4), we further infer

$$
\|A\|_{2} \leq \begin{cases}\sqrt{(n-1)|r|^{2}+1} \times \sqrt{5 F_{n} F_{n-1}+4}, & n \text { odd } \\ \sqrt{(n-1)|r|^{2}+1} \times \sqrt{5 F_{n} F_{n-1}}, & n \text { even }\end{cases}
$$

Corollary 2.13 Let $A=\operatorname{SCirc}_{r}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$ be a symmetric $r$-circulant matrix and $|r| \geq 1$, then we obtain

$$
\|A\|_{2} \leq \begin{cases}\sqrt{(n-1)|r|^{2}+1} \times \sqrt{5 F_{n} F_{n-1}+4}, & n \text { odd } \\ \sqrt{(n-1)|r|^{2}+1} \times \sqrt{5 F_{n} F_{n-1}}, & n \text { even }\end{cases}
$$

where $r \in \mathbb{C},\|\cdot\|_{2}$ is the spectral norm, and $L_{n}$ and $F_{n}$ denote the nth Lucas and Fibonacci numbers, respectively.

Corollary 2.14 Let $A=\operatorname{Circ}_{r}\left(L_{0}^{2}, L_{1}^{2}, \ldots, L_{n-1}^{2}\right)$ be a $r$-circulant matrix and $|r| \geq 1$, then

$$
\|A\|_{2} \leq \begin{cases}\left(5 F_{n} F_{n-1}+4\right) \sqrt{n\left[(n-1)|r|^{2}+1\right]}, & n \text { odd } \\ 5 F_{n} F_{n-1} \sqrt{n\left[(n-1)|r|^{2}+1\right]}, & n \text { even }\end{cases}
$$

where $r \in \mathbb{C},\|\cdot\|_{2}$ is the spectral norm, and $L_{n}$ and $F_{n}$ denote the nth Lucas and Fibonacci numbers, respectively.

Proof Since $A=\operatorname{Circ}_{r}\left(L_{0}^{2}, L_{1}^{2}, \ldots, L_{n-1}^{2}\right)$ is a $r$-circulant matrix, if the matrices $B=\operatorname{Circ}\left(L_{0}, L_{1}\right.$, $\left.\ldots, L_{n-1}\right)$ and $C=\operatorname{Circ}_{r}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$, we get $A=B \circ C$; thus from (5), Theorems 2.4, and 2.12, we obtain

$$
\|A\|_{2} \leq \begin{cases}\left(5 F_{n} F_{n-1}+4\right) \sqrt{n\left[(n-1)|r|^{2}+1\right]}, & n \text { odd } \\ 5 F_{n} F_{n-1} \sqrt{n\left[(n-1)|r|^{2}+1\right]}, & n \text { even }\end{cases}
$$

## 3 Examples

Example 1 Let $A=\operatorname{Circ}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$ be a circulant matrix, in which $F_{i}(i=0,1, \ldots, n-1)$ denotes the Fibonacci number.

From Table 1, it is easy to find that the upper bounds for the spectral norm, of Theorem 2.1 are more accurate than Theorem 1.3 when $n \geq 4$.

Example 2 Let $A=\operatorname{Circ}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$ be a circulant matrix, where $L_{i}(i=0,1, \ldots, n-1)$ denotes the Lucas sequence.
Let $n \geq 3$, and it is easy to find that the upper bounds for the spectral norm of Theorem 2.4 are more accurate than Theorem 1.4 (see Table 2).

Example 3 Let $A=\operatorname{Circ}_{2}\left(F_{0}, F_{1}, \ldots, F_{n-1}\right)$ be a 2-circulant matrix, in which $F_{i}$ ( $i=$ $0,1, \ldots, n-1$ ) denotes the Fibonacci number.
Let $n \geq 4$, and it is easy to find that the upper bounds for the spectral norm of Theorem 2.8 are more precise than Theorem 1.5 (see Table 3).

Table 1 Numerical results of $a_{i}=F_{i}, r=1$

| $\boldsymbol{n}$ | Theorem 2.1 | Theorem 1.3 | $\frac{\text { Third column }}{\text { Second column }}$ |
| :--- | :--- | :--- | :--- |
| 2 | 1 | 1 | $\frac{1}{1}=1$ |
| 3 | 2 | 2 | $\frac{2}{2}=1$ |
| 4 | $3 \sqrt{2}$ | 6 | $\frac{6}{3 \sqrt{2}}=\sqrt{2}$ |
| 5 | $\sqrt{60}$ | 15 | $\frac{15}{\sqrt{60}} \approx 1.936$ |
| 6 | $\sqrt{200}$ | 40 | $\frac{40}{\sqrt{200}}=2 \sqrt{2}$ |
| $n$ | $\sqrt{(n-1) F_{n} F_{n-1}}$ | $F_{n} F_{n-1}$ | $\frac{F_{n} F_{n-1}}{\sqrt{(n-1) F_{n} F_{n-1}}}=\sqrt{\frac{F_{n} F_{n-1}}{n-1}}$ |

Table 2 Numerical results of $a_{i}=L_{i}, r=1$

| $\boldsymbol{n}$ | Theorem 2.4 | Theorem 1.4 | $\frac{\text { Third column }}{\text { Second column }}$ |  |
| :--- | :--- | :--- | :--- | :--- |
| 1 | 2 | 2 | $\frac{2}{2}=1$ |  |
| 2 | $\sqrt{10}$ | $\sqrt{10}$ | $\frac{\sqrt{10}}{\sqrt{10}}=1$ |  |
| 3 | $\sqrt{42}$ | $\sqrt{154}$ | $\frac{\sqrt{154}}{\sqrt{42}} \approx 1.915$ |  |
| 4 | $\sqrt{120}$ | $\sqrt{810}$ | $\frac{\sqrt{810}}{\sqrt{120}} \approx 2.598$ |  |
| 5 | $\sqrt{395}$ | $\sqrt{6,004}$ | $\frac{\sqrt{6,004}}{\sqrt{395}} \approx 3.899$ |  |
| 6 | $\sqrt{1,200}$ | $\sqrt{39,400}$ | $\frac{\sqrt{39,400}}{\sqrt{1,200}} \approx 5.730$ |  |
| $n$ |  |  | $\sqrt{n^{-1}\left(5 F_{n} F_{n-1}+1\right)}$ | $n$ odd, |
|  |  |  | $\sqrt{n^{-1}\left(5 F_{n} F_{n-1}-3\right)}$ | $n$ even |

Table 3 Numerical results of $a_{i}=F_{i}, r=2$

| $\boldsymbol{n}$ | Theorem 2.8 | Theorem 1.5 | $\frac{\text { Third column }}{\text { Second column }}$ |
| :--- | :--- | :--- | :--- |
| 2 | 2 | 2 | $\frac{2}{2}=1$ |
| 3 | 4 | 4 | $\frac{4}{4}=1$ |
| 4 | $6 \sqrt{2}$ | 12 | $\frac{12}{6 \sqrt{2}}=\sqrt{2}$ |
| 5 | $4 \sqrt{15}$ | $\sqrt{30}$ | $\frac{30}{4 \sqrt{15}} \approx 1.936$ |
| 6 | $20 \sqrt{2}$ | 80 | $\frac{80}{20 \sqrt{2}}=2 \sqrt{2}$ |
| $n$ | $\sqrt{(n-1)\|r\|^{2} F_{n} F_{n-1}}$ | $\|r\| F_{n} F_{n-1}$ | $\sqrt{(n-1)^{-1} F_{n} F_{n-1}}$ |

Table 4 Numerical results of $a_{i}=L_{i}, r=2$

| $\boldsymbol{n}$ | Theorem 2.12 | Theorem 1.6 | $\frac{\text { Third column }}{\text { Second column }}$ |
| :--- | :--- | :--- | :--- |
| 1 | 2 | 2 | $\frac{2}{2}=1$ |
| 2 | 5 | 4 | $\frac{4}{5}=\frac{4}{5}$ |
| 3 | $3 \sqrt{14}$ | $2 \sqrt{231}$ | $\frac{2 \sqrt{231}}{3 \sqrt{14}} \approx 2.708$ |
| 4 | $\sqrt{390}$ | 54 | $\frac{54}{\sqrt{390}} \approx 2.734$ |
| 5 | $\sqrt{1,343}$ | 152 | $\frac{152}{\sqrt{1,343}} \approx 4.418$ |
| 6 | $10 \sqrt{42}$ | 394 | $\frac{394}{10 \sqrt{342}} \approx 6.080$ |

Example 4 Let $A=\operatorname{Circ}_{2}\left(L_{0}, L_{1}, \ldots, L_{n-1}\right)$ be a 2 -circulant matrix where $L_{i}(i=0,1, \ldots$, $n-1$ ) denotes the Lucas sequence.

It can be seen from Table 4 that the upper bounds for the spectral norm of Theorem 2.12 are more precise than Theorem 1.6 when $n \geq 3$.

## Competing interests

The authors declare that they have no competing interests.

## Authors' contributions

All authors contributed equally to the writing of this paper. All authors read and approved the final manuscript.

## Acknowledgements

Project supported by Applied Fundamental Research Plan of Sichuan Province (No. 2013JY0178),
Received: 25 September 2014 Accepted: 12 February 2015 Published online: 28 February 2015

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